

Integrated Master in Chemical Engineering

Membrane processes for carbon capture

Master Thesis

of

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Developed in the context of the Dissertation course

carried out in

Process Systems Enterprise



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“Albert grunted. ‘Do you know what happens to lads who ask too many questions?’

Mort thought for a moment.

‘No,’ he said eventually, ‘what?’

There was silence.

Then Albert straightened up and said,

‘Damned if I know. Probably they get answers, and serve ‘em right.’”

— Terry Pratchett, Mort

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Resumo

O presente documento consiste num relatório sobre o trabalho realizado no âmbito da unidade curricular de Dissertação ao longo de um estágio académico na empresa *Process Systems Enterprise*, no contexto de processos de membranas para captura de carbono. Este projeto foi motivado pela forte dependência mundial de combustíveis fósseis, que nas próximas décadas irá conduzir a emissões de CO₂ incontroláveis a não ser que sejam tomadas medidas no sentido da aplicação de processos de captura de carbono em grandes fontes emissoras, particularmente em centrais eléctricas alimentadas a petróleo e a carvão, que de momento são demasiado dispendiosos e energéticos para serem viáveis quando usadas tecnologias tradicionais, tais como a absorção por aminas.

Este trabalho compreende uma revisão da literatura sobre o processo geral de captura e armazenamento de carbono, e ainda sobre estratégias típicas, com foco na pós-combustão, e sobre tecnologias disponíveis, convergindo para processos de membranas, particularmente permeação gasosa. Contém ainda uma descrição detalhada da etapa de *modelling*, bem como uma compilação de resultados obtidos tanto na fase de *modelling* como na de *flowsheeting*.

Na fase de *modelling*, o modelo do módulo de membranas de fibras ocas da empresa é simulado e validado com sucesso contra resultados experimentais, e em seguida melhorado por forma a incluir vários modelos de permeação gasosa como modelos de sub-transporte para diferentes tipos de membranas, cuja validade também é verificada por dados experimentais.

Já a fase de *flowsheeting* abarca a simulação e validação de uma cascata de membranas com 4 andares e processos de reciclagem, concebida para o tratamento de gases de combustão de maneira a atingir uma recuperação de 90% de CO₂ com uma pureza de 99 vol.%. Sege-se a sua otimização através da introdução de processos de membranas “a frio” por forma a diminuir a área total de membrana necessária e reduzir o custo de captura de carbono até se encontrar abaixo do de absorção por aminas e da meta estabelecida pelo *Clean Coal Research Program*.

Contém também uma análise de sensibilidade por forma a avaliar o impacto das condições operatórias no desempenho do processo e produzir CO₂ com uma pureza ≥ 95 vol.% e com requisitos mínimos de energia, que atingem um mínimo para operação parcial a temperatura sub-ambiente. Compreende ainda o *scale-up* do mesmo esquema para implementação numa central eléctrica de 1.000 MW, com um custo inferior à meta do *Clean Coal Research Program*, e com uma perda de eficiência bem menor que a alcançada através de absorção por aminas. Tal é similarmente realizado aplicando uma purga no segundo estágio do processo e assim reduzindo o custo de captura mas, inesperadamente, agravando a perda de eficiência.

Palavras-chave:

captura de carbono, processos de membranas, permeação gasosa, modelação, pós-combustão

Abstract

This document consists of a report of the work developed within the Dissertation course throughout an academic internship at Process Systems Enterprise, in the context of membrane processes for carbon capture. The motivation for this project is the continued heavy reliance on fossil fuels, which will bring the world energy-related CO₂ emissions to prohibitive values in the next couple of decades unless action is taken towards carbon capture implementation in large point sources, particularly existing coal- and oil-fired power plants, which at this point is far too costly and energy-intensive using conventional technologies, such as amine absorption, to be viable.

This work comprises a literature review of the general carbon capture and storage process; typical strategies, with focus on post-combustion; and available technologies, converging towards membrane separation processes, particularly gas permeation. This is followed by a comprehensive description of the modelling stage of the original work carried out, as well as a compilation of results obtained from both modelling and flowsheeting stages.

In the modelling stage, the company's hollow fibre membrane module model is first simulated and successfully validated against experimental results, and then improved to include several gas permeation models as membrane sub-transport ones for different types membranes, which are also corroborated by experimental data.

The flowsheeting stage contains the simulation and validation attempt of a 4-stage membrane cascade comprising recycle processes as reported in the literature, designed to treat flue gas and attain a CO₂ recovery of 90 % and a purity of 99 vol.%, followed by its design optimisation via introduction of cold membrane processes to lessen membrane area requirements and reduce the specific cost of carbon capture until well below that of amine absorption and inferior to the goal set by the Clean Coal Research Program. It also contains the findings of a sensitivity analysis to assess the impact of operating conditions in process performance, in an attempt to produce ≥ 95 vol.% CO₂ with the least energy requirements, which occurs for partly sub-ambient operation.

Last but not least, it encompasses the scale-up of the aforesaid design to post-combustion application in a 1,000 MW fuel-fired power plant with a specific cost that is inferior to the Clean Coal Research Program target, and with an efficiency penalty much lower than that currently attained by amine absorption. This is also conducted with sweep operation in the second stage, which brings cost down but unexpectedly aggravates the efficiency penalty.

Keywords: carbon capture, membrane processes, gas permeation, modelling, post-combustion

Declaração

Declaro, sob compromisso de honra, que este trabalho é original e que todas as contribuições não originais foram devidamente referenciadas com identificação da fonte.

Assinar e datar

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Notation and glossary

a	Gas phase activity	
A	Surface area	m^2
A^*	Free volume parameter A	$\text{mol}\cdot\text{m}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$
b	Langmuir affinity parameter	Pa^{-1}
B^*	Free volume parameter B	
C	Molar concentration	$\text{mol}\cdot\text{m}^{-3}$
C_{cap}	Capital cost	\$
CE	Equipment cost index	
C_E	Equipment cost	\$
C'_H	Langmuir saturation constant	$\text{mol}\cdot\text{m}^{-3}$
Cut	Stage cut	
D	Diffusion coefficient	$\text{m}^2\cdot\text{s}^{-1}$
D_0	Diffusion pre-exponential factor	$\text{m}^2\cdot\text{s}^{-1}$
d_{pore}	Pore diameter	m
D_s	Corrected surface diffusion coefficient	$\text{m}^2\cdot\text{s}^{-1}$
D_s^0	Zero loading surface diffusion coefficient	$\text{m}^2\cdot\text{s}^{-1}$
$D_s^{0,0}$	Surface diffusion pre-exponential factor	$\text{m}^2\cdot\text{s}^{-1}$
D_T	Thermodynamic diffusion	$\text{m}^2\cdot\text{s}^{-1}$
E	Cost of power	$\text{\$}\cdot\text{J}^{-1}$
E_D	Activation energy of diffusion	$\text{J}\cdot\text{mol}^{-1}$
E_P	Activation energy of permeation	$\text{J}\cdot\text{mol}^{-1}$
E_s	Activation energy of surface diffusion	$\text{J}\cdot\text{mol}^{-1}$
f	Frictional parameter	
f_M	Correction factor for material of construction	
f_P	Correction factor for design pressure	
f_T	Correction factor for design temperature	
F	Molar flow rate	$\text{mol}\cdot\text{s}^{-1}$
FFV	Fractional free volume	
\mathcal{F}	Immobilization factor	

H	Specific enthalpy	$\text{J}\cdot\text{mol}^{-1}$
H_s	Molar enthalpy of sorption	$\text{J}\cdot\text{mol}^{-1}$
J	Molar transmembrane flux	$\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$
k	Mass transfer coefficient	$\text{m}\cdot\text{s}^{-1}$
k_D	Henry dissolution constant	$\text{mol}\cdot\text{m}^{-3}\cdot\text{Pa}^{-1}$
Kn	Knudsen number	
l	Membrane thickness	m
m	Pressure dependence parameter	
M	Cost exponent specific to equipment type	
M_w	Molecular weight	$\text{kg}\cdot\text{mol}^{-1}$
p	Gas phase partial pressure	Pa
P	Absolute pressure	Pa
p^{sat}	Saturation vapour pressure	Pa
\mathcal{P}	Permeability coefficient	$\text{mol}\cdot\text{m}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$
\mathcal{P}_0	Permeability pre-exponential factor	$\text{mol}\cdot\text{m}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$
\mathcal{P}^∞	Permeability at infinite dilution	$\text{mol}\cdot\text{m}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$
q	Loading capacity	$\text{mol}\cdot\text{m}^{-3}$
q^{sat}	Loading capacity at saturation	$\text{mol}\cdot\text{m}^{-3}$
Q_E	Equipment capacity	
Q	Energy flow rate	$\text{J}\cdot\text{s}^{-1}$
Q_{CO_2}	Mass flow rate of captured CO_2	$\text{kg}\cdot\text{s}^{-1}$
R	Resistance to mass transfer	$\text{s}\cdot\text{m}^{-1}$
Rec	Recovery	
\mathcal{R}	Ideal gas constant	$\text{J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$
S	Solubility coefficient	$\text{mol}\cdot\text{m}^{-3}\cdot\text{Pa}^{-1}$
S_0	Solubility pre-exponential factor	$\text{mol}\cdot\text{m}^{-3}\cdot\text{Pa}^{-1}$
S_{area}	Cross section area	m^2
T	Temperature	K
U	Energy hold-up	J
V_c	Critical volume	m^3
V_f	Free volume	m^3

\bar{V}	Partial molar volume	m^3
\dot{W}	Power	$\text{J}\cdot\text{s}^{-1}$
x	Molar fraction	
z	Direction of flow	

Greek letters

α	Selectivity	
ε	Porosity	
η	Viscosity	$\text{Pa}\cdot\text{s}$
θ	Surface coverage factor	
λ	Mean free path	m
τ	Tortuosity	
ϕ	Volume fraction	
ρ	Density	$\text{kg}\cdot\text{m}^{-3}$
χ	Flory-Huggins interaction parameter	

Indices

avg	Average
B	Base
c	Continuous
d	Disperse
D	Henry regime
eq	Equivalent
f	Feed
H	Langmuir regime
i	Species
K	Knudsen regime
m	Membrane
p	Permeate
P	Poiseuille regime
perm	Permeation

r	Retentate
s	Support

List of acronyms

BFDM	Backward finite difference method
CCRP	Clean coal research program
CCS	Carbon capture and storage
CMS	Carbon molecular sieve
CS	Carbon steel
EGR	Enhanced gas recovery
EOR	Enhanced oil recovery
FFDM	Forward finite difference method
FSC	Fixed-site carrier
gML	gPROMS® model library
GPU	Gas permeation unit
GUI	Graphic user interface
HF	Hollow fibre
MEA	Monoethanolamine
MMM	Mixed matrix membrane
MOF	Metalorganic framework
NG	Natural gas
PAN	Polyacrylonitrile
PBI	Polybenzimidazole
PC	Polycarbonate
PDMS	Polydimethyl siloxane
PEBA	Poly(etherblockamide)
PES	Polyethersulfone
PI	Polyimide
PSE	Process Systems Enterprise
PSF	Polysulfone
SILM	Supported ionic liquid membrane
TCE	Trichloroethylene
WGS	Water-gas shift

1 Introduction

1.1 Background and presentation of the project

World energy consumption is at an all-time high and is expected to increase by more than half in the next twenty-five years, likely bringing the world energy-related CO₂ yearly emissions to 45 billion metric tons by 2040 [1] due to continued heavy reliance on fossil fuels. The key to reduce CO₂ emissions from large point sources such as fossil-fired power plants lies in carbon capture and storage (CCS) technologies, since they can be continuously employed without causing significant emissions themselves and captured CO₂ can be further processed for profit in several different ways.

Membrane separation is on its way to become competitive in terms of energy requirements and capture costs when compared to conventional separation unit operations, such as chemical and physical absorption and cryogenic distillation. While new-generation power is on its way towards zero-emission production, conventional fuel-fired power plants produce the lowest-cost electricity [2] and are largely responsible for energy production at a global scale and thus in dire need of post-combustion implementation of carbon capture, which in turn is thought to be the Achilles' heel of membrane processes.

Nevertheless, recent research and development efforts suggest that might not be the case and membrane processes for carbon capture stand once again in the spotlight of Advanced Process Modelling. This approach differs significantly from that of traditional simulation as it involves applying detailed, high-fidelity mathematical models of process equipment and phenomena, usually within an optimisation framework, to provide accurate predictive information for decision support in process innovation, design and operation.

However high their fidelity, models typically leave ample room for improvement and can be revised to include more complex mathematical descriptions to achieve higher predictive accuracy when corroborated by experimental data, which makes up the modelling stage of this work. Moreover, process simulation allows for testing of design concepts inexpensively and in a timely manner, which makes up the flowsheeting stage of this work.

The purpose of this project wherefore lies in the revision, improvement and validation of an existing membrane module model followed by the validation, optimisation and scale-up of a complex membrane system for post-combustion carbon capture.

1.2 Presentation of the company

Process Systems Enterprise (PSE) is the world's leading supplier of Advanced Process Modelling technology and related model-based engineering and innovation services to the process industries, providing both software technology – in the form of the gPROMS® platform and family of products - and services aimed at model-based innovation and engineering. PSE's technology and services are applied in virtually all the major

process industry sectors, with particular focus on Chemicals & Petrochemicals, Oil & Gas, Energy, Life Sciences, Consumer Products and Food & Beverage, also working with customers in Refining, Polymers & Plastics, Minerals & Mining and Pulp & Paper, as well as their technology suppliers such as automation and process design companies.

1.3 Contributions of the project

The innovative aspects of this work consist of the revision and improvement of PSE's hollow fibre membrane model to feature an array of generic gas permeation models as well as the simulation of a multi-stage membrane system employing novel cold membrane processes for post-combustion carbon capture.

1.4 Scope of the thesis

Chapter 2 reviews the general carbon capture and storage process and comprises the typical strategies and available technologies for carbon capture with focus on membrane separation processes, particularly gas permeation, revising state-of-the-art membrane types and their respective transport models from a mathematical modelling point of view as well as process design aspects specific to the post-combustion implementation of carbon capture.

Chapter 3 consists of a technical description of membrane process modelling with focus on hollow-fibre modules and their application in post-combustion carbon capture, comprising model validation, process optimisation, sensitivity analysis and industrial scale-up based on experimental data in the literature. Chapter 4 contains a compilation of the findings of this project, along with goals achieved, limitations and future work. Appendices 1, 2 and 3 comprehend mathematical descriptions of the pertinent models as well as extensive results pertaining to Chapter 3 in the form of tables and figures.

2 Context and state-of-the-art

2.1 Carbon capture and storage process

The CCS process begins with the sequestration of CO_2 , typically emitted from fossil-fuelled power plants and the cement industry or contained in low-grade natural gas (NG), which can be achieved via technologies such as absorption, adsorption, cryogenic separation, membrane separation, etc. The captured CO_2 is then liquefied to allow for its transportation via pipeline or compressed into supercritical fluid for shipping, and later processed in accordance to its intended application: employed in the food and beverage industry or enhanced gas (EGR) and oil recovery (EOR); converted into added-value products such as methanol or transformed into third-generation bio fuels via algae photosynthesis; injected into depleted oil wells and gas fields for sequestration [3].

2.2 Carbon capture strategies

Several strategies have been developed for the implementation of CCS processes, of which the following will be discussed in detail: 1) post-combustion; 2) pre-combustion; and 3) natural gas upgrading. Oxy-combustion was left out in the context of this work as it consists of a prevention measure and membrane processes play a role in air separation rather than carbon capture.

2.2.1 Post-combustion

As illustrated in Fig. 2-1, the post combustion strategy consists of implementing CCS processes in fuel fossil-fired power plants after the combustion step to remove CO_2 from typically 5-15 vol.% rich flue gas stream consisting mostly of N_2 at atmospheric pressure, but usually not before pollutants such as particulates SO_x have been removed via electrostatic precipitation and desulphurisation, respectively, and the stream has been cooled from ca. 200°C down to $60\text{-}50^\circ\text{C}$ [4]. It follows that the capture process itself basically consists of a CO_2/N_2 separation process, which is commercially carried out via chemical absorption [5]. Although this strategy is suitable for retrofitting of the majority of existing fossil-fired power plants, flue gas is typically too dilute in CO_2 to generate significant driving force for separation and the process produces very low pressure CO_2 compared to sequestration requirements [4].

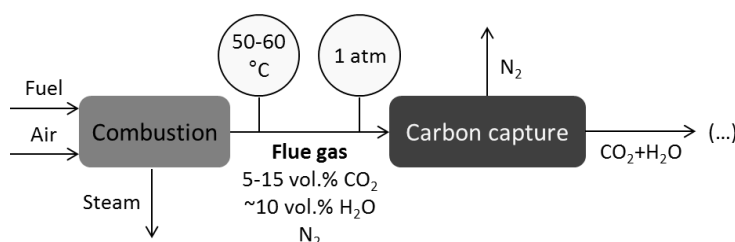


Fig. 2-1 - Schematics of a post-combustion implementation of carbon capture

2.2.2 Pre-combustion

On the other hand, carbon capture in pre-combustion occurs before the combustion step in combined cycle power plants to remove CO₂ from typically ca. 40 vol.% rich gas stream at 15-20 bar and approximately 400°C [4], as illustrated in Fig. 2-2. Synthesis gas (syngas) is a mixture of CO, H₂ and traces of H₂O which results from either the gasification of coal with steam and O₂, as is the case in integrated gasification combined cycle plants, or from CH₄ steam reforming, like in natural gas combined cycle ones. It typically undergoes a water-gas shift reaction (WGS) before the carbon capture step, although both can take place in the same unit at the same time when a WGS membrane reactor is employed, and the equation which expresses the reaction in question is like so:



It follows that the capture process itself consists of a CO₂/H₂ separation process, which is commercially carried out via physical absorption [5]. This strategy benefits from substantial driving force and produces high pressure CO₂ but is applicable mainly to new plants and requires complex and costly equipment and supporting systems [4].

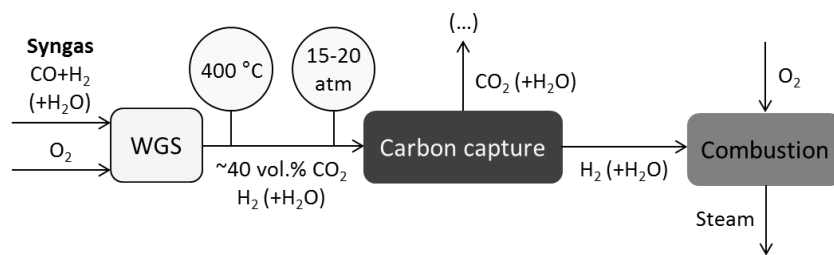


Fig. 2-2 - Schematics of a pre-combustion implementation of carbon capture

2.2.3 Natural gas upgrading

NG processing is the largest gas separation application worldwide and, as illustrated in Fig. 2-3, upgrading via carbon capture processes consists of sweetening raw NG to pipeline and/or liquefaction standard specifications, removing acidic compounds such as CO₂ and H₂S, often simultaneously. Although typically diluted to ca. 5 vol.% of CO₂, raw NG may contain up to 40 vol.% depending on the well [6] and usually comes at around 50 bar and 60°C. It follows that the capture process itself consists of a CO₂/CH₄ separation, which is commercially carried out via chemical absorption [7].

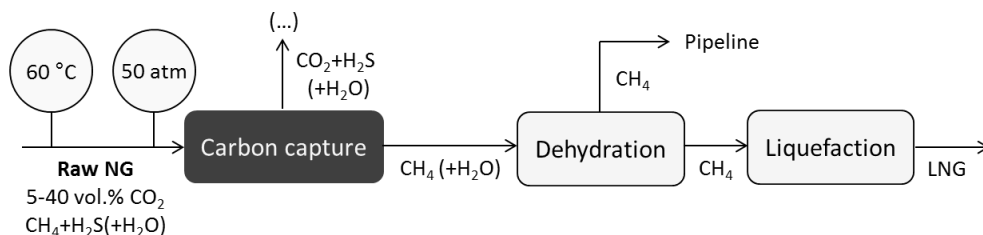


Fig. 2-3 - Schematics of an implementation of carbon capture in NG upgrading

2.3 Carbon capture technologies

Out of the relevant technologies for carbon capture, the following will be discussed in detail: 1) absorption; 2) adsorption; 3) cryogenic separation; 4) and membrane separation.

2.3.1 Absorption

Absorption consists of a chemical or physical process in which CO_2 is preferably dissolved into the bulk of a liquid solution, either via a reversible chemical reaction with an aqueous alkaline solvent, or via non-reactive solvation due to intermolecular interactions between solvent and solute, respectively. This technology is widely employed as a means of removal of acidic contaminants in gas streams, such as H_2S and NO_x , and is deemed closest to commercialization for bulk CO_2 capture, as it is mature and appropriate for retrofitting of existing plants. However, its implementation entails high capital investment costs, phase interdependence issues like emulsions, foaming, unloading and flooding, and high energy penalties for solvent regeneration.

2.3.1.1 Chemical absorption

Chemical absorption processes typically comprise reactive absorption in a contactor column, where CO_2 is continuously separated from the other gas species via direct gas-liquid contact with the solvent, followed by solvent recovery via heat input in a regenerator; the recovered solvent is reintroduced to the contactor column while the stream rich in CO_2 is sent for compression. Amines (e.g., monoethanolamine (MEA)) are the most commonly used solvent, followed by hot potassium carbonate and chilled ammonia, as they are widely available, highly selective towards sulphur and carbon compounds and reasonably tolerant to other impurities. Amine absorption achieves restrictive outlet gas specifications regardless of inlet gas stream pressure and composition, but also poses operational problems such as solvent loss via evaporation due to its high volatility, and environmental impact resulting from its toxic nature; also, the amine load is generally limited in order to avoid equipment corrosion and solution degradation problems, and its cyclic CO_2 loading capacity is rather limited [3].

2.3.1.2 Physical absorption

Physical absorption is a process that occurs in a contactor column at ambient temperature where CO_2 is continuously stripped from the gas mixture via non-reactive absorption, followed by solvent regeneration via successive depressurizations in a regenerator; the resulting streams follow the same course as in chemical absorption. The most common commercial processes are SelexolTM, RectisolTM and PurisolTM, which employ dimethyl ether or propylene glycol, methanol and methyl pyrrolidone as solvents, respectively. Physical solvents are highly selective towards water and carbon compounds but only become more economically attractive over chemical ones for high partial pressures of CO_2 and low temperatures as their absorptive capacity is much more sensitive to operating conditions [8].

2.3.2 Adsorption

Adsorption consists of a chemical or physical process in which CO_2 preferably adheres to the surface of a sorbent due to molecular interactions between them, via covalent bonding or van der Waals forces. CO_2 removal usually occurs either via pressure swing adsorption, in which CO_2 cyclically sorbs into an adsorbent bed at high pressure after which the sorbent is regenerated via depressurization and the CO_2 is sent into the next bed or purged from the system and sent for compression; or temperature swing adsorption, a similar process in which the CO_2 sorption occurs in an adsorbent bed at low temperature and the sorbent is regenerated via heat input; other regeneration methods such as vacuum or electric swing adsorption are less frequently used. Typically amine-, lithium- and calcium-based materials are used as chemical sorbents whereas physical sorbents comprehend silica, zeolites, metal-organic frameworks (MOFs) and carbon-based materials. Adsorption is also considered a feasible process for CO_2 capture at an industrial scale but while sorbent entails lower energy penalties than solvent recovery in absorption processes, they typically exhibit much lower loading capacity and selectivity towards CO_2 [3].

2.3.3 Cryogenic separation

Cryogenic separation is a physical process in which CO_2 is separated from a gas mixture via condensation at ambient pressure. With a critical temperature of 304 K, CO_2 often is the most condensable species in a typical gas stream for carbon capture and can thus be removed by cooling the mixture to cryogenic temperature range. This process directly produces liquefied CO_2 for immediate transportation and the lack of chemicals eliminates risks of equipment corrosion; however, it is only suitable for treatment of mixtures with high CO_2 concentration, and the inlet gas stream must undergo dehydration, so as to avoid the formation of ice in the equipment, and be devoid of other condensable species (e.g., H_2S) [3].

2.3.4 Membrane separation

Membrane separation is a chemical or physical process in which CO_2 is preferably transported through a semi-permeable barrier down a gradient, typically of concentration or pressure. This technology is commercially employed as a means of H_2 recovery from syngas, O_2 -enriched air production for oxy-combustion and medical applications, CO_2 capture for EGR and EOR and CH_4 recovery for NG upgrading. The appeal of membrane separation lies in its modular appearance and easy scale-up, flexible configuration and adaptability, simplicity of operation and ease of maintenance, as well as its potential as a less energy-intensive CCS process. Nevertheless, there is a trade-off tendency between many desirable aspects, such as cost and life-span, permeation area requirements and energy consumption, and attainable product purity and recovery.

2.3.4.1 Gas separation

Gas separation falls under different categories according to the number of phases involved and the role of the membrane: 1) gas permeation, in which all the components are in gas phase in both sides and the characteristics of the membrane itself determine the success of separation; and 2) gas-liquid absorption, in

which the so-called membrane contactor merely acts as a barrier between phases and increases the surface area for mass transfer without providing selectivity to the process, which is ensured by the solvent's affinity towards CO₂ instead. However, the latter was left out in the context of this work as per interests of PSE.

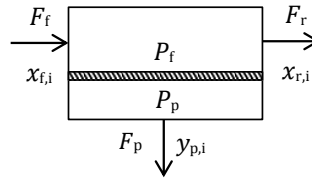


Fig. 2-4 - Schematics of a generic membrane separation process

A generic membrane separation process, as illustrated in Fig. 2-4, consists of introducing a feed stream comprising at least one component to be preferably removed from the mixture, to a module where it permeates through a membrane down a pressure gradient, resulting in an enriched stream, known as permeate, and a stripped one, called retentate or residue. Said permeation can be explained in terms of Ohm's law of electricity:

$$I = \frac{\Delta V}{R} \quad (2.2)$$

In which current, I , is analogous to permeation flux, electrical potential, ΔV , to the partial pressure gradient, and the inverse of electrical resistance, $1/R$, to membrane permeance. It follows that the steady-state permeation flux of a gas species i through a membrane of thickness l can be expressed like so:

$$J_i = \frac{\mathcal{P}_i}{l} (P_f x_{f,i} - P_p x_{p,i}) \quad (2.3)$$

Where the permeation flux, J_i , is typically expressed in S.I. units of $[\text{mol} \cdot \text{m}^{-2} \cdot \text{s}^{-1}]$, P_f and P_p stand for the upstream and downstream absolute pressures, respectively, and $x_{i,f}$ and $y_{i,p}$ for the gas phase molar fraction of i in the feed and permeate streams, in that order. The pressure gradient is often expressed through partial pressure, p_i , rather than absolute one, and both relate to each other according to Dalton's law for ideal gas mixtures, like so:

$$p_i = x_i P \quad (2.4)$$

The permeability coefficient of i through the membrane, \mathcal{P}_i , can be expressed in S.I. units of $[\text{mol} \cdot \text{m} \cdot \text{m}^{-2} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}]$ or in conventional Barrer units ($[1 \text{ Barrer}] = [10^{-10} \text{ cm}^3(\text{STP}) \cdot \text{cm} \cdot \text{cm}^{-2} \cdot \text{s}^{-1} \cdot \text{cmHg}^{-1}]$), whereas the corresponding permeance, \mathcal{P}_i/l , can be expressed in S.I. units of $[\text{mol} \cdot \text{m}^{-2} \cdot \text{s}^{-1} \cdot \text{Pa}^{-1}]$ or in gas permeance units (GPU) ($[1 \text{ GPU}] = [10^{-6} \text{ cm}^3(\text{STP}) \cdot \text{cm}^{-2} \cdot \text{s}^{-1} \cdot \text{cmHg}^{-1}]$).

2.3.4.2 Performance parameters

Membrane performance is determined by both permeability and selectivity coefficients. The former stands for the rate of transport of a gas species through a membrane and depends on the characteristics of the gas, namely size and condensability; on the nature and structure of the membrane material; and on the operating

conditions of the process. On the other hand, the selectivity of species i over species j , $\alpha_{i/j}$, is a measure of the effectiveness of separation of the two aforementioned species, defined as follows:

$$\alpha_{i/j} = \frac{\mathcal{P}_i}{\mathcal{P}_j} \quad (2.5)$$

It follows that an intrinsically successful separation presupposes both high permeability and selectivity, which represents a challenge given the trade-off tendency between both. On the other hand, the overall performance of the separation process is well-described by purity, stage cut and recovery. Purity is defined as the fraction of species i in the permeate stream, $x_{p,i}$, whereas the stage cut, Cut , represents the fraction of the feed stream flow rate, F_f , which makes up the permeate stream flow rate, F_p , as follows:

$$Cut = \frac{F_p}{F_f} \quad (2.6)$$

On the other hand, recovery, Rec_i , i.e., the fraction of species i effectively removed from the feed stream, relates to the aforementioned variables and feed fraction of i , $x_{f,i}$, like so:

$$Rec_i = Cut \frac{x_{p,i}}{x_{f,i}} \quad (2.7)$$

Given that the trade-off tendency between membrane permeability and selectivity translates into the overall separation success of the process, it follows that processes which recover a considerable amount of the species of interest exhibit high stage cut but produce low purity permeate, whereas those intended for production of high purity permeate streams tend to exhibit low stage cut and recovery.

2.3.4.3 Flow configurations

Module flow configuration falls under one of the following ideal patterns: 1) cross flow, in which the fluid on the retentate side flows parallel to the membrane, whereas the one on the permeate side flows in a perpendicular to it; 2) co-current flow, in which both the fluid on the retentate and permeate sides flow parallel to the membrane and in the same direction; and 3) counter-current flow, in which both the fluid on the retentate and permeate sides flow parallel to the membrane, but in opposite directions. While cross-flow is the simplest and most widely used configuration, counter-current flow is generally found to be more efficient but much more complex as the axial flow on the permeate side is ensured by a purge stream.

2.3.4.4 Module configurations [9]

The following basic types of membrane modules are currently available for industrial application: 1) plate-and-frame; 2) spiral-wound; 3) tubular; and 4) hollow fibre. The plate-and-frame module comprises an alternated stack of spacer, membrane and support plates through which the feed flows so that the permeate is collected from each support plate; this type of module presents the simplest design but also the lowest packed density, i.e., surface available for permeation per volume unit of the set. The basic structure of the spiral-wound module consists of a permeate spacer slotted in between two membranes whose edges are sealed to form a membrane envelope while the open end is connected to a central perforated tube, in a

spiral wound fitting. In the tubular module the feed typically flows through a number of supported membranes of tubular geometry (12-25 mm in diameter) encased in a shell, which contains the permeate for collection at an outlet at the end of the module. The hollow fibre (HF) is a spin-off of the tubular module which comprises a much higher number of fibre-sized ($\sim 10^5$ m in diameter) membranes of the same geometry which generally require no support. This type of module presents the most complex design but also the highest packing density of the set.

2.3.4.5 Types of membranes

Homogenous membranes can be classified according to nature, as organic or inorganic, and to structure, as dense or porous. Dense membranes consist of compact structures in which permeants sorb preferentially, separating components whose solubility differs considerably, before diffusing through the membrane. As such, they typically exhibit high selectivity but poor permeability. Porous membranes are highly voided structures, typically characterized by a pore size distribution, through which permeants diffuse according to size, hence exhibiting high permeability but low selectivity, given the likeness in molecular size of most gases.

As for heterogeneous ones, broadly known as composite membranes, they can be classified according to structure as symmetrical or asymmetrical. The former consist of a layer which features two or more distinct phases with different chemical structure or morphology, in which different transport mechanisms predominate; the latter, on the other hand, consist of barrier layers of distinct nature stacked together, each presenting clear discontinuities at the boundary of neighbouring layers, in the chemical structure or morphology of the material.

2.3.4.5.1 Polymeric membranes [10]

Polymeric membranes typically make up most of the organic ones, and can be classified as rubbery when above glass transition temperature at ambient conditions, and glassy otherwise. Rubbery membranes are dense and exhibit viscous liquid behaviour, and are typically more permeable than their counterparts, albeit less selective [11], despite overall membrane selectivity being dominated by solubility. They are also known to undergo plasticisation, that is, swelling over time resulting from the dissolution of condensable gases and organic vapours, which in turn enhances its permeability at the expense of selectivity [12]. Some examples are as follows: polydimethyl siloxane (PDMS), polybenzimidazole (PBI), polyethylene oxide. Glassy membranes, on the other hand, are usually dense but also known to sometimes contain extraordinarily high excess free volume, and typically exhibit properties more akin to those of a solid. Also, seeing that gas separation is usually achieved via size discrimination, the overall membrane selectivity is dominated by diffusion. They are also known to age, i.e., exhibit a reduction of free volume over time. Some examples are as follows: polyimide (PI), polysulfone (PSF), polycarbonate (PC).

Polymeric membranes are easy to synthesise and relatively low-cost, and also exhibit good mechanical stability and moderate permeability and selectivity; yet their life-span is rather short given their susceptibility to thermal and chemical degradation and their performance is limited by the trade-off between permeability

and selectivity, as illustrated by theoretical Robeson upper bound plots for a multitude of gas pairs. The Robeson upper bound constraint is inherent to the solution-diffusion gas permeation model, associated to generic polymers, but can be surpassed by bringing other transport mechanisms into play [12].

Up and coming membranes such as those made of polymers of microporous organic polymers have been developed to perform above the Robeson upper bound, and comprehend both thermally rearranged polymers and intrinsic microporosity ones (e.g., polybenzodioxanes [13]). The former exhibit high permeability coupled with size-sieving at nanoscale, enhanced resistance to thermal degradation and have been found less susceptible to plasticisation, but the preparation of other than lab-scale films has yet to be reported; the latter show improved permeability and selectivity and relatively slow aging. Other state-of-the-art membranes such as fixed-site carrier (FSC) ones have been found to exceed the Robeson upper bound due to a facilitated transport mechanism, via a reversible complexation reaction between CO₂ and a carrier, usually an amino functional group, which is chemically bonded onto the matrix (e.g., polyvinylamine/polyvinylalcohol [13]).

2.3.4.5.2 Inorganic membranes

Inorganic membranes typically comprise carbon molecular sieve (CMS), ceramic, zeolite and metallic ones, and are known to display high chemical, thermal and mechanical stability but low packing density and a high production cost. It follows that they are mostly employed in pre-combustion schemes, and less often in NG sweetening and post-combustion ones [12].

CMS membranes consist primarily of carbon with a graphitic or turbostratic structure which exhibit molecular size-sieving properties at the expense of mechanical stability; ceramic ones are those made of materials such as silica, titania or alumina (Al₂O₃), and often play the part of support as they are remarkably stable at very high temperatures, both thermal and mechanically; zeolite membranes typically require support and are known to exhibit temperature-tuned selectivity to the point of achieving reverse selectivity, i.e., the ability to favour the permeation of larger molecules of condensable species over smaller ones; lastly, metallic membranes typically play the part of support (e.g. stainless steel [14]) or extremely permeant-specific active layer (e.g. infinitely H₂-selective palladium [15]).

Up and coming membranes such as supported ionic liquid membranes consist of membranes whose pores contain immobilized ionic liquid (SILMs) designed to selectively dissolve CO₂, exhibiting exceptional selectivity while retaining the characteristic permeability of porous membranes to an extent, seeing that the high molar volume and significant viscosity of ionic liquids poses a diffusion drawback [16]. However, the preparation of other than lab-scale films has yet to be reported.

2.3.4.5.3 Composite membranes

Composite membranes typically combine the benefits of both polymeric and inorganic membranes without incurring significant shortcomings. The most widely known example of symmetrical composite membranes is that of mixed matrix membranes (MMMs), which usually consist of an inorganic filler material dispersed on a

continuous phase, typically polymeric, so as to tune the overall permeability and selectivity of the membrane as desired. Some of the membranes which show great promise for short-term commercialisation comprehend the use of MOFs as fillers, which improve membrane permeability of glassy polymers at the expense of some of its inherent selectivity; embedded spherical (nano)particles, such as mesoporous silica and hollow zeolite ones, which improve membrane packing density and size-sieving properties, respectively; and ternary MMMs, which incorporate a third component of low molecular weight to improve the compatibility between phases [17].

On the other hand, asymmetrical membranes usually come as supported ones, which present a thin layer of selective material, i.e. active layer, typically sustained on a porous one, which provides mechanical stability and enhances the overall permeability of the membrane. Some state-of-the-art examples comprehend poly(etherblockamide) (PEBA)/PDMS/polyacrylonitrile (PAN) composites, which also incorporate a gutter layer between the active and support ones to minimize boundary resistance and displaying consistently high permeability [10], and remarkably selective CMS membranes supported on nanostructured Al_2O_3 [18].

2.4 Membrane process modelling

A model-based engineering approach consists of applying first-principles models, in which all relevant phenomena are described to an appropriate level of chemical engineering, validated against experimental data to engineering processes to improve design or operation, exploring the process decision space rapidly and at a low cost and applying optimisation techniques to determine answers directly rather than by trial and error.

gPROMS® is a modelling platform intended for process and equipment development, design and optimisation, which comprises an array of extensive domain-specific libraries, of which only gPROMS® Model Library (gML) “Separation - Membranes” will be discussed in detail. A library consists of a collection of predictive model entities, each providing a description of the behaviour of a particular system in the form of mathematical equations, which can be simulated using a process entity comprising initialisation specifications and operating procedures. Each model comprehends a language declaration section in which variables, parameters and equations are encoded; a public interface for port declarations in order to explicit connections to other models, as well as a specification dialog in which model and process specifications and default values are hard-coded; and a topology section which allows for the graphical construction of higher-level models by drag-and-dropping lower-level ones from the library and connecting their ports.

2.4.1 Membrane module model

The gPROMS® membrane module in gML “Separation - Membranes” has a convenient HF configuration, which provides the most cost-effective solution. As illustrated in Fig. 2-5, the membrane module model comprises the following elements: 1) fibre bundle sub-model, which describes flow in the tube side; 2) shell sub-model, which describes flow in the shell side; 3) membrane sub-model, which describes transport

between both sides; and 4) Multiflash™ tool, which calculates component physical properties. Together they describe the behaviour of a HF membrane module under the assumption of one-dimensional laminar flow.

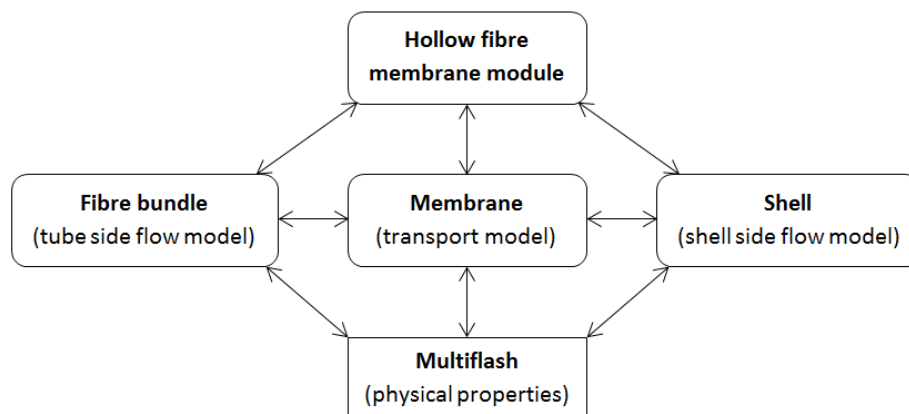


Fig. 2-5 - Schematics of the gPROMS® HF membrane module model hierarchy

Both fibre bundle and shell sub-models comprehend mass, momentum and energy balances for combinations of the cases of bore- and shell-side feed designs, co-current and counter-current flow configurations, gas and liquid phases, presence and absence of concentration polarization and isothermal and adiabatic flow. As for the membrane sub-model, it comprises mass balances for the aforementioned cases under the assumption of isothermal flow and its transport model consists of equation (2.3), disregarding gas permeation models, whose integration makes up the scope of the modelling stage of this work. A detailed description of each mathematical model can be found under Appendix 1.

2.4.2 Gas permeation models

Seeing that transport mechanisms vary with both membrane nature and structure, the gas permeation models which make up the scope of this work were allocated according to type, like so: 1) polymeric; 2) inorganic; 3) heterogeneous.

2.4.2.1 Polymeric membranes

Gas permeation through dense polymeric membranes is a process subject to gas-polymer system dynamics, which in turn are determined by the state of the polymer as well as characteristics of the gas. In the context of this work, simple gases consist of small gas molecules which exhibit characteristically low boiling and critical points and thus display weak interactions with the medium and amongst themselves, such as H_2 and N_2 , or more condensable ones like CO_2 and CH_4 when at relatively low pressure [19].

According to the widely accepted solution-diffusion model, gas permeation in polymers is typically deemed a two-step process in which the gas first sorbs into the polymer and then diffuses through it. While the diffusion and solubility of simple gases are typically ruled by Fick's laws of diffusion and Henry's law of solubility, respectively, condensable gases and organic vapours are known to diffuse in an anomalous fashion in rubbery polymers and to sorb nonlinearly in glassy ones.

Given the different transport mechanisms in play in each case, several sub-models have been developed to describe gas permeation through dense polymeric membranes, of which the following will be discussed in detail: 1) molecular; 2) free volume; 3) Flory-Huggins; 4) dual-mode sorption; 5) and partial immobilization.

2.4.2.1.1 Solution-diffusion model [20]

The fundamental concepts of mass transfer are comparable with those of heat conduction and have been adapted by Fick (1855) to cover quantitative diffusion in an isotropic medium. As a result, the law that governs the steady-state diffusion of species i in the absence of convection is as follows:

$$J_i = -D_i \frac{\partial C_i}{\partial z} \quad (2.8)$$

Where J_i is the permeation flux, D_i the diffusion coefficient, C_i the concentration and z the direction of the diffusive flow. In an analogous manner, the transport of a gas penetrant through a membrane can be expressed in terms of a diffusive flow down a concentration gradient across the membrane, which represents the driving force required for transport. If the diffusion coefficient is assumed constant, integrating the equation above across a membrane of thickness l yields the permeation flux of i as follows:

$$J_i = D_i \frac{(C_{i,0} - C_{i,l})}{l} \quad (2.9)$$

Where $C_{i,0}$ and $C_{i,l}$ are the concentrations of penetrant at the upstream and downstream side of the membrane, respectively.

Seeing that rubbery polymers exhibit viscous liquid properties and that ideal and simple gases are poorly soluble in liquid media, according to Henry's law of solubility, the equilibrium concentration of i in the gas phase relates to its partial pressure, p_i , like so:

$$C_i = S_i p_i \quad (2.10)$$

In which the solubility coefficient, S_i , is often independent of concentration or pressure and equal to Henry's dissolution constant, $k_{D,i}$. The permeation flux can thus be determined via the following expression:

$$J_i = D_i S_i \frac{(p_{i,0} - p_{i,l})}{l} \quad (2.11)$$

Where $p_{i,0}$ and $p_{i,l}$ are the partial pressures of the penetrant at the upstream and downstream side of the membrane, respectively. When the downstream penetrant partial pressure is negligible relative to that on the upstream side, the permeability coefficient, \mathcal{P}_i , can be expressed according to the following equation, which embodies the solution-diffusion model:

$$\mathcal{P}_i = D_i S_i \quad (2.12)$$

The expression above emphasizes that high permeability coefficients result either from large diffusion coefficients (e.g. H_2), high solubility coefficients (e.g. CO_2) or both (e.g. H_2O) [21]. The solution-diffusion model is valid for permeation of simple and ideal gases in rubbery polymers and in glassy polymers at low

pressure, assuming that both gas-gas and gas-polymer interactions are negligible, and can be adapted to non-ideal gas behaviour by replacing partial pressure with fugacity, which can be determined using thermodynamic equations of state for real gases.

2.4.2.1.2 Molecular model [22]

Molecular models are commonly based on the assumption that there exist fluctuating “holes” or microcavities within the polymer matrix, which can be described by a definite distribution within the polymer when at equilibrium. Therefore, the diffusion of a penetrant depends greatly upon the concentration of “holes” large enough to accommodate the penetrant molecule and on the availability of sufficient energy for a gas molecule to “jump” into a neighbouring “hole”. This concept is supported by the experimentally observed Arrhenius behaviour of diffusion coefficients, expressed as follows:

$$D_i = D_{0,i} \exp\left(-\frac{E_{D,i}}{RT}\right) \quad (2.13)$$

Where \mathcal{R} stands for the ideal gas constant, T for temperature, $D_{0,i}$ for a pre-exponential factor and $E_{D,i}$ for the activation energy of diffusion. One of the first molecular models for diffusion in polymers was proposed by Meares (1965) and postulates that the primary step in diffusion is governed by the energy required to separate the polymer chains to form a cylindrical tube through which the penetrant can “jump” from one position to another. On the other hand, solubility coefficients exhibit an empirical van’t Hoff relationship with temperature, expressed as follows:

$$S_i = S_{i,0} \exp\left(-\frac{\Delta H_{S,i}}{RT}\right) \quad (2.14)$$

In which $S_{0,i}$ is a pre-exponential constant and $\Delta H_{S,i}$ the molar enthalpy of sorption. Seeing that, according to the solution-diffusion model, permeability is the product of the diffusivity and solubility, combining equations. (2.15) and (2.16) yields the permeability coefficient as follows:

$$\mathcal{P}_i = D_{0,i} S_{0,i} \exp\left(-\frac{E_{D,i} + \Delta H_{S,i}}{RT}\right) = \mathcal{P}_{0,i} \exp\left(-\frac{E_{P,i}}{RT}\right) \quad (2.17)$$

Where $\mathcal{P}_{0,i}$ is a pre-exponential constant and $E_{P,i}$ the activation energy of permeation, namely the algebraic sum of $E_{D,i}$ and $\Delta H_{S,i}$.

2.4.2.1.3 Free volume model [23]

Free volume models differ from molecular models in the fact that rather than considering diffusion as a thermally activated process, they assume it results from random redistributions of free volume voids within a polymer matrix. These models are typically based upon the theories of Cohen and Turnbull (1959, 1961) which describe diffusion as occurring when a molecule can move into a void larger than a critical size, $V_{c,i}$, formed during the statistical redistribution of free volume within the polymer, V_f . The probability of n polymer segments of average free volume forming a void larger than the critical size is thought to be proportional to $\exp(-V_{c,i}/V_f)$. It follows that diffusion is dependent on the availability of an activation volume and the diffusion coefficient of a species i is expressed as follows:

$$D_i = D_{0,i} \exp\left(-\frac{V_{c,i}}{V_f}\right) \quad (2.18)$$

This model was originally developed for self-diffusion of a penetrant in an ideal liquid consisting of hard spheres and is therefore not applicable at temperatures far below the glass transition temperature of the polymer, where chain motion is virtually non-existent, or at high temperatures where an activation energy term may be necessary. Another free volume model was conceived by Fujita (1958) to relate the thermodynamic diffusion coefficient, $D_{T,i}$, to the free volume of the polymer as follows:

$$D_{T,i} = RTA_i \exp\left(-\frac{B_i}{V_f}\right) \quad (2.19)$$

In which A_i and B_i are temperature-dependent parameters specific to a penetrant-polymer system. V_f is often replaced with an equivalent measure of free volume, the fractional free volume of the polymer, FFV . The relationship between the actual diffusion coefficient and the thermodynamic diffusion one is as follows:

$$D_i = D_{T,i} \left[\frac{\ln a_i}{\ln \phi_i} \right] \quad (2.20)$$

In which a_i stands for the gas phase activity and ϕ_i for the volume fraction of penetrant in the polymer. Seeing that simple gases exhibit relatively low solubilities in polymers, the term $\ln a_i / \ln \phi_i$ tends to unity and both diffusion coefficients are usually considered equivalent. Consequently, the expression which yields the permeability coefficient according to the free volume model is as follows:

$$\mathcal{P}_i = A_i^* \exp\left(-\frac{B_i^*}{FFV}\right) \quad (2.21)$$

Where A_i^* and B_i^* are temperature-dependent parameters specific to a penetrant-polymer system and the pre-exponential factor also encompasses the solubility coefficient. However, this model fails to include a parameter which directly measures penetrant-polymer interactions and lacks the ability to describe size and shape effects of the penetrant, having been found insufficient in predicting penetrant diffusion coefficients that are largely independent of concentration due to its overestimation of the critical “hole” size.

2.4.2.1.4 Flory-Huggins model [24]

Henry’s law of solubility also holds in case of permeation of condensable gases in rubbery polymers at low pressures but deviates positively at high pressures, exhibiting the so-called Flory-Huggins swelling behaviour, as expressed by the following expression, valid for the case of binary systems in the absence of cross-linking and for a high degree of polymerization:

$$\ln a_i = \ln \frac{p_i}{p_i^{sat}} = \ln \phi_i + (1 - \phi_i) + \chi_i (1 - \phi_i)^2 \quad (2.22)$$

In which p_i^{sat} is the saturation vapour pressure and χ_i the Flory-Huggins interaction parameter, which represents the quality of the penetrant as a solvent for a specific polymer: for $\chi_i > 2$, penetrant-polymer

interactions are considered negligible whereas for $\chi_i \leq 0.5$ they are strong enough to result in significant dissolution. As for the volume fraction of penetrant in the polymer, it can be determined like so:

$$\phi_i = \frac{C_i \bar{V}_i}{\phi_a + C_i \bar{V}_i} \quad (2.23)$$

Where ϕ_a represents the amorphous phase volume fraction in the polymer and \bar{V}_i the partial molar volume of the penetrant. Combining the previous two equations and according to Henry's law of solubility, the equation which yields the solubility coefficient is as follows:

$$S_i = \frac{\phi_a}{\bar{V}_i p_i^{sat} (1 - \phi_i) \exp[(1 - \phi_i) + \chi_i (1 - \phi_i)^2]} \quad (2.24)$$

The expression which yields the permeability coefficient throughout the range of penetrant volume fraction in the polymer is as follows:

$$\mathcal{P}_i = D_{\text{avg},i} \left(\frac{\phi_a}{\bar{V}_i p_i^{sat} (1 - \phi_i) \exp[(1 - \phi_i) + \chi_i (1 - \phi_i)^2]} \right) \quad (2.25)$$

In which $D_{\text{avg},i}$ represents the average diffusion coefficient across a pressure range. For ideal gases, p_i/p_i^{sat} is a convenient measure of activity seeing that the saturation vapour pressure can be estimated using the Antoine equation, but it can be relaxed for real gas behaviour by replacing partial pressure with fugacity. However, seeing that at infinite dilution, the penetrant volume fraction can be considered negligible, the previous expression can be conveniently simplified like so [25]:

$$\mathcal{P}_i = \mathcal{P}_i^\infty + m_i (p_{i,0} - p_{i,l}) \quad (2.26)$$

Where \mathcal{P}_i^∞ is the permeability coefficient when the driving force is null, and m_i is a parameter which characterizes the pressure dependence of permeability.

2.4.2.1.5 Dual-mode sorption model [20]

Viewing gas permeation in glassy polymers with respect to the solution-diffusion model, the failure of Henry's solubility law to explain the negative deviation from linear solubility at high pressures can be explained in terms of the presence of two or more modes for sorption which occur simultaneously. The dual-mode sorption mechanism was modelled in its final form by Barrer (1958), and Michaels and Vieth (1963) and postulates that a glassy polymer consists of a continuous chain matrix with dispersed microvoids as a result from the excess free volume inherent to the non-equilibrium thermodynamic state of the polymer. Penetrant concentration can therefore be defined in terms of concentration by dissolution in the continuous chain matrix, $C_{D,i}$, as well as concentration by sorption in the microvoids, $C_{H,i}$, according to the following expression:

$$C_i = C_{D,i} + C_{H,i} \quad (2.27)$$

Where $C_{D,i}$ and $C_{H,i}$ are presumed to be in equilibrium, but only $C_{D,i}$ is available for diffusion seeing that the $C_{H,i}$ consists of completely immobilized gas molecules.

The contribution of dissolution to total penetrant concentration is given by Henry's law of solubility and represents the fraction available for diffusion, whereas the contribution of sorption derives from Langmuir isotherm, as stated in the equation:

$$C_i = k_{D,i}p_i + \frac{C'_{H,i}b_i p_i}{1 + b_i p_i} \quad (2.28)$$

Where $k_{D,i}$ represents Henry's dissolution constant, $C'_{H,i}$ the hole saturation constant, b_i the Langmuir hole affinity parameter and p_i the gas phase partial pressure. Comprising both contributions and assuming the downstream penetrant partial pressure to be negligible relative to that on the upstream side of the membrane, the sorption coefficient is as follows:

$$S_i = k_{D,i} + \frac{C'_{H,i}b_i}{1 + b_i p_{i,0}} \quad (2.29)$$

When the downstream penetrant partial pressure is negligible relative to the upstream side one, a simplified expression for permeability can be derived as follows [26]:

$$\mathcal{P}_i = D_i \left(k_{D,i} + \frac{C'_{H,i}b_i}{1 + b_i p_{i,0}} \right) \quad (2.30)$$

The dual-mode sorption model is valid for permeation of simple and ideal gases in glassy polymers, assuming that both gas-gas and gas-polymer interactions are negligible, but can also be adapted to non-ideal gas behaviour by replacing partial pressure with fugacity.

2.4.2.1.6 Partial immobilization model [20]

The partial immobilization model was first suggested by Petropoulos (1970) and later presented by Paul and Koros (1976), and proposed the existence of a mobile fraction of Langmuir-mode sorbed gas molecules, which resulted in the modification of the first Fickian law for diffusion to include separate diffusion coefficients for Henry mode species, $D_{D,i}$, and for Langmuir mode ones, $D_{H,i}$. The equation which yields the permeation flux then becomes:

$$J_i = -D_{D,i} \left(\frac{\partial C_{D,i}}{\partial z} \right) - D_{H,i} \left(\frac{\partial C_{H,i}}{\partial z} \right) \quad (2.31)$$

In which both diffusion coefficients are assumed to be concentration independent and the value of $D_{D,i}$ is generally deemed much larger than that of $D_{H,i}$. Introducing a factor F , defined as the ratio between $D_{F,i}$ and $D_{D,i}$ and representative of the mobile fraction of the Langmuir-mode species, the previous expression can be reformulated like so:

$$J_i = -D_{D,i} \left[1 + F_i \left(\frac{\partial C_{H,i}}{\partial z} \right) \right] \left(\frac{\partial C_{D,i}}{\partial z} \right) \quad (2.32)$$

When the downstream penetrant partial pressure is negligible relative to that on the upstream side of the membrane, a simplified expression for the permeability coefficient can be derived as follows:

$$\mathcal{P}_i = k_{D,i} D_{D,i} \left[1 + \frac{F_i C'_{H,i} b_i}{k_{D,i} (1 + b_i p_{i,0})} \right] \quad (2.33)$$

Null and unit values of factor F_i are respectively indicative of total and no immobilization of the Langmuir-mode sorbed gas molecules, whereas intermediate values correspond to partial immobilization and inform about the effects of upstream pressure on sorption [27]. The dual-mode sorption model with partial immobilization is widely accepted based on the excellent agreement between the experimental results and theoretical predictions, along with the simple conceptual description of the process. However, it merely provides a conceptual reference for data interpretation as it fails to correlate the sorption parameters to known properties of the gas and the polymer, and the presence of just two distinct sorption modes is an oversimplification when considering sorption site size distributions.

2.4.2.2 Homogeneous inorganic membranes

Gas permeation through porous inorganic membranes is a process determined by membrane morphology as well as characteristics of the gas. Porous media can be categorized according to the mean pore diameter as macroporous (>50 nm), mesoporous (2-50 nm) or microporous (<2 nm), and such distinction is required to determine the dominant transport mechanism in each case, according to the magnitude of the interactions between gas and pore walls.

As illustrated in Fig. 2-6, for macro- and mesoporous media, it can be assumed that the void fraction constitutes a single region under no restriction from the pore wall in which the gas molecules flow freely, whereas for microporous media there exists an additional layer under strong interaction forces from the pore wall, consisting of gas molecules sorbed on the surface, which represents the dominant resistance to permeation. Given the different transport mechanisms in play in each case, of the several models developed to describe gas permeation, the following will be discussed in detail: 1) pore-flow; and 2) surface diffusion.

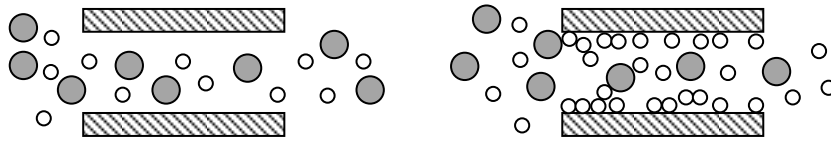


Fig. 2-6 - Gas permeation according to pore-flow and surface diffusion models

2.4.2.2.1 Pore-flow model [28]

Gas permeation through porous membranes can be described assuming that the transport through the pores is far more significant than that in the filled fraction of the material, in which case it can be expressed in terms of Fickian diffusion:

$$J_i = -\frac{\varepsilon}{\tau} D_i \frac{\partial C_i}{\partial z} \quad (2.34)$$

Where J_i is the steady-state permeation flux of species i through the pores, D_i is the respective diffusion coefficient, C_i is the concentration of i in the gas phase and z is the direction of the diffusive flow. The

parameters ε and τ represent membrane porosity and pore tortuosity, respectively, which restrict diffusion to pore space and to the viable pathways through the pores.

For meso- and macroporous media, two different diffusion mechanisms will govern gas permeation according to the size of the pores: if they are so narrow that the gas molecules are more likely to collide with the pore walls than with each other, Knudsen diffusion is dominant; whereas if the pores are large enough that the odds of colliding with the wall are very low, the flow is similar to that governed by Poiseuille's law, valid for Newtonian fluids and laminar flow. The dominant mechanism can be established by determining the Knudsen number for a particular pore size, K_n , i.e. the ratio between mean free path for diffusion λ_i and pore diameter d_{pore} , in which λ_i is a function of gas viscosity η_i and molecular weight $M_{w,i}$ and the total pressure of the system, P , like so:

$$K_n = \frac{\lambda_i}{d_{\text{pore}}} = \frac{3\eta_i}{2Pd_{\text{pore}}} \frac{(\pi RT)^{\frac{1}{2}}}{2M_{w,i}} \quad (2.35)$$

When the Knudsen number is much higher than unit as is the case of diffusion through mesopores, Knudsen diffusion rules transport and its diffusion coefficient depends on molecular weight, M_i , as follows:

$$D_{K,i} = \frac{d_{\text{pore}}}{3} \sqrt{\frac{8RT}{\pi M_{w,i}}} \quad (2.36)$$

Whereas if the Knudsen number is much lower than unit as is the case of diffusion through macropores, Poiseuille flow takes over and the respective diffusion coefficient, assuming cylindrical pores, is a function of gas viscosity and the mean pressure across the membrane, \bar{P} , like so [29]:

$$D_{P,i} = \bar{P} \frac{d_{\text{pore}}^2}{32\eta_i} \quad (2.37)$$

Seeing that membranes are usually characterized by a distribution of pore sizes rather than a particular one, it is reasonable to consider that both mechanisms may occur alongside each other, presenting a combined resistance in parallel. In this case, the additive effect of the individual contributions of both mechanism yields the total permeation flux as follows:

$$J_i = \frac{\varepsilon}{\tau} (D_{K,i} + D_{P,i}) \frac{\partial C_i}{\partial z} \quad (2.38)$$

If constant diffusion coefficients are assumed, integrating the equation above across a membrane of thickness l yields the permeation flux expressed in the equation below:

$$J_i = \frac{\varepsilon}{\tau} (D_{K,i} + D_{P,i}) \frac{(C_{i,0} - C_{i,l})}{l} \quad (2.39)$$

Since for ideal gases, pressure and concentration have a linear relationship, as expressed by the corresponding equation of state, the permeation flux of species i can also be described in terms of a diffusive flow down a pressure gradient across the membrane as follows:

$$J_i = \frac{\varepsilon}{\tau} \left(\frac{d_{\text{pore}}}{3} \sqrt{\frac{8\mathcal{R}T}{\pi M_{w,i}}} + \bar{P} \frac{d_{\text{pore}}^2}{32\eta_i} \right) \frac{1}{\mathcal{R}T} \frac{(p_{i,0} - p_{i,l})}{l} \quad (2.40)$$

The permeability coefficient, \mathcal{P}_i , can thus be expressed according to the following equation, which embodies the pore-flow model [30]:

$$\mathcal{P}_i = \frac{\varepsilon}{\tau} \left(\frac{d_{\text{pore}}}{3} \sqrt{\frac{8\mathcal{R}T}{\pi M_{w,i}}} + \bar{P} \frac{d_{\text{pore}}^2}{32\eta_i} \right) \frac{1}{\mathcal{R}T} \quad (2.41)$$

The pore-flow model is valid for permeation of ideal gases in porous media, assuming that the gas-pore wall interaction is negligible, but can be adapted to non-ideal gas behaviour by replacing partial pressure with fugacity.

2.4.2.2.2 Surface diffusion model [31]

As proposed by Keizer (1988) and later reinforced by Ulhorn (1989), the permeation of a gas species i through the adsorbed phase, also known as surface diffusion, can also be expressed in terms of Fickian diffusion when corrected according to the Darken equation, as follows:

$$D_{s,i} = D_{s,i}^0 \frac{\partial \ln p_i}{\partial \ln q_i} \quad (2.42)$$

Where $D_{s,i}$ and $D_{s,i}^0$ represent the corrected and zero loading surface diffusion coefficients, respectively, and $\partial \ln p_i / \partial \ln q_i$ the thermodynamic correction coefficient, in which p_i is the partial pressure of i at gas phase and q_i the concentration of molecules of species i sorbed on the surface, also known as loading. Seeing that surface diffusion is also known to exhibit Arrhenius behaviour, $D_{s,i}^0$ can be expressed like so:

$$D_{s,i}^0 = D_{s,i}^{0,0} \exp\left(-\frac{E_{s,i}}{\mathcal{R}T}\right) \quad (2.43)$$

In which $D_{s,i}^{0,0}$ is a pre-exponential factor and $E_{s,i}$ is the activation energy of surface diffusion. The expression which yields the steady-state permeation flux of species i then becomes:

$$J_i = (1 - \varepsilon) D_{s,i}^0 \frac{\partial \ln p_i}{\partial \ln q_i} \frac{\partial q_i}{\partial z} \quad (2.44)$$

In which $(1 - \varepsilon)$ is the fraction of volume available for surface diffusion. The thermodynamic correction coefficient can be determined via derivation of the sorption isotherm which best describes the loading of species i over a pressure range. As such, in the event that a Langmuir isotherm accurately describes the sorption of the species in question, the loading is given according to the following equation:

$$q_i = \frac{q_i^{\text{sat}} b_i p_i}{1 + b_i p_i} \quad (2.45)$$

Where q_i^{sat} is the loading capacity at saturation and b_i is the sorption affinity. Conveniently defining a factor for surface coverage, $\theta_i = q_i / q_i^{\text{sat}}$, the thermodynamic correction coefficient is derived as follows [29]:

$$\frac{\partial \ln p_i}{\partial \ln q_i} = \frac{1}{1 - \theta_i} \quad (2.46)$$

And the equation which yields the permeation flux can be rewritten like so:

$$J_i = \frac{(1 - \varepsilon) D_{s,i}^0 q_i^{sat}}{1 - \theta_i} \frac{\partial \theta_i}{\partial z} \quad (2.47)$$

If the diffusion coefficient is assumed constant, integrating the equation above across a membrane of thickness l and eliminating the auxiliary variable yields the permeation flux like so:

$$J_i = \frac{(1 - \varepsilon) D_{s,i}^0 q_i^{sat}}{l} \ln \left(\frac{1 + b_i p_{i,0}}{1 + b_i p_{i,l}} \right) \quad (2.48)$$

Resulting in the following expression for the permeability coefficient [32]:

$$\mathcal{P}_i = \frac{(1 - \varepsilon) D_{s,i}^0 q_i^{sat}}{p_{i,0} - p_{i,l}} \ln \left(\frac{1 + b_i p_{i,0}}{1 + b_i p_{i,l}} \right) \quad (2.49)$$

The same reasoning can be applied to other pertinent isotherms so as to obtain different expressions for permeability. The surface diffusion model is valid for permeation of ideal gases in microporous media but can be adapted to non-ideal gas behaviour by replacing partial pressure with fugacity.

2.4.2.3 Heterogeneous membranes

Similarly to an electrical circuit, the permeation flux through heterogeneous membranes, such as asymmetrical or composite ones, can be expressed in terms of equivalent resistance models, of which the following will be discussed in detail: 1) resistances in series; 2) resistances in parallel; and 3) Maxwell's model.

2.4.2.3.1 Resistances in series [33]

As illustrated in Fig. 2-7, the equivalent resistance to gas permeation through an asymmetrical composite membrane, R_{eq} , can be expressed in terms of the resistances of the membrane and the support, and consequently in terms of their individual permeances, like so [34]:

$$R_{eq} = R_s + R_m = \frac{l_s}{\mathcal{P}_s} + \frac{l_m}{\mathcal{P}_m} = \frac{l_s \mathcal{P}_m + l_m \mathcal{P}_s}{\mathcal{P}_s \mathcal{P}_m} \rightarrow \frac{1}{R_{eq}} = \frac{\mathcal{P}_s \mathcal{P}_m}{l_s \mathcal{P}_m + l_m \mathcal{P}_s} \quad (2.50)$$

In which the indices s and m correspond to support and membrane, respectively. As a result, the expression which yields the equivalent permeability, \mathcal{P}_{eq} , of a supported membrane of thickness $l_{eq} = l_s + l_m$ is as follows:

$$\mathcal{P}_{eq} = \frac{l_{eq}}{R_{eq}} = \left(\frac{\mathcal{P}_s \mathcal{P}_m}{l_s \mathcal{P}_m + l_m \mathcal{P}_s} \right) (l_s + l_m) \quad (2.51)$$

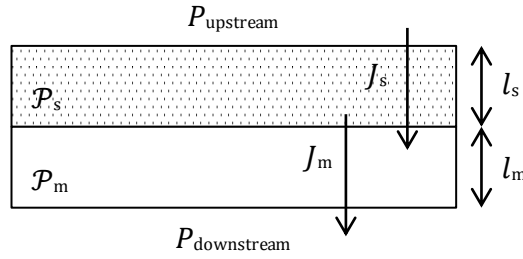


Fig. 2-7 - Schematics of gas permeation through a supported membrane

2.4.2.3.2 Resistances in parallel [29]

As illustrated in Fig. 2-8, the equivalent resistance to gas permeation through a symmetrical composite membrane, R_{eq} , can be expressed in terms of the individual resistances of the disperse phase and the continuous one, and consequently in terms of their individual permeances, like so:

$$\frac{1}{R_{eq}} = \phi_d \frac{1}{R_d} + (1 - \phi_d) \frac{1}{R_c} = \frac{\phi_d \mathcal{P}_d + (1 - \phi_d) \mathcal{P}_c}{l} \quad (2.52)$$

In which the indices d and c correspond to disperse and continuous phases, respectively. As a result, the equation which yields the equivalent permeability of a mixed matrix membrane, \mathcal{P}_{eq} , is as follows:

$$\mathcal{P}_{eq} = \frac{l}{R_{eq}} = \phi_d \mathcal{P}_d + (1 - \phi_d) \mathcal{P}_c \quad (2.53)$$

Where l represents the membrane thickness and ϕ_d the disperse phase volume fraction.

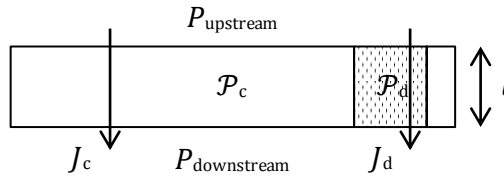


Fig. 2-8 - Schematics of gas permeation through a mixed matrix membrane

2.4.2.3.3 Maxwell model

For the specific case of mixed matrix membranes in which the disperse phase consists of a dilute suspension ($\phi_d < 0.2$) of particles of filler material, a model derived by Maxwell (1873) for the estimation of the dielectric properties of composite materials can be used to determine the equivalent permeability, expressed as follows [35]:

$$\mathcal{P}_{eq} = \frac{\mathcal{P}_c [\mathcal{P}_d + 2\mathcal{P}_c - 2\phi_d(\mathcal{P}_c - \mathcal{P}_d)]}{\mathcal{P}_d + 2\mathcal{P}_c + \phi_d(\mathcal{P}_c - \mathcal{P}_d)} \quad (2.54)$$

In which the indices d and c correspond to disperse and continuous phases. However, this model does not consider effects of particle size, shape or aggregation [36].

2.4.3 Process design

The performance of a membrane process is known to hinge on several factors, of which the following will be discussed in detail: 1) operating conditions; 2) residence time; 3) pressure ratio; and 4) number of stages.

2.4.3.1 Operating conditions

Membrane performance affects that of the process in the sense that high permeability favours recovery, whereas high selectivity is conducive to great purity. Moreover, both permeability and selectivity coefficients vary widely with membrane type and are bound to vary differently with temperature and pressure depending on the transport mechanisms in play.

2.4.3.1.1 Polymeric membranes

According to the solution-diffusion model, which postulates that permeability is the product of diffusivity and solubility, permeability coefficients are bound to vary differently with operating conditions such as temperature and pressure, depending on the state of the polymer as well as characteristics of the gas. Diffusion is typically considered a thermally activated process, which can be described in terms of an empirical Arrhenius correlation, such as that of equation (2.13), and is therefore enhanced by increasing temperature [22].

As for the dependence of solubility on temperature in rubbery polymers, it is typically written in terms of an empirical van't Hoff relationship and deemed a two-step process, in which the gas molecules first condense and then polymer matrix rearranges itself to create a molecular scale gap to accommodate the molecule. The molar enthalpy of sorption corresponds to the algebraic sum of the enthalpy contributions of condensation and mixing and, whereas for simple gases the mixing enthalpy is positive and dominant, and solubility is boosted by temperature, for condensable gases and organic vapours the enthalpy for condensation is negative and dominant and solubility tends to decrease with rising temperature [20].

Assuming constant diffusion, the effect of pressure on permeability is transparent upon observation of the sorption behaviour of a gas in a polymer. Seeing that the permeation of simple gases in rubbery polymers presents linear sorption isotherms compliant to Henry's law of solubility, it follows that the permeability coefficient also increases linearly with rising partial pressure, as illustrated by curve A in Fig. 2-9. However, for more condensable gases, at sufficiently high pressures, i.e., significant relative to the vapour pressure of the gas below its critical temperature, and organic vapours, strong deviations can be observed [22].

A sorption isotherm which is concave to the pressure axis, as illustrated by curve B in Fig. 2-9, is characteristic of penetrant dissolution in glassy polymers and typically described by the dual-mode sorption equation, which comprises both contributions from Henry's law of solubility, predominant for low pressures, and Langmuir sorption, which dominates at high pressure. It follows that for glassy polymers, since the diffusion coefficient remains fairly constant, the dual-mode sorption model predicts a decrease in permeability with increasing pressure which dampens for high pressures [20].

A sorption isotherm which is convex to the pressure axis and exhibits an inflection point characteristic of plasticisation, as illustrated by curve C in Fig. 2-9, is characteristic of gas dissolution in rubbery polymers and typically described by the Flory-Huggins model. For very condensable gases and organic vapours both diffusion and solubility are strongly enhanced by pressure and, seeing that the parameter which

characterizes pressure dependence is typically positive, the permeability coefficient increases with rising pressure [37].

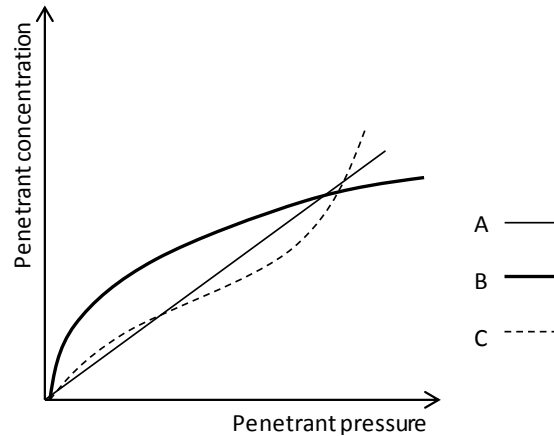


Fig. 2-9 - Response curves for different sorption behaviours adapted from Dhingra, 1997 [20]

2.4.3.1.2 Inorganic membranes

According to the pore-flow model, the permeation of simple gases through mesopores where Knudsen diffusion is dominant is enhanced as temperature rises, seeing that the permeability coefficient is proportional to the square root of temperature. As for macropores, in which Poiseuille flow dominates, since the viscosity of a gas increases with rising temperature, hindering transport, the corresponding diffusion coefficient is bound to decrease. It follows that, for simple gases, the permeability coefficient associated to narrow pores improves with rising temperature, whereas the opposite trend is associated to large pores. On the other hand, according to the surface diffusion model, the diffusion of condensable gases through micropores is also a thermally activated process and hence improved by increasing temperature, albeit slightly offset by the exothermic nature of sorption. The overall effect of temperature on the permeability coefficient is, however, typically positive [38].

The transport of simple gases through mesopores is not particularly influenced by pressure, whereas in micropores diffusion rather improves with increasing pressure, seeing that the permeability coefficient is proportional to the average pressure across the membrane [38]. As for condensable gases, sorption is impaired by pressure in a manner similar to that of gas permeation through glassy polymeric membranes, and it follows that the permeability coefficient generally decreases with rising pressure [39].

2.4.3.2 Residence time

Seeing that the permeation flux of component i relates to its permeate flow rate and to the membrane area available for permeation, A_{perm} , like so:

$$J_i = \frac{F_{p,i}}{A_{\text{perm}}} \quad (2.55)$$

It follows that increasing the residence time of the gas in the module, either by increasing the membrane area, which can be achieved by scaling up its size specifications or by using a larger number of modules in parallel, or by decreasing the feed flow rate, promotes recovery at the expense of purity [40].

2.4.3.3 Pressure ratio

Flow across the membrane can only occur if the partial pressure of component i on the feed side of the membrane, $p_{f,i} = x_{f,i}P_f$, is greater than that on the permeate side of the membrane, $p_{p,i} = y_{p,i}P_p$. It follows that the maximum enrichment achieved by the membrane can never exceed the pressure ratio P_f/P_p , as the following equation states [41]:

$$\frac{y_{p,i}}{x_{f,i}} \leq \frac{P_f}{P_p} \quad (2.56)$$

Consequently, high pressure ratios favour both recovery and purity so that less membrane area is required to carry out the separation. Pressure ratio can be arranged either by compressing the feed stream or drawing vacuum on the permeate one, or both at the same time. Although feed compression is more energy-intensive, it requires less membrane area than permeate vacuum, and is more common in industrial applications [42].

2.4.3.4 Number of stages

Due to feasibility limitations regarding pressure ratio in industrial processes, typically in the range of 5-15 [41], membrane processes often fail to reach both recovery and purity targets in a single stage, regardless of the membrane type used. A multi-stage configuration consists of a system of membranes in series, in which either the permeate stream is recompressed and cooled before being fed to the next stage, enabling further enrichment to obtain higher purity, in what is known as a membrane cascade; or the retentate stream is fed to the following stage to facilitate further stripping and promote recovery.

2.4.4 Membrane processes for post-combustion carbon capture

Although the post-combustion implementation of CCS processes is suitable for retrofitting of the majority of existing fossil-fired power plants, which otherwise have no choice but to keep on venting CO₂ to atmosphere, it also faces many challenges, seeing that power plants produce remarkably large volumes of dilute flue gas at atmospheric pressure, which translates into low separation driving force, and its high temperature and saturated water content impair most capture processes [11].

While low partial pressure is of little consequence to amine absorption, keeping up with substantial flow rates requires very large equipment and intensive heat duty for solvent regeneration, consuming up to 1/3 of the total steam produced by the plant and increasing the cost of electricity by up to 70 % [43]. The current efficiency penalty for MEA absorption ranges from 8 to 14 % for different types of power plants [40], and literature predicts the annual carbon capture process cost for MEA absorption with 90 % recovery in the range of \$40-100/tonCO₂ [42], which is still higher than the Clean Coal Research Program (CCRP) target of less than \$40/tonCO₂ in the 2020-2025 timeframe and well above the goal of \$10/ton by 2035 [44]. Seeing that

amine absorption is a mature technology, significant improvements resulting in lower capture costs are unlikely to happen in the future, urging processes like membrane separation to rise up to the challenge.

Although membrane separation processes incur all of the aforementioned handicaps, several concepts have been developed to improve separation, of which the following will be discussed in detail: 1) membrane cascade zoning; 2) recycle processes; 3) cold membrane processes; 4) sweep operation.

2.4.4.1 Membrane cascade zoning

Single-stage membrane processes have been found unable to capture 90 % of the CO₂ in flue gas while producing high-purity CO₂ (> 95 mol.%), regardless of the membrane type used [42]. As a result, several cascade designs, typically ranging from 2 to 4 stages, have been designed to meet the criteria [45], [46]. Seeing that feed streams become progressively richer in CO₂ down a cascade, the driving force of separation gradually becomes less dependent on pressure and therefore less pressure-ratio-limited, as expressed by equation (2.56). Moreover, given the influence of membrane performance on that of the process, it is advantageous to divide cascades into zones: a severely pressure-limited stripping zone comprising highly permeable membranes operating under high pressure ratio, which ensures high recovery with less area requirements; and an enrichment zone consisting of highly selective membranes operating under low pressure ratio, which determines product purity [41].

2.4.4.2 Recycle processes

In multi-stage systems, streams with CO₂ content much too high to vent out into the atmosphere can be recycled and introduced into feed streams of previous stages. Although this proves beneficial when the stream in question is richer in CO₂ than the one it is mixed with, improving both recovery and purity, the reverse dilutes the feed stream, impairing separation. Also, recycling retentate streams enables the recovery of part of the compression energy by using expanders, while recycling permeate ones entails recompression [45].

2.4.4.3 Cold membrane processes

Although the molecular model evidences the benefits of temperature on permeability, that on selectivity is not as obvious. Rather than operating at moderate temperatures so as to reduce cooling costs, cold membrane processes, i.e., sub-ambient operation enables the tuning of selectivity to exceptional values while maintaining high permeability when the gas species exhibit sufficiently different parameters [47].

2.4.4.4 Sweep operation

In membrane modules with counter-current flow configurations, introducing a purge/sweep stream comprising air, inert gas, low pressure water vapour or even decompressed retentate, into the permeate side, effectively increases the driving force of separation by diluting the permeate. For sufficiently low sweep flow rates, this approach considerably reduces the membrane area required to achieve separation while the permeate stream purity remains roughly the same [42].

3 Technical description

This work was carried out in the following stages: 1) modelling, in which the gPROMS® HF membrane module model in the gML “Separation - Membranes” library was revised, improved and validated; 2) and flowsheeting, in which a carbon capture strategy and flowsheet were selected, simulated and optimised for industrial scale-up.

3.1 gPROMS® modelling

The improvement of the membrane module model was carried out by adding several gas permeation models to its membrane sub-model in order to calculate membrane permeability, which became a distributed variable rather than an array of user-specified values. Said gas models were allocated to the following model entities, according to membrane type: 1) polymeric; 2) inorganic; and 3) heterogeneous. The graphic user interface (GUI) of each model can be found under Appendix 1.

3.1.1 Polymeric membranes

The model entity designed for polymeric membranes consists of a case structure code that translates into a drop-down menu in which the user specifies the gas permeation model to use from the following: 1) solution-diffusion; 2) molecular; 3) free volume; 4) Flory-Huggins; 5) dual-mode sorption; 6) and partial immobilization. Table 3-1 discriminates the model-specific parameters to be input and the variables whose values are acquired from the membrane sub-model, as well as the equation which yields the permeability coefficient in each case.

Table 3-1 - Parameters, variables and equations in the polymeric membranes model entity

Model	Parameters	Variables	Equation
Solution-diffusion	D_i, S_i	N/A	(2.12)
Molecular	$\mathcal{P}_{0,i}, E_{P,i}$	$T = T_f$	(2.17)
Free volume	A_i^*, B_i^*, FFV	N/A	(2.21)
Flory-Huggins	$\mathcal{P}_i^\infty, m_i$	$p_{i,0} = p_{i,f}, p_{i,l} = p_{i,p}$	(2.26)
Dual-mode sorption	$D_i, k_{D,i}, C'_{H,i}, b_i$	$p_{i,0} = p_{i,f}$	(2.30)
Partial immobilization	$D_i, k_{D,i}, C'_{H,i}, b_i, F$	$p_{i,0} = p_{i,f}$	(2.33)

3.1.2 Inorganic membranes

The model entity designed for inorganic membranes consists of a case structure code that translates into a drop-down menu in which the user specifies the gas permeation model to use from the following: 1) pore-flow; and 2) surface diffusion (Langmuir isotherm). Table 3-2 discriminates the model-specific parameters to

be input by the user and the variables whose values are acquired from the membrane sub-model, as well as the equation which yields the permeability coefficient in each case.

Table 3-2 - Parameters, variables and equations in the polymeric membranes model entity

Model	Parameters	Variables	Equation
Pore-flow	ε, τ, d_p	$T = T_f, P_0 = P_f, P_l = P_p, p_{i,0} = p_{i,f}$	(2.41)
Surface diffusion (Langmuir isotherm)	$\varepsilon, D_{i,s}^0, q_i^{\text{sat}}, b_i, D_{i,s}^{0,0}, E_{s,i}$	$T = T_f, p_{i,0} = p_{i,f}, p_{i,l} = p_{i,p}$	(2.49)

The pore-flow model also includes equation (2.4), seeing that it is necessary to calculate $x_{f,i}$ in order to determine η_i via foreign object call, like so:

$$\eta(i,z) = \text{PhysProp.VapourViscosity}[T_f(z), P_f(z), x_f(\text{components}, z)] \quad (3.1)$$

As for the surface diffusion model, while only fit for species which sorb according to Langmuir isotherms, it features a drop-down menu in which the user specifies whether $D_{i,s}^0$ is to be user input or calculated using equation (2.43).

3.1.3 Heterogeneous membranes

The model entity designed for heterogeneous membranes consists of a case structure code that translates into a set of drop-down menus in which the user first provides whether the membrane in question is supported, which specifies the resistances in series model as default, or an MMM, in which case the following models are available: 1) resistances in parallel; 2) and Maxwell. Table 3-3 discriminates the model-specific parameters to be input by the user and the equation which yields the permeability coefficient in each case, seeing that no variables are acquired from the membrane sub-model.

Table 3-3 - Parameters and equations in the heterogeneous membranes model entity

Model	Parameters	Equation
Resistances in series	$\mathcal{P}_m, \mathcal{P}_s, l_m, l_s$	(2.51)
Resistances in parallel	$\mathcal{P}_c, \mathcal{P}_d, \phi_d$	(2.53)
Maxwell	$\mathcal{P}_c, \mathcal{P}_d, \phi_d$	(2.54)

3.2 gPROMS® model validation

3.2.1 Hollow fibre membrane module

gPROMS® simulates a single-stage membrane system according to the schematics illustrated in Fig. 3-1, which depict material source models for both feed and sweep streams, in which the component fractions, flow rate, temperature and pressure are user-specified, as well as the Multiflash™ file containing information for the calculation of component physical properties according to the desired thermodynamic model; and flow-driven material sink models for both retentate and permeate streams. The pressure gradient is ensured

by a control entity which adjusts the pressure in the sweep stream so as to produce the desired value in the permeate one after undergoing pressure drop throughout the membrane, and the module size specifications and component permeances are also user-specified. A detailed description of the inputs and outputs of the HF membrane module model can be found under Appendix 1.

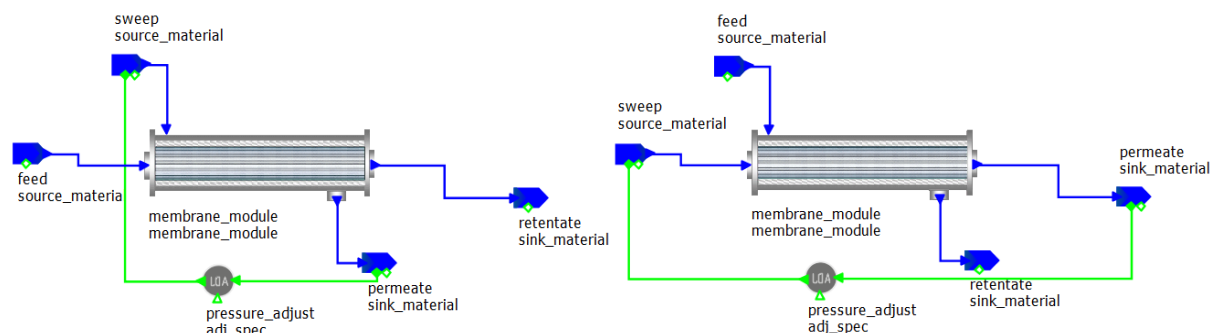


Fig. 3-1 - Topology of a single-stage membrane system with bore- and shell-side feed designs

The gPROMS® HF membrane model was validated against experimental data reported for CO₂/N₂ separations by Song et al (2006) [48], Feng and Ivory (2000) [49] and Liu et al (2005) [50], performed using single-stage membrane systems, and was found to simulate said module with satisfactory accuracy. Unless stated otherwise, the Multiflash™ file used as a reference for the material source was that of a binary CO₂/N₂ mixture under ideal gas behaviour and all differential equations pertaining to the membrane module were solved using the forward finite difference (FFDM) and backward finite difference (BFDM) spatial discretisation methods as described in Table A1-3 for 50 points. Also, less pertinent information in the form of tables and figures is available under Appendix A3.

Song et al (2006) reported experimental results using a polyethersulfone (PES) membrane HF module with bore-side feed design and counter-current flow configuration in a single-stage system with permeate vent to atmosphere. Table A2-1 comprises the input data for the simulation, and the number of fibres in the bundle, n , was determined to be 3997 using equation (A1.1) since it was not provided in the reference. As illustrated in Fig. 3-2, the simulated results provide a satisfactory fit to the reported experimental data, seeing that both permeate and retentate mole fractions and CO₂ recovery display similar behaviours throughout the same stage cut range. However, the model predictions are slightly pessimistic with regard to purity and recovery, presenting a maximum absolute error of approximately 0.1 for higher stage cuts.

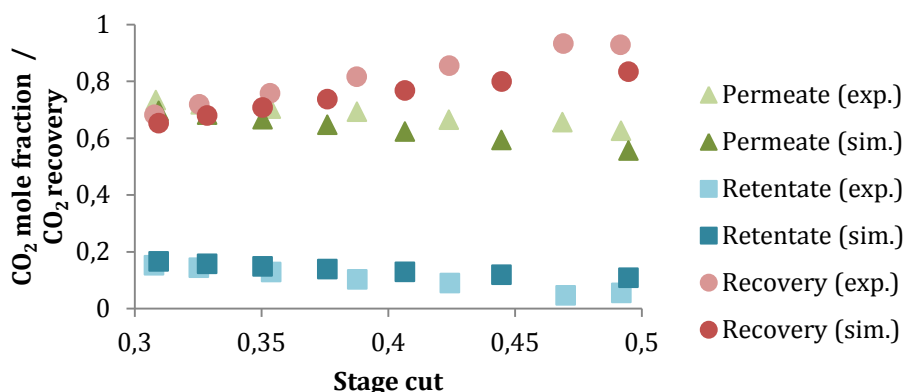


Fig. 3-2 - Simulation versus experimental results reported by Song et al (2006) [48]

Feng and Ivory (2000) reported experimental results using a HF membrane module with both bore- and shell-side feed designs, co- and counter-current flow configurations, and permeate vent to atmosphere and evacuation under vacuum at 60 cm Hg. Table A2-2 comprises the input data for the simulation, and the fibre inner diameter, ID , was assumed equal to the outer one for calculation purposes since it was not provided in the reference. Fig. 3-3 through Fig. 3-6 depict both simulation and experimental results for bore-side feed design with the following nomenclature:

- ICOA: Co-current flow configuration and permeate vent to atmosphere;
- ICCA: Counter-current flow configuration and permeate vent to atmosphere;
- ICCV: Counter-current flow configuration and permeate evacuated under vacuum.

Taking into account that scale of the vertical axis is tight throughout, the curves appear quite similar, seeing that the N_2 mole fraction in the retentate is over-predicted for lower stage cuts only by a maximum of ca. 2 %. Nevertheless, membrane performance is particularly optimistic in case of permeate vent to atmosphere and almost no different from evacuation under vacuum, which is known to be markedly superior. This could eventually be attributed to the fact that, since the feed pressure is much higher than the permeate one in both cases, the difference between atmospheric to vacuum evacuation is likely negligible from a numerical simulation point of view and therefore does not translate into a significative performance boost. Although it was first considered that the activity model set in the Multiflash™ file might not be appropriate given the high-pressure feed, simulation with Virial and Redlich-Kwong equations of state led to the exact same results.

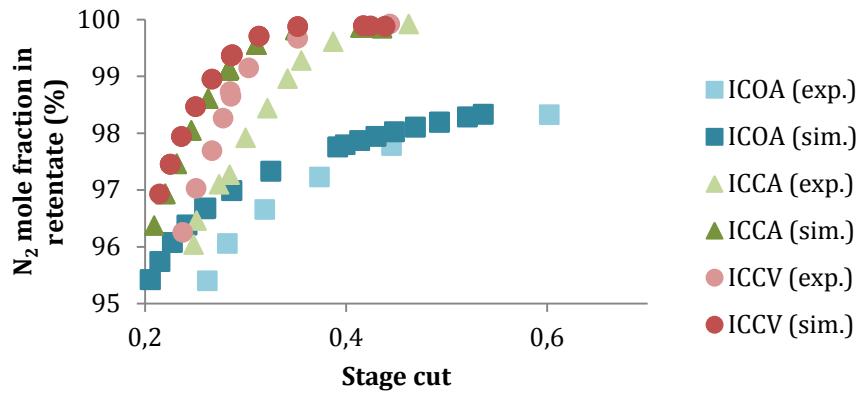


Fig. 3-3 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for N_2 mole fraction in retentate over stage cut for bore-feed side design

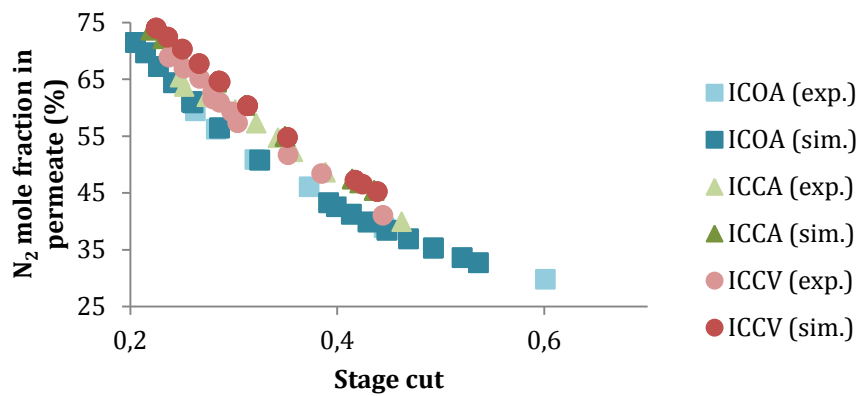


Fig. 3-4 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for N_2 mole fraction in permeate over stage cut for bore-feed side design

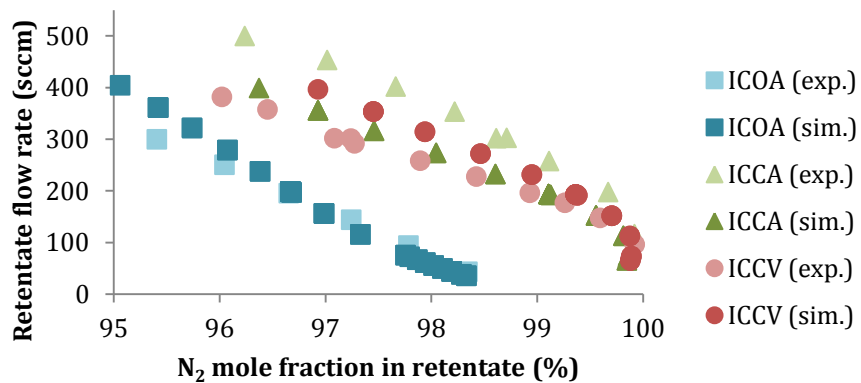


Fig. 3-5 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for retentate flow rate over N_2 mole fraction for bore-feed side design

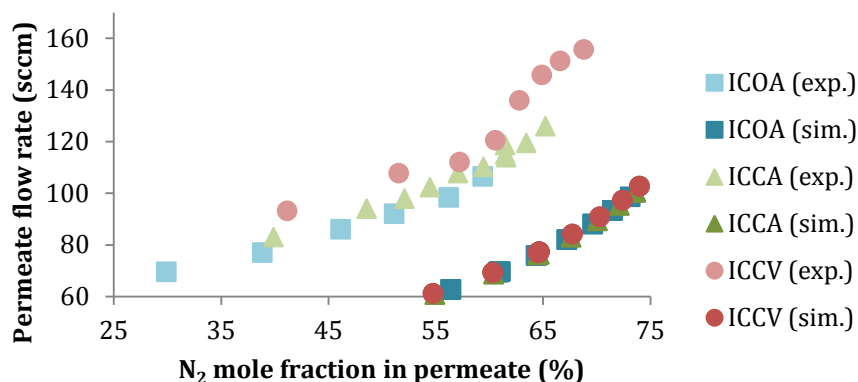


Fig. 3-6 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for permeate flow rate over N₂ mole fraction for bore-feed side design

On the other hand, Fig. A2-1 through Fig. A2-4 illustrate far too optimistic simulated results for the shell-side feed design, particularly for lower stage cuts. This discrepancy could eventually be attributed to an unreported use of a purge stream to force the intended flow configuration, which is known to dilute the permeate and increase the stage cut, seeing that there is no mention in the reference of how it was arranged; to the possible occurrence of concentration polarisation, which has been known to happen in shell-side fed modules for low flow rates, producing a similar effect; or perhaps to fact that membrane permeance may vary greatly when measured with different feed designs and hence the reported value might not hold in case of shell-side feed.

Liu et al (2005) reported experimental results using a PEBA membrane HF module with shell-side feed design, both co- and counter-current flow configurations and permeate vent to atmosphere. Table A2-3 comprises the input data for the simulation, and the number of fibres in the bundle, n , was determined to be 10 using equation (A1.1). As illustrated in Fig. 3-7 through Fig. 3-11, the simulated performance results provide a satisfactory fit to the reported experimental data, albeit slightly optimistic, since permeate and retentate mole fractions display similar behaviours but are over-predicted by a maximum of approximately 10 % for a given stage cut. However, this seemingly slight discrepancy results in a simulated purity that may exceed expectations by up to 30 % in case of markedly poor CO₂ capture, while far better accuracy is achieved when high recovery is intended.

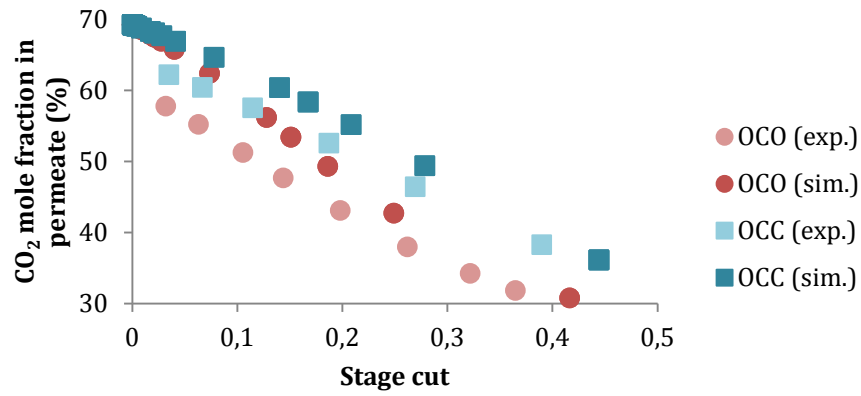


Fig. 3-7 - Simulation versus experimental results reported by Liu et al (2005) [50] for CO₂ mole fraction in permeate over stage cut

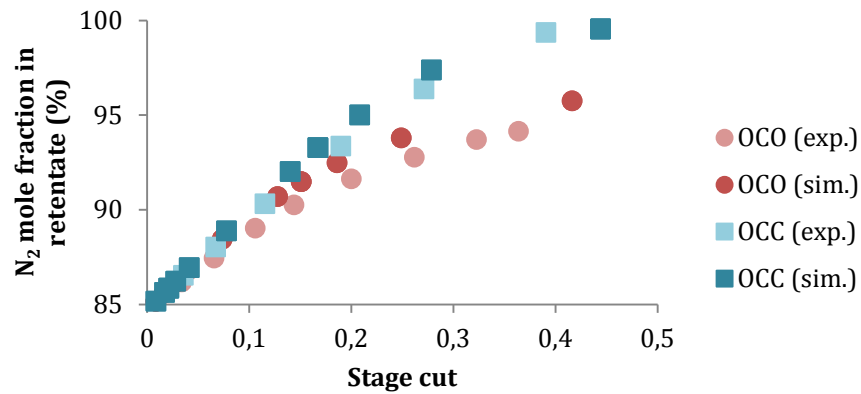


Fig. 3-8 - Simulation versus experimental results reported by Liu et al (2005) [50] for N₂ mole fraction in retentate over stage cut

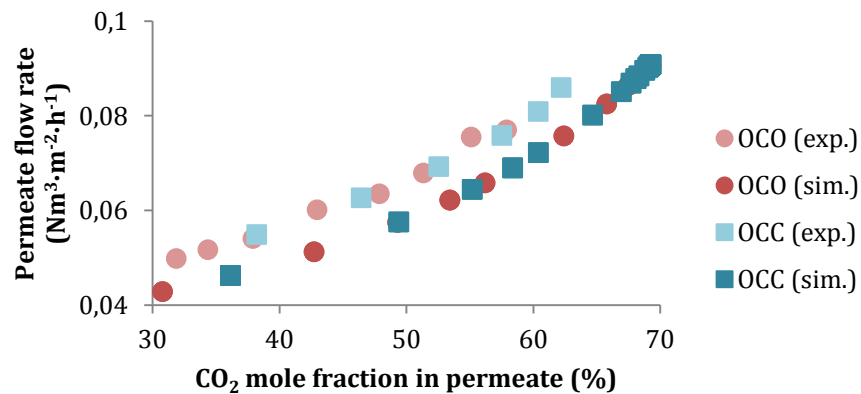


Fig. 3-9 - Simulation versus experimental results reported by Liu et al (2005) [50] for permeate rate flow over CO₂ mole fraction

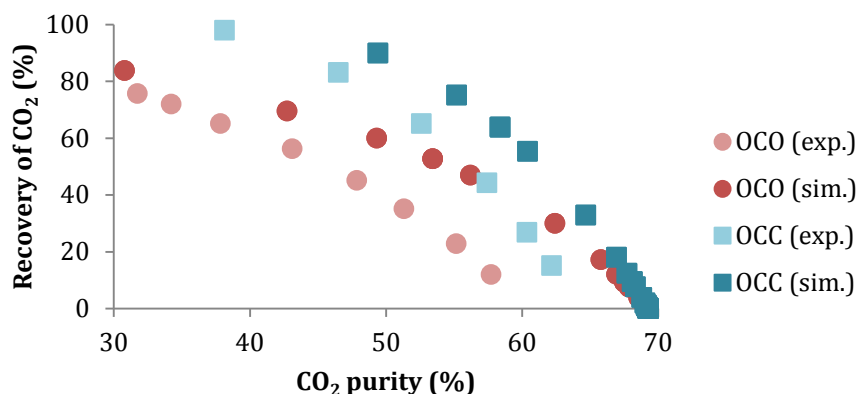


Fig. 3-10 - Simulation versus experimental results reported by Liu et al (2005) [50] for CO₂ recovery over purity

The HF membrane module was hence adequately validated for operation with stage cuts under ca. 0.5, which is a typical feasibility limitation. Although there is no clear pattern to the observed discrepancies in this array of validation cases, the deviations could easily be attributed to parameters not reported in the literature having been calculated under false assumptions or to membrane permeance having been assessed under different conditions than those reported for module operation, since permeability may vary widely with temperature and pressure and permeance differs when assessed for different module configurations.

3.2.2 Permeability models

The gPROMS® permeability models were validated against experimental data reported by several authors and found to accurately describe gas permeation. For all cases the Multiflash™ file used as a reference for the material source was that of gas under ideal behaviour. Both input and output validation data can be found under Appendix A2.

3.2.2.1 Molecular model

Xuezhong and Hägg (2013) [51] reported experimental permeability results of CH₄, N₂ and CO₂ through a carbon membrane at 2 atm over a temperature range of approximately 295 to 345 K. Table A2-4 contains the input data for the simulation, and Table A2-5 comprises the results obtained for a temperature of 323 K using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-5. The simulated results seem to be in accordance with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained.

3.2.2.2 Free volume model

Park and Paul (1997) [52] reported experimental permeability results of CH₄, N₂ and CO₂ through various glassy membranes at 10 atm and 35 °C. Table A2-6 contains the input data for the simulation, and Table A2-7 comprises the results obtained for a fractional free volume of 0.2 using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-6. The simulated results seem to be in accordance with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained. Also, the fact that the results for CO₂

seem poorer than the others is mostly due to the wide dispersion of experimental data, which impairs graphic reading.

3.2.2.3 Solution-diffusion model

Sanders et al (2012) [53] reported experimental permeability results of CH₄, N₂ and CO₂ through a polyimide membrane commercially known as HAB-6FDA at 10 atm and 35 °C. Table A2-8 contains the input data for the simulation, and Table A2-9 comprises the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results concur with those calculated using Excel® and with the literature and exhibit a maximum relative error of ca. 3.5 %.

3.2.2.4 Dual-mode sorption model

Kanehashi et al (2007) [54] reported experimental results of permeability of CO₂ through a PI membrane commercially known as 6FDA-TeMPD at 10 atm and 35 °C. Table A2-10 contains the input data for the simulation, and Table A2-11 comprises the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results concur with those calculated using Excel® and with the literature, exhibiting a relative error of ca. 3.9 %.

3.2.2.5 Partial immobilization model

Tsujita (2003) [55] reported experimental results of permeability of CO₂ through PC and PPO membranes at 25 °C and for pressures up to 900 cm Hg. Table A2-12 contains the input data for the simulation, and Table A2-13 the results obtained for a pressure of 300 cm Hg using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-7 (curve a). The simulated results seem to be in accordance with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained.

3.2.2.6 Flory-Huggins model

Sadrzadeh (2009) [56] reported experimental results of permeability of CH₄ and N₂ through a PDMS/polyamide (PA) membrane at 6 bar and 35 °C with permeate atmospheric release. Table A2-14 contains the input data for the simulation, and Table A2-15 the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results seem to be in agreement with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained.

3.2.2.7 Pore-flow model

Lira and Paterson (2002) [38] reported experimental results of permeability of CO₂, N₂ and H₂ through macroporous Al₂O₃ membranes at 293 K and for pressure gradients up to 1 bar. Table A2-16 contains the input data for the simulation, and Table A2-17 the results obtained for a pressure gradient of 0.6 bar using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-8. The simulated results seem to be in agreement with those calculated using Excel® and to concur with the

literature despite the pressure gradient having been used in lieu of the average pressure across the membrane, seeing that there is no mention of feed nor permeate pressure in the reference.

Shin et al (2005) [57] reported experimental results of permeability of N_2 through an array of samples of macroporous $\alpha-Al_2O_3$ membranes at 25 °C and for feed pressures up to 400 kPa. Table A2-18 contains the input data for the simulation, and Table A2-19 the results obtained for a feed pressure of 200 kPa using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-9.

The simulated results seem to be in agreement with those calculated using Excel® and to concur with the literature despite the feed pressure having been used in lieu of the average pressure across the membrane, as there was no mention of permeate pressure in the reference. Also, the fact that the results for S-D seem poorer than the others is likely due to its mean pore size being so much larger compared to the other samples and the contribution of Knudsen diffusion coming close to null, which is not taken into account in either calculations. Moreover, the discrepancy between Excel® and gPROMS® results mostly derives from gas viscosity having been determined using the LMNO Engineering gas viscosity [58] calculator and Multiflash™, respectively.

3.2.2.8 Surface diffusion

Lito et al (2011) [59] reported experimental results of permeance of CO through a zeolite membrane commercially known as 4A, over a temperature range of 300 to 380 K for 1.2 bar feed pressure and permeate vent to atmosphere. Table A2-20 contains the input data for the simulation, and Table A2-21 the results obtained for a temperature of 338 K using Excel® and gPROMS®, as well as the reported experimental ones, depicted in Fig. A2-10.

Lagorsse et al (2004) [39] reported experimental results of permeability of N_2 through a CMS membrane at 303 and 323 K, for a feed pressure range of 1 to 4 bar and permeate vent to atmosphere. Table A2-22 comprises the input data for the simulation, and Table A2-23 the results obtained for a feed pressure of 2 bar at both temperatures using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-11.

The simulated results seem to concur with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained.

3.2.2.9 Resistances in series model

Dingemans et al (2008) [34] reported experimental results of mass transfer coefficients of trichloroethylene (TCE) through polypropylene (PP)/polyvinylidenedifluoride (PVDF)/PDMS and polyester/ PAN/ PDMS composite membranes at 30 °C. Table A2-24 contains the individual mass transfer coefficients reported in the literature, k_i , from which the permeabilities in Table A2-25, used as input data for the simulation, were calculated like so:

$$\mathcal{P}_i = \frac{l}{R_i} = l \cdot k_i \quad (3.2)$$

Table A2-26 contains the equivalent permeability results obtained using Excel® and gPROMS®, as well as the reported experimental ones after processing via equation (3.2). The simulated results are in agreement with those calculated using Excel® and with the literature and exhibit a maximum relative error of ca. 7.5 %.

3.2.2.10 Resistances in parallel model

Dorosti et al (2011) [60] reported experimental permeability results of CO₂, N₂ and CH₄ through a PSF/PI MMM at 35 °C and for a range of disperse phase fraction of 0.3 to 0.7. Table A2-27 contains the input data for the simulation, and Table A2-28 comprises the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results are in accordance with those calculated using Excel® and with the literature and exhibit a maximum relative error of ca. 14.2 %.

3.2.2.11 Maxwell model

Gheimasi et al (2014) [36] reported experimental permeability results of CO₂ through a MMM commercially known as Sigma-1-Matrimid®5218 at 308 K for disperse phase fractions up to 0.2. Table A2-29 contains the input data for the simulation, and Table A2-30 the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results are in accordance with those calculated using Excel® but only coincide with the literature ones for significantly low disperse phase fractions, exhibiting a relative error up to 29.8 %. Such approximation is characteristic of Maxwell's model, designed for dilute suspensions, and consistent with the poor fit reported in the reference, despite the fact that the permeability of the disperse phase was determined by fitting experimental data to Maxwell's model via the least squares method.

3.3 gPROMS® flowsheeting

The flowsheeting stage of this work consisted of selecting a post-combustion implementation of membrane processes for carbon capture for simulation and optimisation. Unless stated otherwise, all differential equations pertaining to the membrane modules were solved using FFDM and BFDM spacial discretisation methods as described in Table A1-3 for 50 points, and all results in the form of tables and figures are available under Appendix A3.

3.3.1 Simulation and validation

The flowsheet selected was that of a membrane system for carbon capture from flue gas reported by Choi et al (2013) [46] at pilot-scale, as illustrated in Fig. 3-11. It consists of a 4-stage membrane cascade in which the retentate of the first stage is vented to the atmosphere while that of the second one is reintroduced to a dehydration process which precedes the carbon capture one and the remainder are fed to the previous stage via recycle processes.

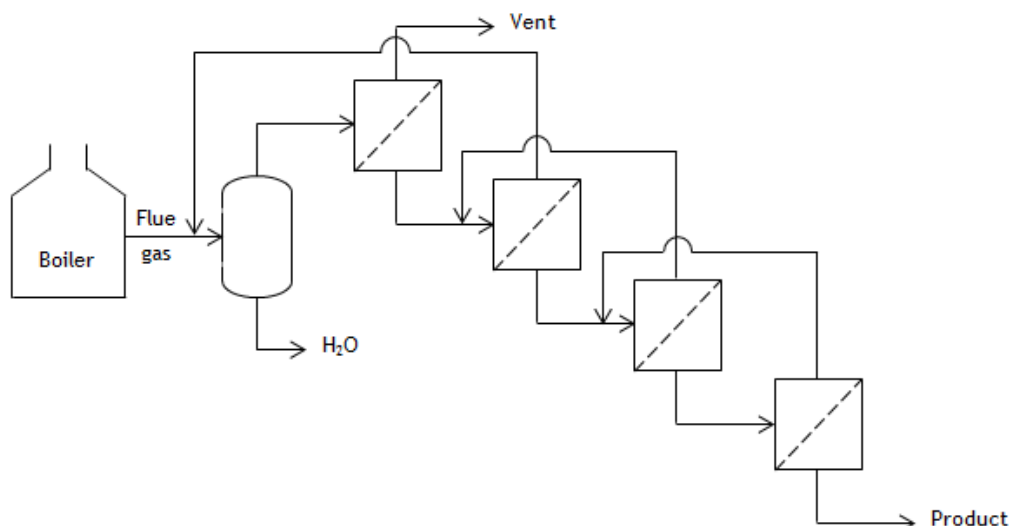


Fig. 3-11 - Membrane cascade for carbon capture from flue gas reported by Choi et al (2013) [46]

This flowsheet was designed to treat 500 ml/min of flue gas with a CO₂ concentration in the range of 10.8 to 11.3 vol.% using HF PES membrane modules with the size specifications listed in Table A3-2 and with a bore-side feed design and counter-current flow configuration, so as to simultaneously capture 90 % of CO₂ and produce a 99 vol.% CO₂-rich product. This reference is the most recent of a series of articles ([48], [61], [62], [63], [64], [65]), mostly published in Korean, and although some of the size specifications are not explicitly reported by Choi et al (2013), the gaps of information were filled in with the help of In Seon Kim, who has kept track of the project from the preparation of the membrane to the operation of the pilot-installation. The cases described in Table A3-3 were designed, simulated and experimentally performed by Choi et al (2013) to this end, but only base case 3A succeeded in meeting both criteria.

Given the low range of pressure throughout (<10 bar), the flowsheet gPROMS® simulation was performed on a molar basis according to the topology illustrated in Fig. A3-2, assuming a feed of 1.339 kmol/h at PTN conditions containing a CO₂ mole fraction of 0.113 for case 3A and of 0.108 for all other cases, and the Multiflash™ file used as a reference for the material source was that of a binary CO₂/N₂ mixture under ideal gas behaviour. Also, all heat exchangers were set to keep each stage feed stream at 25 °C, the usual temperature for gas permeation experiments, since there is no mention of operating temperature in the reference, and seeing that the retentate stream of the second stage is fed back upstream of the capture process with no consequence to the latter, the corresponding recycle process was not simulated.

The membrane permeance of each stage was determined for feed conditions using the fits illustrated in Fig. A3-1, which in turn were obtained from linear regressions to gas permeation data reported in the same reference. Moreover, rather than an array of membrane modules in parallel, each stage was simulated as a single module with the size specifications listed in Table A3-4 and Table A3-5, which were arbitrated so as to make up the membrane areas reported in the reference while exhibiting the same packing density of 3351 m²/m³ in order to save simulation time and avoid initialisation issues. As illustrated in Table A3-1, this artifice was previously determined to have insignificant impact on membrane stage performance by simulating the

first stage for cases 1 through 3A comprising single and multiple modules and obtaining roughly the same CO₂ recovery in both cases.

As illustrated in Fig. 3-12 through Fig. 3-14, the flowsheet simulation results differ considerably from those reported by Choi et al (2013), generally exhibiting lower recoveries and higher purities than expected, which was also observed in the membrane module model validation. While part of the discrepancy in the following stages can easily be explained by the failure of the first to capture 90 % of the CO₂, resulting in a lower flow rate of purer permeate which drastically alters the dynamics of the rest of the cascade, the poor performance of the first stage has yet to be faulted, although several explanations have been contemplated.

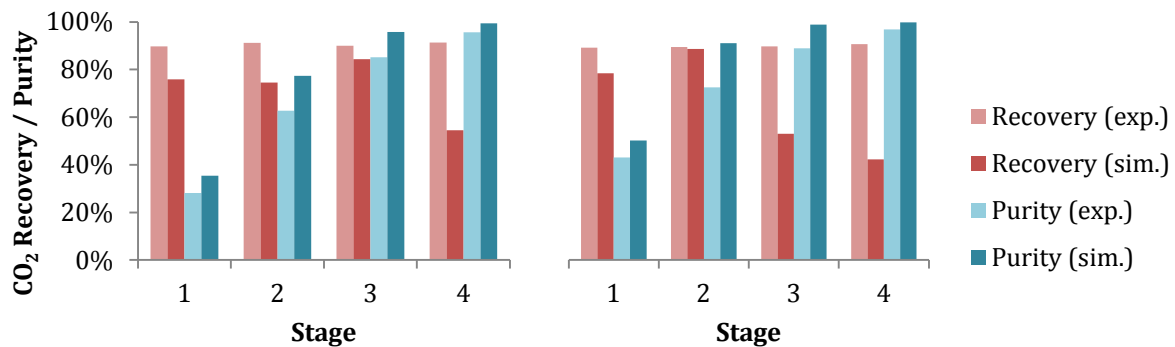


Fig. 3-12 - Simulation versus experimental results reported by Choi et al (2013) [46] for cases 1 and 2

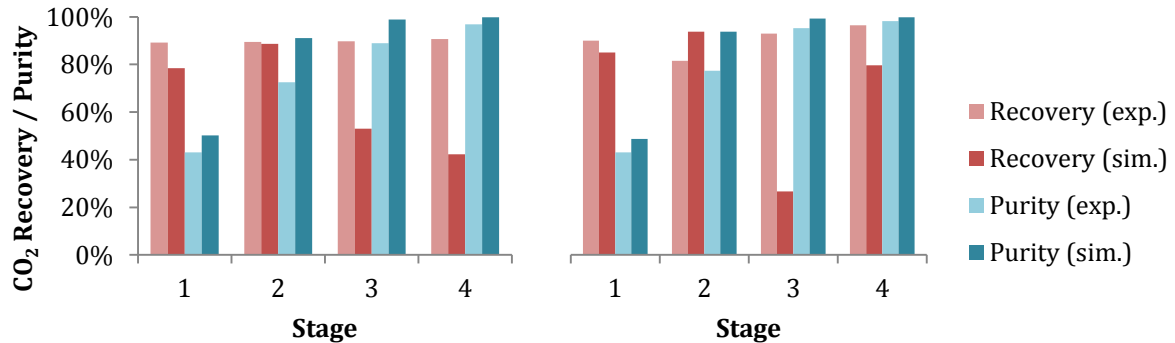


Fig. 3-13 - Simulation versus experimental results reported by Choi et al (2013) [46] for cases 3A and 3B

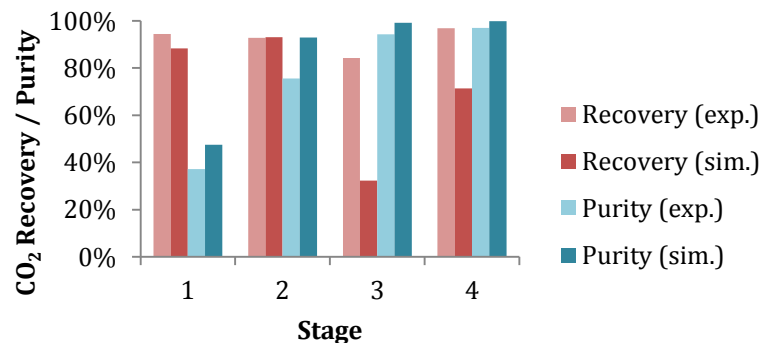


Fig. 3-14 - Simulation versus experimental results reported by Choi et al (2013) [46] for case 3C

Comparing base cases 1 through 3A to spin-off ones 3B and 3C, the reported pressures for the base cases seem to consist of target values rather than the actual operation ones and may not coincide, which could explain why the simulated performance parameters of the first stage in the spin-offs are more accurate, but offers no explanation as to why the simulated results differ from the experimental ones for the rest of the stages.

Also, since the simulation results reported in the reference are also far off the experimental ones for reasons unknown, that is, the membrane area of each stage had to be manually optimized to meet the desired performance parameters after their own simulation, against the expectations of the authors, the following diagnostic tests were performed: 1) gradually decreasing the feed flow rate of the first stage up to ca. 30 % improved the recovery of the first stage to 90 % but that of the other stages remained far off the experimental results, as illustrated in Fig. A3-3 through Fig. A3-4, which eliminates the likeliness of the reported membrane size specifications having been wrongly measured or reported; 2) using equation (2.3) and the data from Table A3-6 enabled the reverse-calculation of permeance and selectivity for each stage, which failed to compare to those fitted to reported data by Choi et al (2013), as illustrated in Fig. A3-5 through Fig. A3-7, which indicates that the permeation data might have been wrongly reported.

Although the reverse calculated values are only valid for an ideal flat-sheet membrane under steady-state conditions, the marked discrepancy offers a possible diagnosis, seeing that the reverse-calculated selectivity is generally much lower than the linearly fitted one, which accounts for the lower simulated recoveries, whereas the permeance in the last stage is much higher, which explains the higher simulated purities. This could perhaps be attributed to permeance having been calculated under different operating conditions, or to unforeseen membrane plasticization over time, seeing that the installation was said to take very long to stabilize, and likely much longer than the gas permeation experiments took. Moreover, seeing that the installation was fed with actual flue gas with an average composition as described in Table A3-7 whereas a binary CO₂/N₂ mixture was used in the permeation experiments, the presence of O₂ element could be to blame for part of the discrepancy.

3.3.2 Optimisation and sensitivity analysis

Although the flowsheet reported by Choi et al (2013) was not successfully validated for reasons unknown, its design was nevertheless optimized in order to minimize the specific cost and energy of carbon capture. Seeing that the sensitivity analysis performed by Choi et al (2013) covers all of the usual process performance factors, the flowsheet was instead altered to require less stages and membrane area by introducing a membrane suited to sub-ambient operation. The membrane in question consists of a HF module comprising Matrimid® 5218 fibres post-treated with PDMS which were developed for Air Liquide and reported by Liu et al (2014) [47] to display enhanced low temperature performance for bore-side feed design at a pressure of 6.89 bar for a temperature range of 35 to -40 °C, as illustrated in Fig. 3-15.

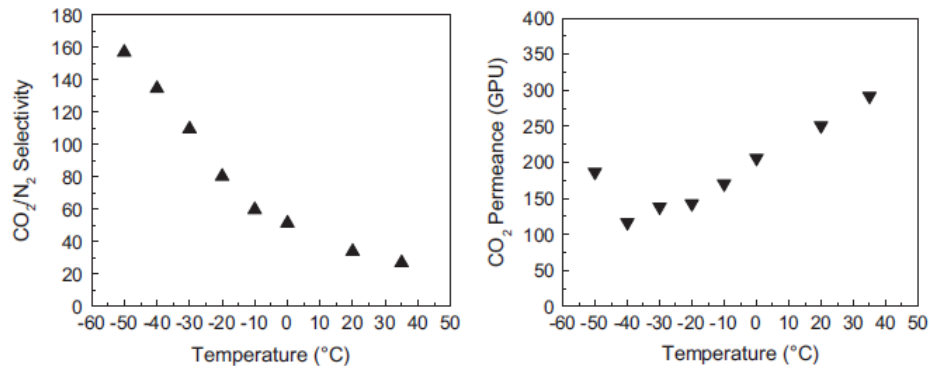


Fig. 3-15 - CO₂/N₂ selectivity and CO₂ permeance experimental data reported by Liu et al (2014) [47]

The Matrimid®/PDMS membrane was simulated using the gPROMS® HF membrane module model and its permeability determined via the novel molecular model feature with the parameters in

Table A3-8. The latter were obtained via linear fitting to the permeance data reported for Matrimid®/PDMS, as illustrated in Fig. A3-8, assuming a 2 µm thick membrane so as to produce the same permeance while using the module size specifications reported by Choi et al (2013). The temperature range selected was that between -40 and 50 °C, seeing that the capture process in question follows a dehydration one and therefore none of the risks associated to typical cryogenic operation apply and that, although a deviation from the Arrhenius-type behaviour was observed at temperatures lower than -40 °C, there is no reason to believe it would not hold at 50 °C, the typical feed temperature of flue gas in amine absorption processes.

Since this membrane is more permeable and much more selective than the PES one reported by Choi et al (2013), simulation determined that the membrane area required for the first stage to attain a recovery of 90 % can be reduced by around 70 % by using Matrimid® at 25 °C, and by approximately 80 % at 50 °C for all cases, as illustrated in Fig. 3-16. It follows that, as expected, the performance of the first stage benefits from the introduction of a more permeable membrane at a higher temperature.

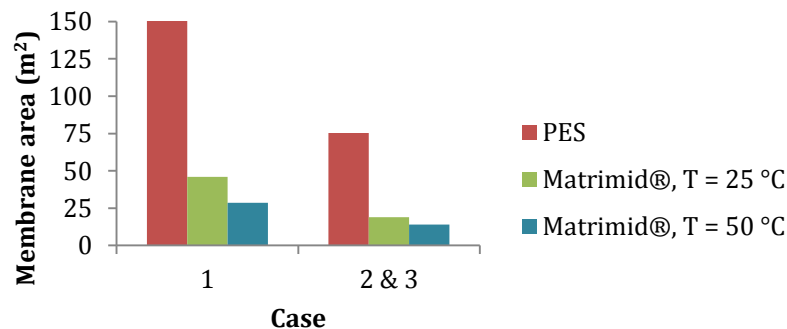


Fig. 3-16 - Membrane area requirements to attain 90 % recovery in the first stage

Seeing that compression is preferred to vacuum in an industrial context and that a pressure ratio of 6 is far more practical than 30, case 1 was used as a reference and the flowsheet was redesigned to consist of only 3 stages, each representing a different zone: 1) a stripping zone at a higher temperature and under a high pressure ratio; 2) a transition zone under variable conditions; and 3) an enrichment zone, expectedly at a

lower temperature and under a low pressure ratio. The cases described in Table A3-11 were designed to this end, and

Table A3-12 comprises the simulated results after manual optimisation of membrane area to achieve a recovery of ca. 90 % at each stage, which suggests that while most cases would produce high-purity CO₂, only case B4 meets the purity criterion set by Choi et al (2013).

Membrane area optimisation was carried out via variation of the module length while keeping the rest of the size specifications as described in Table A3-4, seeing that the influence of size specifications was previously determined to be insignificant on the overall performance via simulation of differently sized modules with the same permeation area, as depicted in Fig. A3-9 and Fig. A3-10, using the information from Table A3-9 and Table A3-10 for lab- and industrial-sized modules, respectively.

It was found that high pressures and moderate temperatures in the transition zone result in higher purity, and that cryogenic temperature in the enrichment one is conducive to in ultra-high product purity. Also, comparing cold membrane process case B4 with reference one 3A, roughly the same purity can be obtained with only 25 % of the membrane area while attaining an overall recovery of 82.1 % rather than 67.1 %.

To gauge the benefits of the novel membrane system over that proposed by Choi et al (2013), the specific cost and energy of carbon capture, including downstream compression to 110 bar and cooling to 30 °C [66], were determined as described under Appendix 4 for cases reported by Choi et al (2013) as well as for those listed in Table A3-11, and are depicted in Fig. 3-17 and Fig. 3-18. All compression, vacuum and cooling and equipment were assumed to have the characteristics listed in Table A3-13 through Table A3-15, and the corresponding duty was obtained via simulation, which was performed using the experimental data in Table A3-6 for the cases reported in the reference, using each section of the flowsheet at a time so as to ensure its validity. The downstream compression and cooling were simulated using a series of 11 compressors with a pressure boost of 10 bar and intermediate cooling to 100 °C.

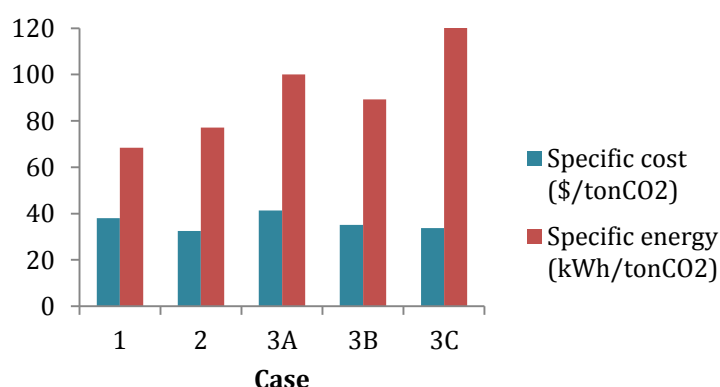


Fig. 3-17 - Specific cost and energy for the cases reported by Choi et al (2013) [46]

When regarding only the cases which meet the 99 vol.% purity target, B4 was found to be less costly and energy-intensive as it requires \$25.8 and 69.8 kWh to produce a ton of CO₂, whereas case 3A demands \$41.3

and 100.0 kWh for the same effect. The annual cost of the carbon capture process was found to decrease from \$5,102 to \$3,703 from case 3A to B4, which is mostly attributed to the lesser membrane area but also to the reduced compression work required. While case 3A is close to reaching the CCRP goal of \$40/tonCO₂ in the 2020-2025 timeframe, case B4 is well past it and can potentially be further optimized to reach the \$10/ton by 2035 target.

Considering all of the cases which are expected to produce high-purity CO₂, B1 was found to be the least costly at \$25.6 /tonCO₂, whereas D2 turned out to be the least energy-intensive at 66.8 kWh/tonCO₂. Seeing that B1 features a high pressure ratio throughout and operates partly above and at ambient temperature, while D2 features a cold membrane stage under low pressure ratio, it follows that sub-ambient operation outdoes conventional one from an energetic point of view, and since the specific cost does not differ greatly between both cases, D2 was deemed most interesting for industrial applications, in which the efficiency penalty associated to carbon capture is key.

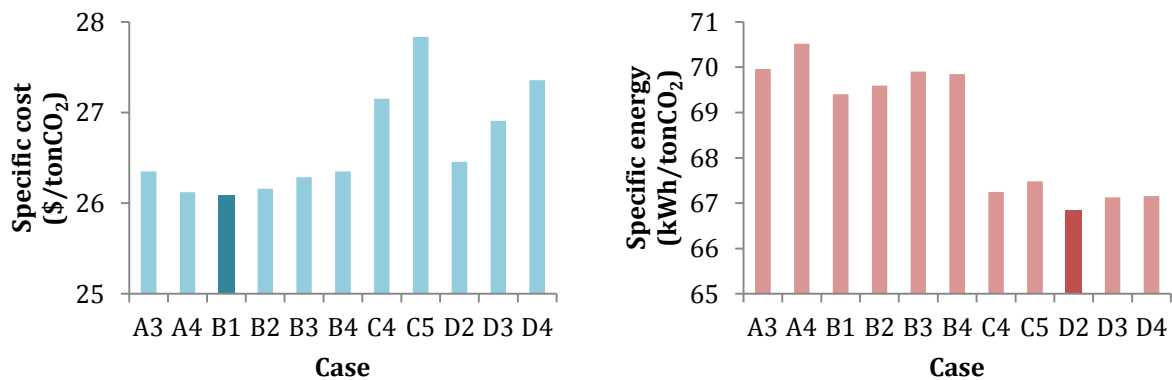


Fig. 3-18 - Specific cost and energy for cases predicted to produce high-purity CO₂

Another optimisation effort was the implementation of sweep operation on the second membrane stage by recirculating decompressed retentate from the first one in order to improve overall recovery and produce more CO₂ with the same membrane area without compromising its purity, rather than decrease area to maintain recovery constant. Fig. 3-19 illustrates a maximum of performance enhancement for the most pertinent cases at 5-6 % of retentate recirculation.

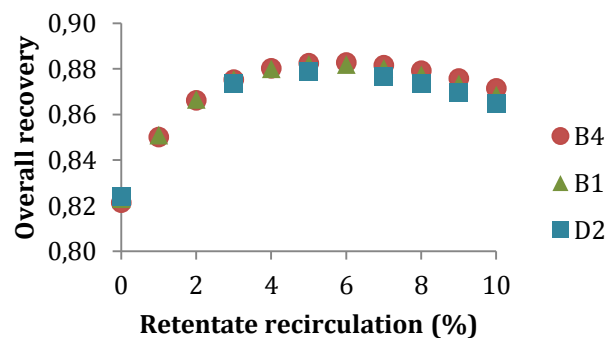


Fig. 3-19 - Recovery improvement over sweep operation

3.3.3 Industrial scale-up

Assuming that the process performance and specific energy of carbon capture would hold proportionally from pilot to industrial scale, and considering a fuel-fired power plant such as that reported by Zhao et al (2008) [40], with the characteristics listed in Table 3-4, it would be possible to implement a post-combustion membrane carbon capture process based on case D2 with an efficiency penalty as minor as 3.5 %, which is much lower than that currently attained by MEA absorption.

Table 3-4 - Power plant characteristics reported by Zhao et al (2008) [40]

Fuel type	Hard coal
Power output (MW)	1,000
Flue gas flow rate (m ³ /s)	833
Flue gas temperature (°C)	50
Flue gas CO ₂ content (mol.%)	13.6

Seeing that running a scaled-up version of case D2 to a factor of 99,960 using that many modules per stage is borderline infeasible, this case was instead simulated with single module stages with the rather unrealistic size specifications listed in Table A3-16, which were arbitrated in order to make up the required scaled-up membrane areas while exhibiting the aforementioned packing density but demanded very slight manual optimisation to meet pilot-scale performance parameters, which reveals that the influence of size specifications is perhaps a little more significant at this scale. All equipment specifications were kept the same as before except for the heat transfer areas, which was scaled-up accordingly, and the expanders necessary to retentate decompression were simulated as vacuum pumps.

As listed in Table 3-5, the efficiency penalty turned out to be slightly higher than predicted, whereas the cost of carbon capture was estimated to decrease to \$8.0/tonCO₂, which is lower than the CCRP target of \$10/tonCO₂ by 2035. This can be attributed to estimated equipment cost being proportional to the power of a decimal, which causes scaling-up to this order of magnitude to be economically advantageous. Moreover, despite having been found to effectively decrease the specific cost of carbon capture as predicted, sweep operation turned out to be more energy-intensive, seeing that the energy recovered during retentate decompression does not make up for the work required to compress a larger volume of gas downstream of the second stage.

Table 3-5 - Energetic and economic assessment of case D2 at industrial scale

Retentate recirculation (%)	0	6
Specific energy (kWh/tonCO ₂)	68.3	68.5
Specific cost (\$/tonCO ₂)	8.0	7.7
Energy penalty (%)	3.6	3.8

4 Conclusions

4.1 Goals achieved

In the modelling stage of this work, the HF membrane module model in gPROMS® gML “Separation - Membranes” was validated with satisfactory accuracy for stage cuts under ca. 0.5, predicting membrane performance with an absolute error of ca. 10 %. This discrepancy was considered to likely derive from erroneous literature data rather than any major issues concerning numerical simulation. Moreover, its membrane sub-model was improved to include several gas permeation models as sub-transport models for different types of membranes, which in turn were successfully validated. As expected, the models associated to polymeric membranes, more empirical in nature, were found to be more precise than inorganic and composite ones, which have a more theoretical basis, with a relative error of up to ca. 4 % associated to the former and of up to approximately 30 % to the latter.

In the flowsheeting stage of this work, a pilot-scale 4-stage membrane cascade for carbon capture from flue gas comprising recycle processes, designed to capture 90 % of CO₂ with a purity of 99 vol.% was simulated but not successfully validated, seeing that the performance of the first stage was under-predicted by an absolute maximum of 10 %, which compromised the dynamics of the rest of the cascade despite being consistent with the discrepancies observed in model validation. Additionally, diagnostic tests enabled the detection of a possible misreport of permeation data, which literature review led to believe is rather common. Flowsheet optimisation was thus carried out via introduction of cold membrane processes, which allowed for the number of stages to be reduced to 3 and lessened the total membrane area by 62 % while meeting both intended process performance criteria. The specific cost of carbon capture of this design, of \$25.8/tonCO₂, was also found to be much lower than that of MEA absorption, of \$40-\$100/tonCO₂, and inferior than the CCRP goal of \$40/tonCO₂ in the 2020-2025 timeframe, which confirms the viability of membrane processes for post-combustion implementations.

Sensitivity analysis confirmed the significance of operating conditions in each zone of the cascade and found that high temperatures and pressures in the stripping zone are conducive to higher recovery, that high pressures and moderate temperatures in the transition one result in higher purity, and that cryogenic temperature in the enrichment zone leads to ultra-high product purity (≥ 99 vol.%). It was also found that, in these circumstances, sub-ambient operation outdoes conventional one from an energetic point of view, enabling the production of high-purity (≥ 95 vol.%) CO₂ with a specific energy of only 66.8 kWh/tonCO₂.

Scale-up of the least energy-intensive design suggested that 90 % of the CO₂ emissions of a 1,000 MW fuel-fired power plant could be treated with a specific cost of only \$8.0/tonCO₂, which is lower than the CCRP target of \$10/tonCO₂ by 2035, and with an efficiency penalty as minor as 3.6 %, which is much lower than that currently attained by MEA absorption, of 8-14 %. It was also found that, although the introduction of a

sweep stream in the second stage at this scale, comprising 6 % of retentate otherwise vented to atmosphere, effectively reduces capture cost to \$7.7/tonCO₂, it also aggravates the energy penalty by 0.2 % and is therefore not as advantageous as expected.

4.2 Limitations and future work

The main limitations to the work carried out were the lack of explicitness and consistency in published work concerning membrane processes for carbon capture as well as the shortage of experimental data from multi-stage membrane systems at any scale. For this reason, more effort should be devoted to obtaining more accurate experimental data to support model and process simulation and provide a more robust base for industrial scale-up.

Regarding the gPROMS® membrane model in the context of carbon capture, it would be of interest to further modify the pertinent model entities to also feature the following gas permeation models: 1) competitive sorption, as spin-off from dual-mode sorption for better predictive accuracy; 2) configurational diffusion, to account for exceptional CMS size-sieving; 3) and FSC and SILM facilitated transport, since these novel types of membranes are expected to outgrow lab-scale stage quite soon. It would also be interesting to extend beyond gas permeation and explore the modelling of gas-liquid absorption membrane processes, since conventional absorption for carbon capture is already a mature technology and the use of contactors could eventually offset its shortcomings.

4.3 Final assessment

It is my opinion that the addition of gas permeation models as local transport ones effectively improves the accuracy of the highest-level model since the influence of operating conditions on membrane permeability has been shown to be rather significant but has been erroneously overlooked in most simulation efforts published thus far.

I also think that the optimistic flowsheet simulation results are relevant in the sense that they demonstrate that the lack of faith membrane processes in the context of post-combustion carbon capture is unjustified and that sub-ambient operation might be a gateway into making membrane separation a viable option for industrial application in fuel-fired power plants.

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Appendix 1 gPROMS® model description

A1.1 Hollow fibre membrane module model

Table A1-1 and Table A1-2 comprise the inputs and outputs of the original HF membrane module model for bore- and shell-side feed design cases, respectively, and Table A1-3 lists the spacial discretisation methods employed in each case for each sub-model.

Table A1-1 - HF membrane module model input and output data for the bore-side feed design case

Model	Input	Output
Fibre bundle	<ul style="list-style-type: none"> Feed flow rate, $F_{\text{tube}}(z = 0)$ Feed composition, $x_{\text{tube}}(i, z = 0)$ Feed pressure, $P_{\text{tube}}(z = 0)$ 	<ul style="list-style-type: none"> Tube side partial pressure, $p_{\text{tube}}(i, z)$
Shell	<ul style="list-style-type: none"> Sweep flow rate, $F_{\text{shell}}(z = 0)$ Sweep composition, $x_{\text{shell}}(i, z = 0)$ Sweep pressure, $P_{\text{shell}}(z = 0)$ 	<ul style="list-style-type: none"> Shell side partial pressure, $p_{\text{shell}}(i, z)$
Membrane	<ul style="list-style-type: none"> Permeance, $\mathcal{P}/l(i)$ Tube side partial pressure, $p_{\text{tube}}(i, z)$ Shell side partial pressure, $p_{\text{shell}}(i, z)$ 	<ul style="list-style-type: none"> Component flux, $J(i, z)$
Membrane module	<ul style="list-style-type: none"> Size specifications <ul style="list-style-type: none"> Fibre bundle length, L Fibre inner diameter, ID Fibre outer diameter, OD Number of fibres, n Shell diameter, D_{shell} Component flux, $J(i, z)$ 	<ul style="list-style-type: none"> Permeation area, A_{perm} Retentate composition, $x_{\text{tube}}(i, z = L)$ Retentate pressure, $P_{\text{tube}}(z = L)$ Permeate composition, $x_{\text{shell}}(i, z = L)$ Permeate pressure, $P_{\text{shell}}(z = L)$

Table A1-2 - HF membrane module model input and output data for the shell-side feed design case

Model	Input	Output
Fibre bundle	<ul style="list-style-type: none"> Feed flow rate, $F_{\text{shell}}(z = 0)$ Feed composition, $x_{\text{shell}}(i, z = 0)$ Feed pressure, $P_{\text{shell}}(z = 0)$ 	<ul style="list-style-type: none"> Tube side partial pressure, $p_{\text{tube}}(i, z)$
Shell	<ul style="list-style-type: none"> Sweep flow rate, $F_{\text{tube}}(z = 0)$ Sweep composition, $x_{\text{tube}}(i, z = 0)$ Sweep pressure, $P_{\text{tube}}(z = 0)$ 	<ul style="list-style-type: none"> Shell side partial pressure, $p_{\text{shell}}(i, z)$
Membrane	<ul style="list-style-type: none"> Permeance, $\mathcal{P}/l(i)$ Tube side partial pressure, $p_{\text{tube}}(i, z)$ Shell side partial pressure, $p_{\text{shell}}(i, z)$ 	<ul style="list-style-type: none"> Component flux, $J(i, z)$
Membrane module	<ul style="list-style-type: none"> Size specifications <ul style="list-style-type: none"> Fibre bundle length, L Fibre inner diameter, ID Fibre outer diameter, OD Number of fibres, n Shell diameter, D_{shell} Component flux, $J(i, z)$ 	<ul style="list-style-type: none"> Permeation area, A_{perm} Retentate composition, $x_{\text{shell}}(i, z = L)$ Retentate pressure, $P_{\text{shell}}(z = L)$ Permeate composition, $x_{\text{tube}}(i, z = L)$ Permeate pressure, $P_{\text{tube}}(z = L)$

Table A1-3 - HF membrane module spacial discretisation methods

Sub-model		Fibre bundle		Shell	
Feed design	Flow configuration	Axial, z	Shifted axial, z^*	Axial, z	Shifted axial, z^*
Tube-side	Co-current	BFDM	FFDM	BFDM	FFDM
	Counter-current	BFDM	FFDM	FFDM	BFDM
Shell-side	Co-current	BFDM	FFDM	BFDM	FFDM
	Counter-current	FFDM	BFDM	BFDM	FFDM

A1.2 Membrane sub-model

Equations (A1.1) through (A1.8) describe the membrane sub-model.

Average surface area for permeation, A_{perm} :

$$A_{\text{perm}} = n \cdot L \cdot \pi \left(\frac{ID + OD}{2} \right) \quad (\text{A1.1})$$

Component flow rate, $F(i, z)$:

$$J(i, z) = \frac{F(i, z)}{A_{\text{perm}}} \quad (\text{A1.2})$$

Partial pressures, $p_{\text{tube}}(i, z)$ and $p_{\text{shell}}(i, z)$:

$$p_{\text{tube}}(i, z) = x_{\text{tube}}(i, z) \cdot P_{\text{tube}}(z) \quad (\text{A1.3})$$

$$p_{\text{shell}}(i, z) = x_{\text{shell}}(i, z) \cdot P_{\text{shell}}(z) \quad (\text{A1.4})$$

Steady-state molar flux for bore-side feed case:

$$J(i, z) = \frac{\mathcal{P}}{l} [p_{\text{tube}}(i, z) - p_{\text{shell}}(i, z)] \quad (\text{A1.5})$$

Energy flow rate, $Q(z)$ (calculated via foreign object call) for bore-side feed case:

$$\begin{aligned} Q(z) &= H(z) \\ &= \text{PhysProp. "Phase"Enthalpy}[T_{\text{tube}}(z), P_{\text{tube}}(z), J(\text{components}, z)] \end{aligned} \quad (\text{A1.6})$$

Steady-state molar flux for shell-side feed case:

$$J(i, z) = \frac{\mathcal{P}}{l} [p_{\text{shell}}(i, z) - p_{\text{tube}}(i, z)] \quad (\text{A1.7})$$

Energy flow rate, $Q(z)$ (calculated via foreign object call) for shell-side feed case:

$$\begin{aligned} Q(z) &= H(z) \\ &= \text{PhysProp. "Phase"Enthalpy}[T_{\text{shell}}(z), P_{\text{shell}}(z), J(\text{components}, z)] \end{aligned} \quad (\text{A1.8})$$

A1.3 Fibre bundle sub-model

Equations (A1.9) through (A1.33) describe the fibre bundle sub-model.

Fibre bundle inner surface area, $A_{\text{inner, bundle}}$:

$$A_{\text{inner, bundle}} = n \cdot L \cdot \pi \cdot ID \quad (\text{A1.9})$$

Fibre cross section area, $S_{\text{area, tube}}$:

$$S_{\text{area, tube}} = \pi \frac{ID^2}{2} \quad (\text{A1.10})$$

Concentration, $\rho(i, z)$:

$$\rho(i, z) = x(i, z) \cdot \rho(z) \quad (\text{A1.11})$$

Concentration polarisation at the interface according to the bulk model:

$$x_{\text{interface}}(i, z) = x(i, z) \quad (\text{A1.12})$$

Concentration polarisation at the interface according to the stagnant film model:

$$x_{\text{interface}}(i, z) = \frac{F_{\text{interface}}(i, z)}{\sum F_{\text{interface}}(\text{components}, z)} + \left[x(i, z) - \frac{F_{\text{interface}}(i, z)}{\sum F_{\text{interface}}(\text{components}, z)} \right] \exp \left[- \frac{\sum F_{\text{interface}}(\text{components}, z)}{A_{\text{inner, bundle}} \cdot \rho(z) \cdot k_m} \right] \quad (\text{A1.13})$$

Fibre flux for purely convective flow, $J_{\text{fibre}}(i, z)$:

$$J_{\text{fibre}}(i, z) = \rho(i, z) \cdot u(z) \quad (\text{A1.14})$$

Frictional parameter, f :

$$f = \frac{ID^2}{32} \quad (\text{A1.15})$$

Energy flow rate, $Q(z)$:

$$Q(z) = \dot{m}(z) \cdot H(z) \quad (\text{A1.16})$$

Energy hold-up, $U(z)$:

$$U(z) = \rho(z) \left[H(z) - \frac{P(z)}{\rho(z)} \right] \quad (\text{A1.17})$$

The following properties are calculated via foreign object call:

- Density, $\rho(z)$:

$$\rho(z) = \text{PhysProp. "Phase" Density}[T(z), P(z), x(\text{components}, z)] \quad (\text{A1.18})$$

- Specific enthalpy, $H(z)$:

$$H(z) = \text{PhysProp.}["Phase"Enthalpy][T(z), P(z), x(\text{components}, z)] \quad (\text{A1.19})$$

- Viscosity, $\eta(z)$:

$$\eta(z) = \text{PhysProp.}["Phase"Viscosity][T(z), P(z), x(\text{components}, z)] \quad (\text{A1.20})$$

Steady-state mass balance for bore-side feed case:

$$-\frac{d[J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.21})$$

Dynamic mass balance for bore-side feed case:

$$-\frac{d[J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{d[\rho(i, z)]}{dt} \quad (\text{A1.22})$$

Steady-state momentum balance assuming laminar flow for bore-side feed case:

$$-\frac{dP(z)}{dz^*} \frac{1}{L} - \frac{d[\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta(z)u(z)}{f} = 0 \quad (\text{A1.23})$$

Dynamic momentum balance assuming laminar flow for bore-side feed case:

$$-\frac{dP(z)}{dz^*} \frac{1}{L} - \frac{d[\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta(z) \cdot u(z)}{f} = \frac{d[\rho(z) \cdot u(z)]}{dt} \quad (\text{A1.24})$$

Adiabatic steady-state energy balance for bore-side feed case:

$$-\frac{dQ(z)}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.25})$$

Adiabatic dynamic energy balance for bore-side feed case:

$$-\frac{dQ(z)}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{dU(z)}{dt} \quad (\text{A1.26})$$

Isothermal steady-state energy balance:

$$\frac{dT(z)}{dz} = 0 \quad (\text{A1.27})$$

Steady-state mass balance for shell-side feed case:

$$-\frac{d[-J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.28})$$

Dynamic mass balance for shell-side feed case:

$$-\frac{d[-J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{d[\rho(i, z)]}{dt} \quad (\text{A1.29})$$

Steady-state momentum balance assuming laminar flow for shell-side feed case:

$$-\frac{d[-P(z)]}{dz^*} \frac{1}{L} - \frac{d[-\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta(z) \cdot u(z)}{f} = 0 \quad (\text{A1.30})$$

Dynamic momentum balance assuming laminar flow for shell-side feed case:

$$-\frac{d[-P(z)]}{dz^*} \frac{1}{L} - \frac{d[-\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta(z) \cdot u(z)}{f} = \frac{d[\rho(z) \cdot u(z)]}{dt} \quad (\text{A1.31})$$

Adiabatic steady-state energy balance for shell-side feed case:

$$-\frac{d[-Q(z)]}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.32})$$

Adiabatic dynamic energy balance for shell-side feed case:

$$-\frac{d[-Q(z)]}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{dU(z)}{dt} \quad (\text{A1.33})$$

A1.4 Shell sub-model

Equations (A1.34) through (A1.58) describe the shell sub-model.

Fibre bundle outer surface area, $A_{\text{outer, bundle}}$:

$$A_{\text{outer, bundle}} = \pi \cdot n \cdot L \cdot OD \quad (\text{A1.34})$$

Fibre bundle cross section area, $S_{\text{area, bundle}}$:

$$S_{\text{bundle}} = \pi \left(\frac{OD}{2} \right)^2 \quad (\text{A1.35})$$

Shell cross section area, $S_{\text{area, shell}}$:

$$S_{\text{shell}} = \pi \left(\frac{D_{\text{shell}}}{2} \right)^2 \quad (\text{A1.36})$$

Parameter ϵ :

$$\epsilon = \frac{S_{\text{shell}} - S_{\text{bundle}}}{S_{\text{shell}}} \quad (\text{A1.37})$$

Equivalent diameter, D_{eq} :

$$\pi \cdot n \cdot OD = \frac{6S_{\text{shell}}(1 - \epsilon)}{D_{\text{eq}}} \quad (\text{A1.38})$$

Frictional parameter, f :

$$f = \frac{D_{\text{eq}}^2 \cdot \epsilon^3}{150(1 - \epsilon)^2} \quad (\text{A1.39})$$

Concentration, $\rho(i, z)$:

$$\rho(i, z) = x(i, z) \cdot \rho(z) \quad (\text{A1.40})$$

Concentration polarisation at the interface according to the bulk model:

$$x_{\text{interface}}(i, z) = x(i, z) \quad (\text{A1.41})$$

Concentration polarisation at the interface according to the stagnant film model:

$$x_{\text{interface}}(i, z) = \frac{F_{\text{interface}}(i, z)}{\sum F_{\text{interface}}(\text{components}, z)} + \left[x(i, z) - \frac{F_{\text{interface}}(i, z)}{\sum F_{\text{interface}}(\text{components}, z)} \right] \exp \left[- \frac{\sum F_{\text{interface}}(\text{components}, z)}{A_{\text{outer, bundle}} \cdot \rho(z) \cdot k_m} \right] \quad (\text{A1.42})$$

Fibre flux for purely convective flow, $J_{\text{fibre}}(i, z)$:

$$J_{\text{fibre}}(i, z) = \rho(i, z) \cdot u(z) \quad (\text{A1.43})$$

Energy flow rate, $Q(z)$:

$$Q(z) = \dot{m}(z) \cdot H(z) \quad (\text{A1.44})$$

Energy hold-up, $U(z)$:

$$U(z) = \rho(z) \left[H(z) - \frac{P(z)}{\rho(z)} \right] \quad (\text{A1.45})$$

Density, $\rho(z)$, specific enthalpy, $H(z)$, and viscosity, $\eta(z)$, are calculated via foreign object call via equations (A1.18) through (A1.20).

Steady-state mass balance for bore-side feed case:

$$- \frac{d[J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.46})$$

Dynamic mass balance for bore-side feed case:

$$- \frac{d[J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{d[\rho(i, z)]}{dt} \quad (\text{A1.47})$$

Steady-state momentum balance assuming laminar flow for bore-side feed case:

$$- \frac{dP(z)}{dz^*} \frac{1}{L} - \frac{d[\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta \cdot u(z)}{f} = 0 \quad (\text{A1.48})$$

Dynamic momentum balance assuming laminar flow for bore-side feed case:

$$- \frac{dP(z)}{dz^*} \frac{1}{L} - \frac{d[\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta \cdot u(z)}{f} = \frac{d[\rho(z) \cdot u(z)]}{dt} \quad (\text{A1.49})$$

Adiabatic steady-state energy balance for bore-side feed case:

$$-\frac{dQ(z)}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.50})$$

Adiabatic dynamic energy balance for bore-side feed case:

$$-\frac{dQ(z)}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{dU(z)}{dt} \quad (\text{A1.51})$$

Isothermal steady-state energy balance:

$$\frac{dT(z)}{dz} = 0 \quad (\text{A1.52})$$

Steady-state mass balance for shell-side feed case:

$$-\frac{d[-J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.53})$$

Dynamic mass balance for shell-side feed case:

$$-\frac{d[-J_{\text{fibre}}(i, z)]}{dz} \frac{1}{L} + \frac{F_{\text{interface}}(i, z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{d[\rho(i, z)]}{dt} \quad (\text{A1.54})$$

Steady-state momentum balance assuming laminar flow for shell-side feed case:

$$-\frac{d[-P(z)]}{dz^*} \frac{1}{L} - \frac{d[-\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta \cdot u(z)}{f} = 0 \quad (\text{A1.55})$$

Dynamic momentum balance assuming laminar flow for shell-side feed case:

$$-\frac{d[-P(z)]}{dz^*} \frac{1}{L} - \frac{d[-\rho(z) \cdot u^2(z)]}{dz} \frac{1}{L} - \frac{\eta \cdot u(z)}{f} = \frac{d[\rho(z) \cdot u(z)]}{dt} \quad (\text{A1.56})$$

Adiabatic steady-state energy balance for shell-side feed case:

$$-\frac{d[-Q(z)]}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = 0 \quad (\text{A1.57})$$

Adiabatic dynamic energy balance for shell-side feed case:

$$-\frac{d[-Q(z)]}{dz} \frac{1}{n \cdot L \cdot S_{\text{tube}}} + \frac{Q_{\text{interface}}(z)}{n \cdot L \cdot S_{\text{tube}}} = \frac{dU(z)}{dt} \quad (\text{A1.58})$$

A1.5 Permeability sub-models

Fig. A1-1 through Fig. A1-11 illustrate the GUI of each permeability model upon double-clicking on the membrane sub-model in the topology tab.

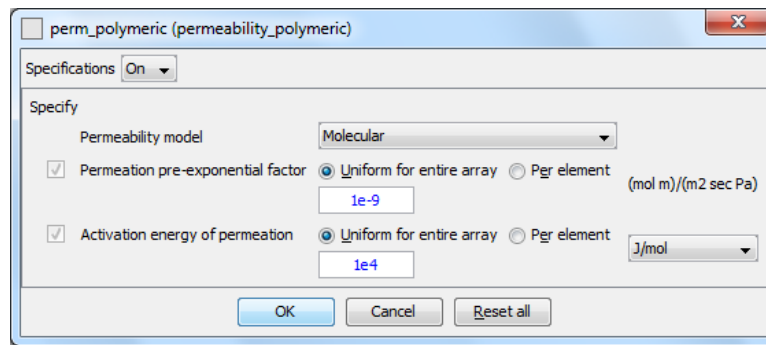


Fig. A1-1 - GUI of the polymeric membrane model entity featuring the molecular model

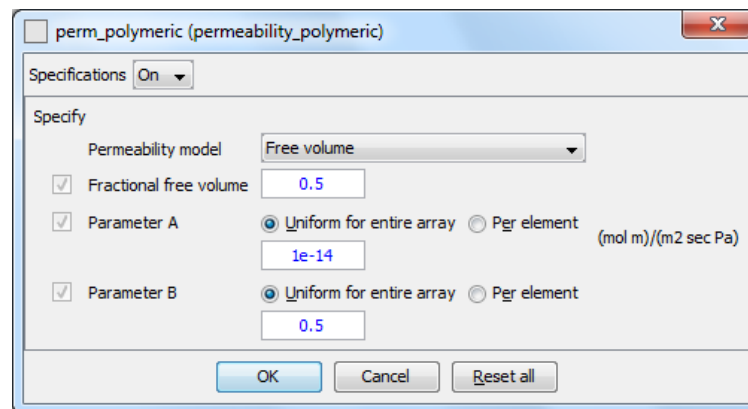


Fig. A1-2 - GUI of the polymeric membrane model entity featuring the free volume model

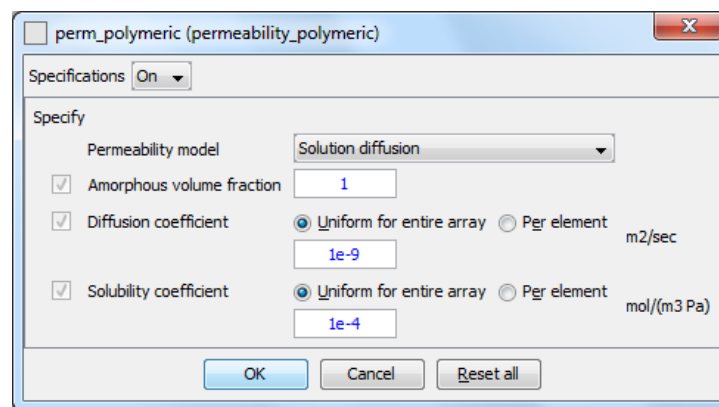


Fig. A1-3 - GUI of the polymeric membrane model entity featuring the solution-diffusion model

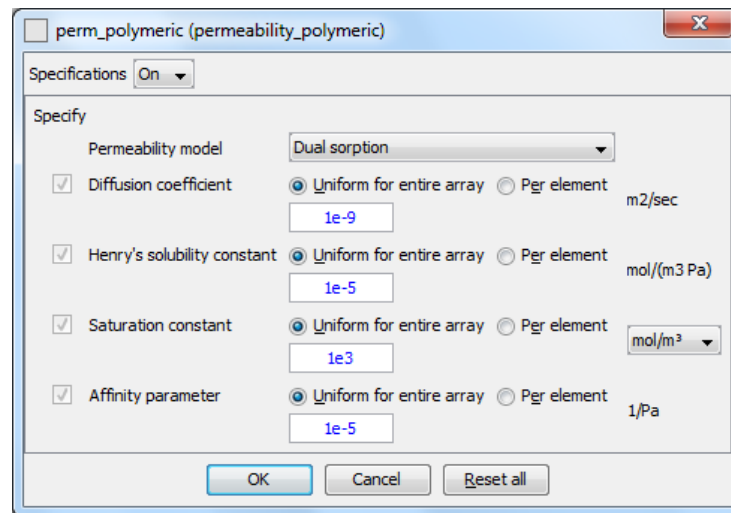


Fig. A1-4 - GUI of the polymeric membrane model entity featuring the dual sorption model

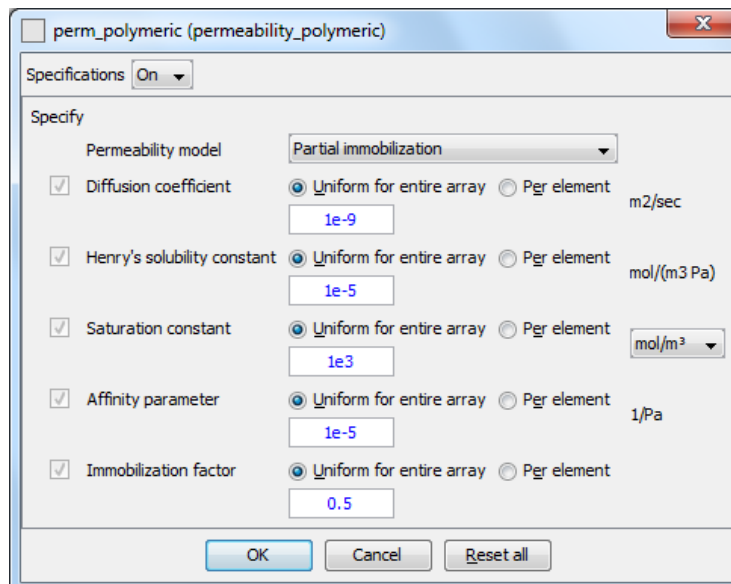


Fig. A1-5 - GUI of the polymeric membrane model entity featuring the partial immobilization model

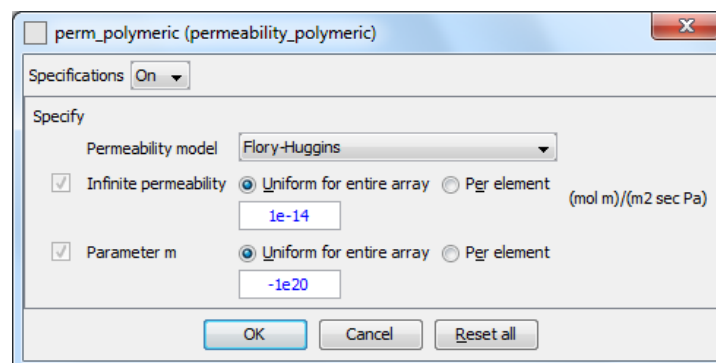


Fig. A1-6 - GUI of the polymeric membrane model entity featuring the Flory-Huggins model

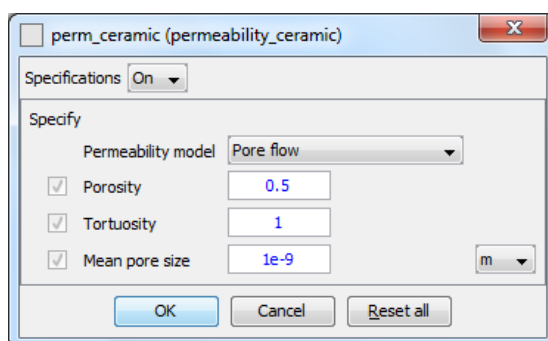


Fig. A1-7 - GUI of the inorganic membrane model entity featuring the pore-flow model

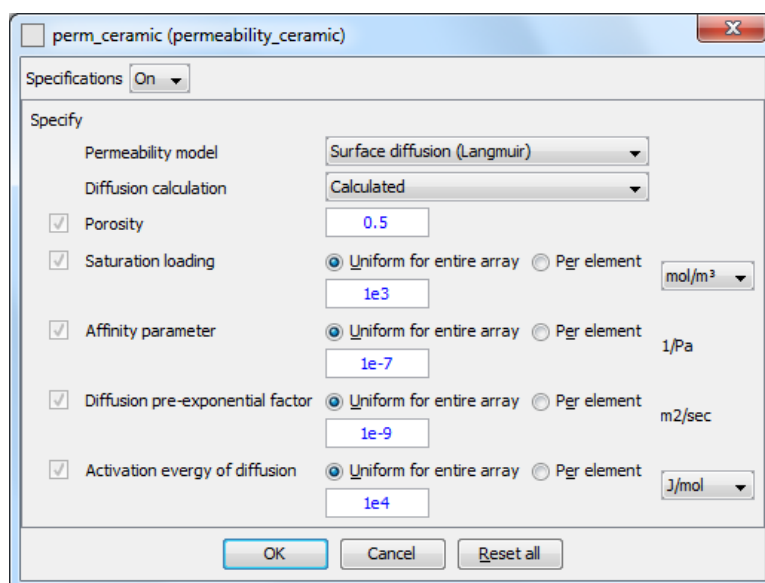


Fig. A1-8 - GUI of the inorganic membrane model entity featuring the surface diffusion model

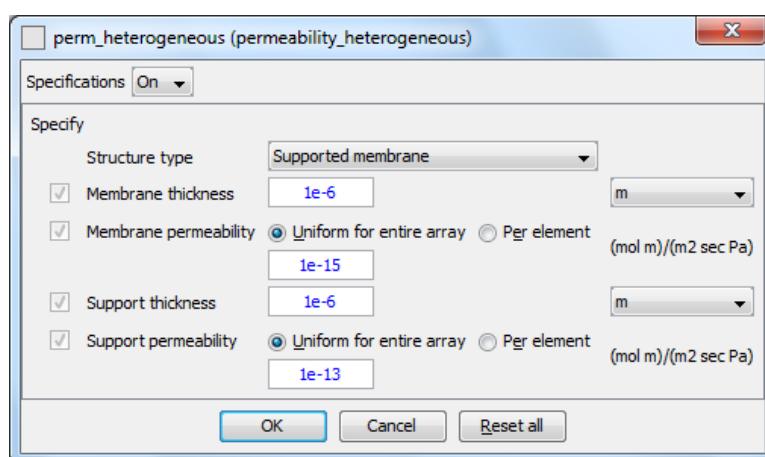


Fig. A1-9 - GUI of the heterogeneous membrane model entity featuring the resistances in series model

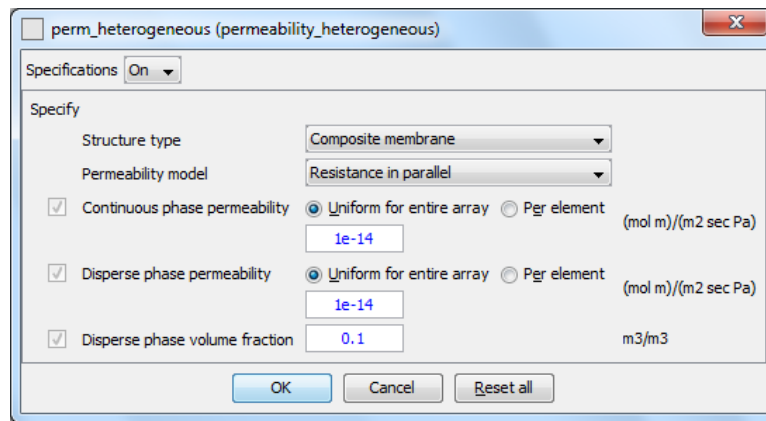


Fig. A1-10 - GUI of the heterogeneous membrane model entity featuring the resistances in parallel model

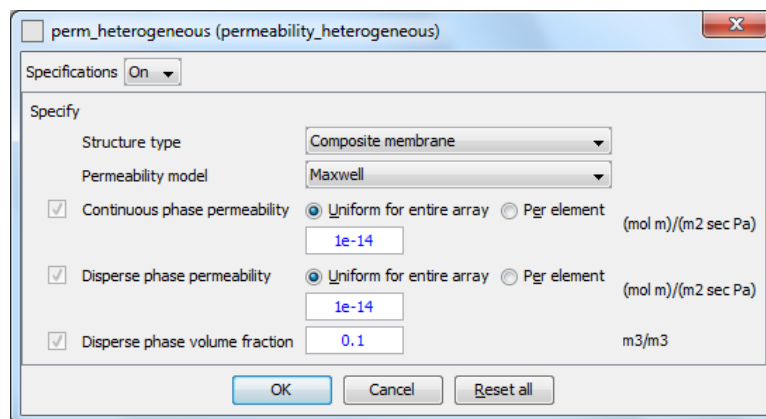


Fig. A1-11 - GUI of the heterogeneous membrane model entity featuring the Maxwell model

Appendix 2 gPROMS® model validation

A2.1 Hollow fibre membrane module model

Table A2-1 and Table A2-2 comprise the input data for simulation as reported by Song et al (2006) and by Feng and Ivory (2000), respectively.

Table A2-1 - Input data reported by Song et al (2006) [48]

$(P/l)_{CO_2}$ (mol·m ⁻² ·s ⁻¹ ·Pa ⁻¹)	1.58E-08	L (cm)	45
$(P/l)_{N_2}$ (mol·m ⁻² ·s ⁻¹ ·Pa ⁻¹)	9.89E-10	OD (μm)	4
x_{CO_2} (%)	33	ID (μm)	2
x_{N_2} (%)	67	A_p (cm ²)	2.26
P_f (kPa)	591.7	D_{shell} (mm)	50.8
T (°C)	25		

Table A2-2 - Input data reported by Feng and Ivory (2000) [49]

$(P/l)_{CO_2}$ (GPU)	57.9	n	45
$(P/l)_{N_2}$ (GPU)	1.3	L (cm)	105
x_{CO_2} (%)	18.3	OD (μm)	165
x_{N_2} (%)	81.7	A_p (cm ²)	245
P_f (MPa)	2.07	D_{shell} (in)	3/16
T (°C)	22		

Fig. A2-1 through Fig. A2-4 illustrate the experimental and simulated results reported by Feng and Ivory (2000) for shell-side feed design with different combinations of flow configuration and permeate discharge, identified as follows:

- OCOA: co-current flow configuration, permeate vent to atmosphere;
- OCCA: counter-current flow configuration, permeate vent to atmosphere;
- OCCV: counter-current flow configuration, permeate evacuated under vacuum.

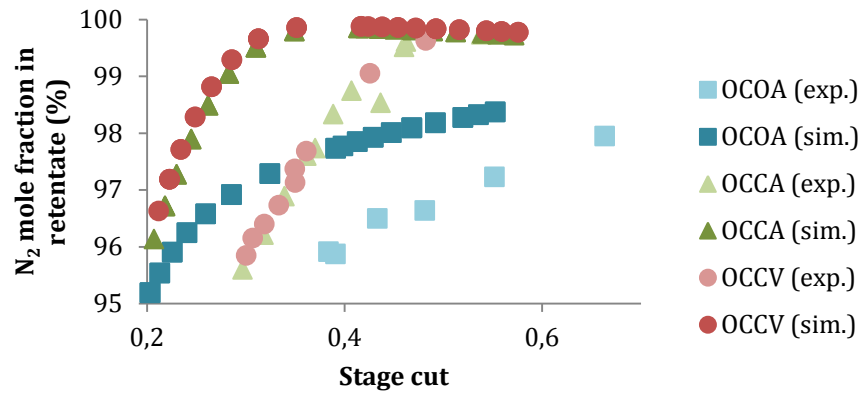


Fig. A2-1 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for N_2 mole fraction in retentate over stage cut for shell-feed side design

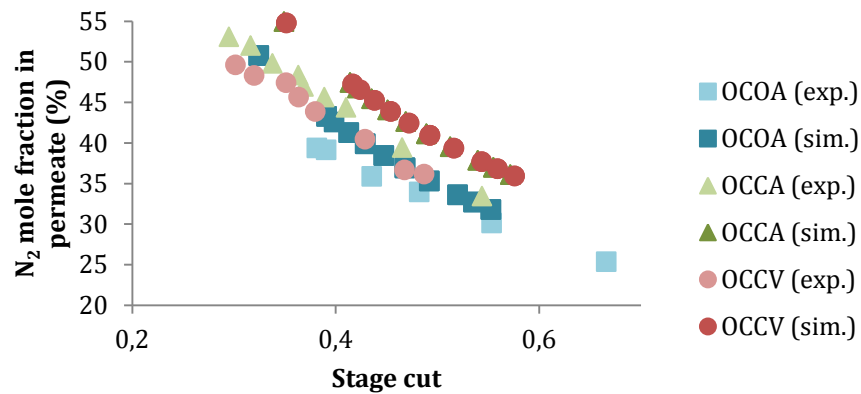


Fig. A2-2 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for N_2 mole fraction in permeate over stage cut for shell-feed side design

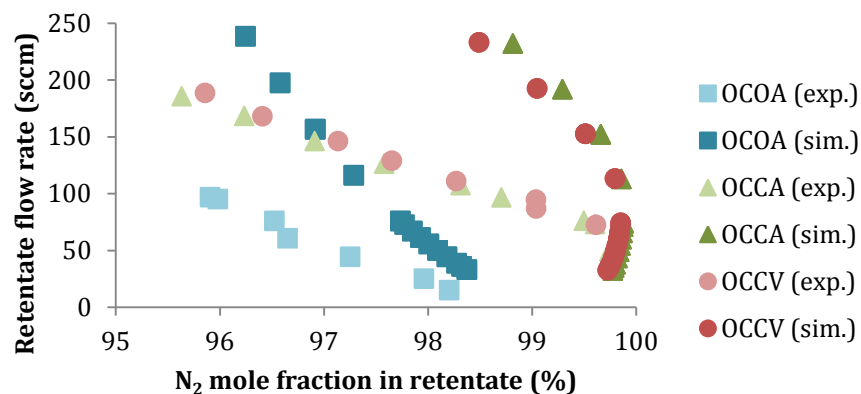


Fig. A2-3 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for retentate flow rate over N_2 mole fraction for shell-feed side design

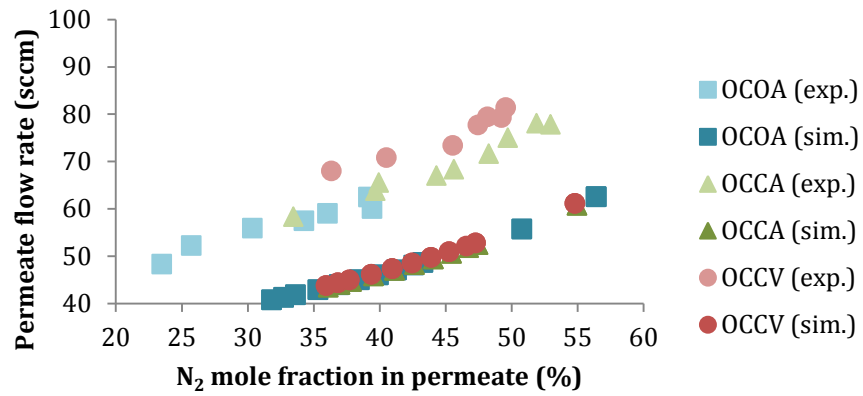


Fig. A2-4 - Simulation versus experimental results reported by Feng and Ivory (2000) [49] for permeate flow rate over N_2 mole fraction for shell-feed side design

Table A2-3 comprises the input data for simulation as reported by Liu et al (2005).

Table A2-3 - Input data reported by Liu et al (2005) [50]

$(P/l)_{CO_2}$ (GPU)	48.2	L (cm)	22
$(P/l)_{N_2}$ (GPU)	1.7	OD (μm)	700
x_{CO_2} (%)	15.3	ID (μm)	500
x_{N_2} (%)	84.7	A_p (cm^2)	43.5
P_f (kPa)	790	D_{shell} (m)	0.0064
T ($^{\circ}C$)	23		

A2.2 Permeability models

Table A2-4 contains the input data for the simulation as reported by Xuezhong and Hägg (2013) [51], and Table A2-5 comprises the results obtained for a temperature of 323 K using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-5.

Table A2-4 - Molecular model parameters reported by Xuezhong and Hägg (2013) [50]

Species, i	$P_{0,i}$ (Barrer)	$E_{p,i}$ ($kJ \cdot mol^{-1}$)
CO_2	1049.4	2.4
N_2	133.6	6.8
CH_4	5602.7	18.2

Table A2-5 - Permeability computed according to the molecular model for $T = 323$ K

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
	Excel®	gPROMS®	Reference [51]
CO ₂	1.44E-13	1.44E-13	1.42E-13
N ₂	3.54E-15	3.55E-15	3.69E-15
CH ₄	2.12E-15	2.11E-15	2.03E-15

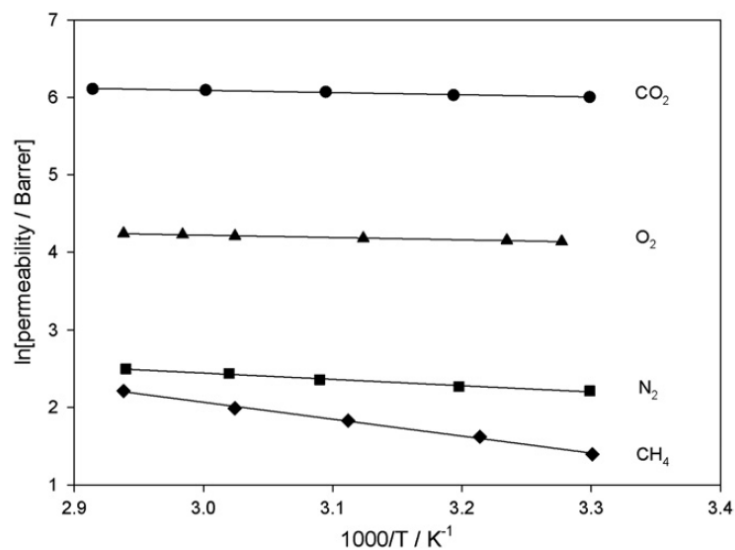


Fig. A2-5 - Experimental permeability results reported by Xuezhong and Hägg (2013) [50]

Table A2-6 contains the input data for the simulation as reported by Park and Paul (1997) [52], and Table A2-7 comprises the results obtained for a fractional free volume of 0.2 using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-6.

Table A2-6 - Free volume parameters reported by Park and Paul (1997) [52]

Species, i	A_i (Barrer)	B_i
CH ₄	114	0.967
N ₂	112	0.914
CO ₂	1750	0.860

Table A2-7 - Permeability computed according to the free volume model for $FFV = 0.2$

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
	Excel®	gPROMS®	Reference [52]
CH ₄	3.03E-16	3.03E-16	3.01E-16
N ₂	3.88E-16	3.88E-16	3.35E-16
CO ₂	7.95E-15	7.95E-15	6.70E-15

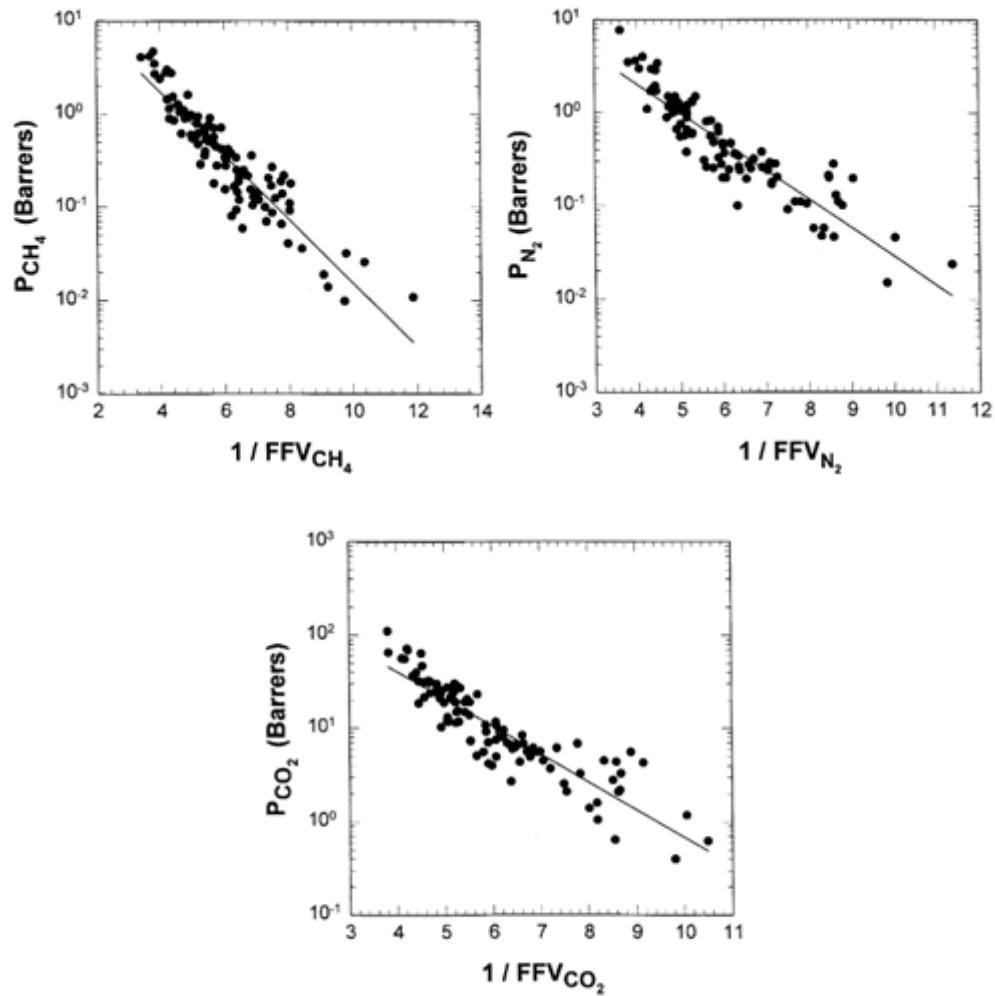


Fig. A2-6 - Experimental permeability results reported by Park and Paul (1997) [52]

Table A2-8 contains the input data for the simulation as reported by Sanders et al (2012) [53], and Table A2-9 comprises the results obtained using Excel® and gPROMS®, as well as the reported experimental ones.

Table A2-8 - Solution-diffusion parameters reported by Sanders et al (2012) [53]

Species, i	D_i (cm ² ·s)	S_i (cm ³ (STP)·cm ⁻³ ·atm ⁻¹)
CH ₄	2.20E-09	1.07
N ₂	1.00E-08	0.44
CO ₂	2.26E-08	4.04

Table A2-9 - Permeability computed according to the solution-diffusion model

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)			Relative error (%)
	Excel®	gPROMS®	Reference [53]	
CH ₄	1.04E-16	1.04E-16	1.05E-16	1.13
N ₂	1.94E-16	1.94E-16	1.88E-16	3.47
CO ₂	4.02E-15	4.02E-15	4.02E-15	0.12

Table A2-10 contains the input data for the simulation as reported by Kanehashi et al (2007) [54], and Table A2-11 comprises the results obtained using Excel® and gPROMS®, as well as the reported experimental ones.

Table A2-10 - Dual-mode sorption parameters reported by Kanehashi et al (2007) [54]

Species, i	D_i (cm ² ·s ⁻¹)	$k_{D,i}$ (cm ³ (STP)·cm ⁻³ ·atm ⁻¹)	$C'_{H,i}$ (cm ³ (STP)·cm ⁻³)	b_i (atm ⁻¹)
CO ₂	6.70E-07	2	67.8	0.328

Table A2-11 - Permeability computed according to the dual-mode sorption model

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)			Relative error (%)
	Excel®	gPROMS®	Reference [54]	
CO ₂	2.12E-13	2.12E-13	2.04E-13	3.92

Table A2-12 contains the input data for the simulation as reported by Tsujita (2003) [55], and Table A2-13 the results obtained for a pressure of 300 cm Hg using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-7 (curve a).

Table A2-12 - Partial immobilization parameters reported by Tsujita (2003) [55]

Species, i	Material	$D_{D,i}$ (cm ² ·s ⁻¹)	$k_{D,i}$ (cm ³ (STP)·cm ⁻³ ·cm Hg ⁻¹)	$C'_{H,i}$ (cm ³ (STP)·cm ⁻³)	b_i (cm Hg ⁻¹)	F_i
CO ₂	PC	9.07E-08	0.010	16.2	0.0035	0.04906
	PPO	3.04E-07	0.013	27.7	0.0038	0.09967

Table A2-13 - Permeability computed according to the partial immobilization model for $P = 300$ cmHg

Material	Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
		Excel®	gPROMS®	Reference [55]
PC	CO ₂	3.45E-15	3.45E-15	3.45E-15
PPO		1.77E-14	1.77E-14	1.67E-14

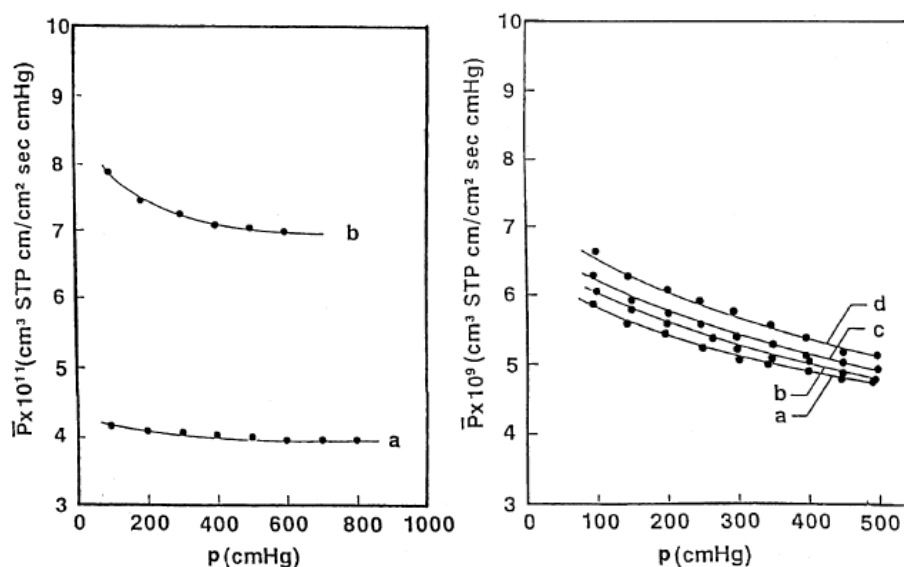


Fig. A2-7 - Experimental permeability results reported by Tsujita (2003) [55] for PC and PPO

Table A2-14 contains the input data for the simulation as reported by Sadrzadeh (2009) [56], and Table A2-15 the results obtained using Excel® and gPROMS®, as well as the reported experimental ones. The simulated results seem to be in agreement with those calculated using Excel® and with the literature, even though no approximation error was calculated since the reference values were graphically obtained.

Table A2-14 - Flory-Huggins model parameters reported by Sadrzadeh (2009) [56]

Species, i	\mathcal{P}_i^∞ (Barrer)	m_i (Barrer·atm ⁻¹)
CH ₄	1523.85	-23.56
N ₂	1061.05	-17.91

Table A2-15 - Permeability computed according to the Flory-Huggins model

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
	Excel®	gPROMS®	Reference [56]
CH ₄	4.71E-13	4.71E-13	5.02E-13
N ₂	3.25E-13	3.25E-13	3.35E-13

Table A2-16 contains the input data for the simulation as reported by Lira and Paterson (2002) [38], and Table A2-17 the results obtained for a pressure gradient of 0.6 bar using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-8.

Table A2-16 - Pore-flow parameters reported by Lira and Paterson (2002) [38]

d_p (m)	6.40E-08
ε	0.13
τ	1
l (m)	2.30E-05

Table A2-17 - Permeability computed according to the pore-flow model for $\bar{P} = 0.6$ bar

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
	Excel®	gPROMS®	Reference [12]
CO ₂	4.46E-10	4.55E-10	3.26E-10
N ₂	5.60E-10	5.59E-10	5.37E-10
H ₂	2.04E-09	2.04E-09	1.38E-09

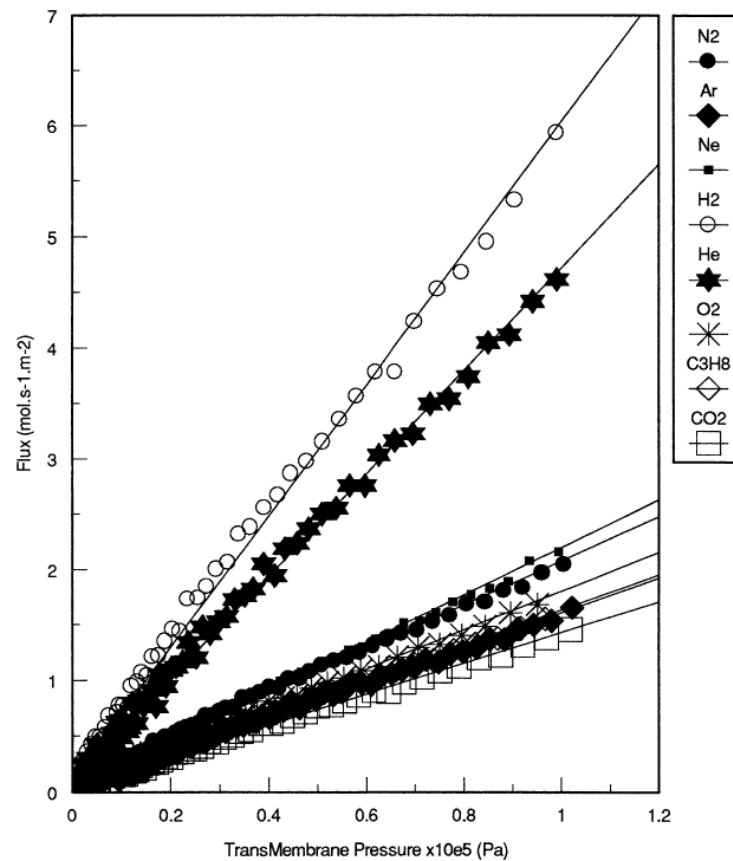


Fig. A2-8 - Experimental flux results reported by Lira and Paterson (2002) [38]

Table A2-18 contains the input data for the simulation as reported by Shin et al (2005) [57], and Table A2-19 the results obtained for a feed pressure of 200 kPa using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-9.

Table A2-18 - Pore-flow parameters reported by Shin et al (2005) [57]

Sample	S-A	S-B	S-C	S-D
d_p (m)	8.00E-08	8.00E-08	9.00E-08	1.05E-06
ε	0.3500	0.3528	0.4795	0.4256
τ	1	1	1	1
l (m)	8.00E-04	1.00E-03	1.70E-03	1.70E-03

Table A2-19 - Permeability computed according to the pore-flow model for $\bar{P} = 200$ kPa

Species, i	Sample	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
		Excel®	gPROMS®	Reference [57]
N ₂	S-A	2.11E-09	2.11E-09	1.84E-09
	S-B	2.12E-09	2.12E-09	1.90E-09
	S-C	3.31E-09	3.31E-09	3.91E-09
	S-D	7.04E-08	9.50E-08	5.10E-08

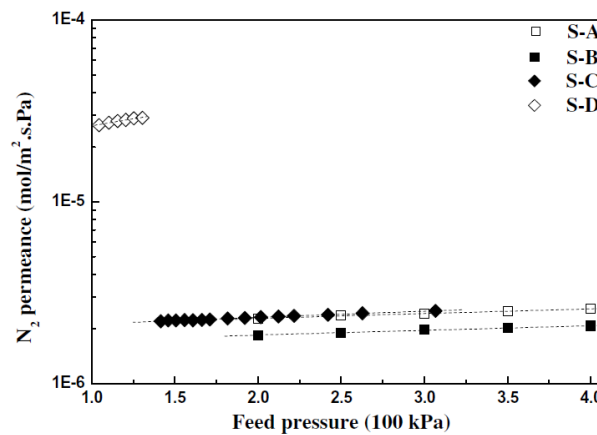


Fig. A2-9 - Experimental permeance results reported by Shin et al (2005) [57]

Table A2-20 contains the input data for the simulation as reported by Lito et al (2011) [59], and Table A2-21 the results obtained for a temperature of 338 K using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-10.

Table A2-20 - Surface diffusion parameters reported by Lito et al (2011) [59] for a Langmuir isotherm

ε^1	l (m)	Species, i	q_i^{sat} (mol·kg ⁻¹)	b_i (Pa ⁻¹)	ρ (g·m ⁻³)	$D_{s,i}^{0,0}$ (m ² ·s ⁻¹)	$E_{s,i}$ (kJ·mol ⁻¹)
0	3.50E-06	CO	3.37	2.40E-06	1.90E+06	1.88E-05	35.75

Table A2-21 - Permeability computed according to the surface diffusion model for $T = 338$ kPa

Species, i	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
	Excel®	gPROMS®	Reference [59]
CO	6.82E-13	6.81E-13	7.00E-13

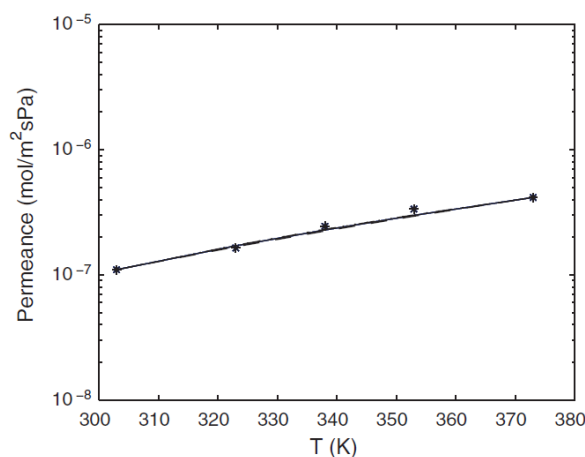


Fig. A2-10 - Experimental permeance results reported by Lito et al (2011) [59] for a Langmuir isotherm

Table A2-22 comprises the input data for the simulation as reported by Lagorsse et al (2004) [39], and Table A2-23 the results obtained for a feed pressure of 2 bar at both temperatures using Excel® and gPROMS®, as well as the reported experimental ones, which are illustrated in Fig. A2-11.

Table A2-22 - Surface diffusion parameters reported by Lagorsse et al (2004) [39] for a Langmuir isotherm

ε	l (m)	Species, i	T (K)	q_i^{sat} (mol·m ⁻³)	b_i (bar ⁻¹)	$D_{s,i}$ (m ² ·s ⁻¹)
0.21	9.00E-06	N ₂	303	2719	0.19	4.20E-13
			323	2147	0.15	8.60E-13

¹ Porosity, ε , is null seeing that the zeolite density provided in the reference, ρ , is apparent and therefore already takes porosity into account

Table A2-23 - Permeability computed according to the surface diffusion model for $P_f = 2$ bar

Species, i	T (K)	\mathcal{P}_i (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)		
		Excel®	gPROMS®	Reference [39]
N ₂	303	1.34E-15	1.33E-15	2.01E-15
	323	1.79E-15	1.78E-15	2.81E-15

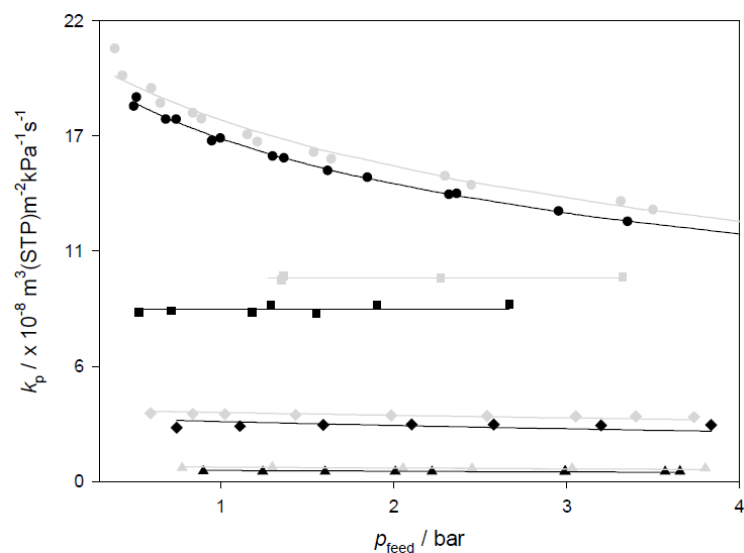
Fig. A2-11 - Experimental results reported by Lagorsse et al (2004) [39] for N₂ at 303 (▲) and 323 K (▲)

Table A2-24 contains the individual mass transfer coefficients reported in by Dingemans et al (2008) [34], k_i , from which the permeabilities in Table A2-25 were calculated to be used as input data for the simulation.

Table A2-26 contains the equivalent permeability results obtained using Excel® and gPROMS®, as well as the reported experimental ones after processing.

Table A2-24 - Mass transfer coefficients reported by Dingemans et al (2008) [34]

Species, i	Material	Layer	l (m)	k_i (m·s ⁻¹)
TCE	PP/PVDF/PDMS	Dense	2.00E-06	7.17E-02
		Porous	2.40E-04	2.64E-03
	Polyester/PAN/PDMS	Dense	3.00E-07	4.78E-01
		Porous	1.81E-04	4.32E-03

Table A2-25 - Layer resistance and permeability computed according to equation (3.2)

Species, i	Material	Layer	R_i (s·m ⁻¹)	\mathcal{P}_i (m ² ·s ⁻¹)
TCE	PP/PVDF/PDMS	Dense	1.39E+01	1.43E-07
		Porous	3.79E+02	6.34E-07
	Polyester /PAN/PDMS	Dense	2.09E+00	1.43E-07
		Porous	2.31E+02	7.82E-07

Table A2-26 - Equivalent permeability computed according to the resistances in series model

Species, i	Material	$\mathcal{P}_{eq,i}$ (m ² ·s ⁻¹)			Relative error (%)
		Excel®	gPROMS®	Reference [34]	
TCE	PP/PVDF/PDMS	6.16E-07	6.17E-07	5.74E-07	7.49
	PET/PAN/PDMS	7.76E-07	7.76E-07	7.80E-07	0.43

Table A2-27 contains the input data for the simulation as reported by Dorosti et al (2011) [60], and Table A2-28 comprises the results obtained for using Excel® and gPROMS®, as well as the reported experimental ones.

Table A2-27 - Resistances in parallel model parameters reported by Dorosti et al (2011) [60]

Species, i	\mathcal{P}_i (Barrer)	
	Continuous phase	Disperse phase
CO ₂	1.7035	1.2502
N ₂	0.3650	0.2007
CH ₄	0.3795	0.2481

Table A2-28 - Equivalent permeability computed according to the resistances in parallel model

Species, i	ϕ_d	$\mathcal{P}_{eq,i}$ (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)			Relative error (%)
		Excel®	gPROMS®	Reference [60]	
CO ₂	0.3	5.25E-16	5.25E-16	5.46E-16	3.84
	0.5	4.95E-16	4.95E-16	4.56E-16	8.40
	0.7	4.64E-16	4.64E-16	4.66E-16	0.33
N ₂	0.3	1.06E-16	1.06E-16	1.07E-16	1.53
	0.5	9.47E-17	9.47E-17	9.08E-17	4.30
	0.7	8.37E-17	8.37E-17	9.75E-17	14.15
CH ₄	0.3	1.14E-16	1.14E-16	1.21E-16	5.82
	0.5	1.05E-16	1.05E-16	9.49E-17	10.76
	0.7	9.63E-17	9.63E-17	9.75E-17	1.27

Table A2-29 contains the input data for the simulation as reported by Gheimasi et al (2014) [36], and Table A2-30 the results obtained for using Excel® and gPROMS®, as well as the reported experimental ones.

Table A2-29 - Maxwell model parameters reported by Gheimasi et al (2014) [36]

Species, i	\mathcal{P}_i (cm ³ (STP)·cm·cm ⁻² ·s ⁻¹ ·mm Hg ⁻¹)	
	Continuous phase	Disperse phase
CO ₂	4.45E-11	9.56E-09

Table A2-30 - Equivalent permeability computed according to the Maxwell model

Species, i	ϕ_d	$\mathcal{P}_{eq,i}$ (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)			Relative error (%)
		Excel®	gPROMS®	Reference [36]	
CO ₂	0.02	1.58E-15	1.58E-15	1.52E-15	4.17
	0.05	1.72E-15	1.72E-15	1.60E-15	7.63
	0.10	1.98E-15	1.98E-15	1.67E-15	18.45
	0.15	2.27E-15	2.27E-15	1.81E-15	25.10
	0.20	2.59E-15	2.59E-15	2.00E-15	29.70

Appendix 3 gPROMS® flowsheeting

A3.1 Simulation and validation

Table A3-1 comprises the sensitivity analysis performed in order to assess the equivalence of simulating single- and multiple-module stages with the same total permeation area while Table A3-2 features the size specifications of the membrane modules allegedly employed in the installation reported by Choi et al (2013) and used in cases 1 through 3C, which in turn are listed in Table A3-3.

Table A3-1 - First stage recovery for cases 1 through 3A using single and multiple membrane modules

Case	Single module	Multiple module
1	0.758954	0.761246
2	0.784544	0.798394
3A	0.787439	0.797913

Table A3-2 - Size specifications of the membrane modules allegedly employed in the installation reported by Choi et al (2013) [46]

L (cm)	50	n	16,000
OD (μm)	4	A_p (cm^2)	7.6
ID (μm)	2	D_{shell} (mm)	76

Table A3-3 - Case conditions reported by Choi et al (2013) [46]

Case	Stage	Number of modules (exp.)	Number of modules (sim.)	P_f (bar)	P_p (bar)	$(\mathcal{P}/l)_{\text{CO}_2}$ ($\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$)	$(\mathcal{P}/l)_{\text{N}_2}$ ($\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}\cdot\text{Pa}^{-1}$)
1	1	20	15	5.884	0.981	1.506E-08	7.750E-10
	2	3	2	5.884	0.981	1.535E-08	9.088E-10
	3	1	1	5.884	0.981	1.593E-08	1.340E-09
	4	1	1	2.940	0.981	1.560E-08	1.059E-09
2	1	10	9	5.884	0.196	1.506E-08	7.750E-10
	2	3	2	5.884	0.981	1.560E-08	1.057E-09
	3	1	1	5.884	0.981	1.612E-08	1.578E-09
	4	1	1	2.940	0.981	1.562E-08	1.074E-09

Table A3-3 (cont.) - Case conditions reported by Choi et al (2013) [46]

Case	Stage	Number of modules (exp.)	Number of modules (sim.)	P_f (bar)	P_p (bar)	$(P/L)_{CO_2}$ (mol·m ⁻² ·s ⁻¹ ·Pa ⁻¹)	$(P/L)_{N_2}$ (mol·m ⁻² ·s ⁻¹ ·Pa ⁻¹)
3A	1	10	9	5.884	0.196	1.507E-08	7.783E-10
	2	3	2	5.884	0.196	1.560E-08	1.060E-09
	3	1	1	5.884	0.981	1.619E-08	1.685E-09
	4	1	1	2.940	0.981	1.568E-08	1.117E-09
3B	1	10	9	6.628	0.173	1.508E-08	7.842E-10
	2	3	2	6.628	0.163	1.569E-08	1.123E-09
	3	1	1	4.079	1.020	1.577E-08	1.193E-09
	4	1	1	3.263	1.020	1.576E-08	1.182E-09
3C	1	10	9	7.138	0.173	1.510E-08	7.906E-10
	2	3	2	6.628	0.224	1.569E-08	1.123E-09
	3	1	1	4.589	1.020	1.585E-08	1.259E-09
	4	1	1	3.365	1.020	1.573E-08	1.155E-09

Fig. A3-1 depicts the linear fits to experimental permeation data reported by Choi et al (2013) while Fig. A3-2 illustrates the gPROMS® topology used to simulate the flowsheet reported in the same reference, and Table A3-4 and Table A3-5 comprise the size specifications of the single-module membrane stages simulated for cases 1 through 3C.

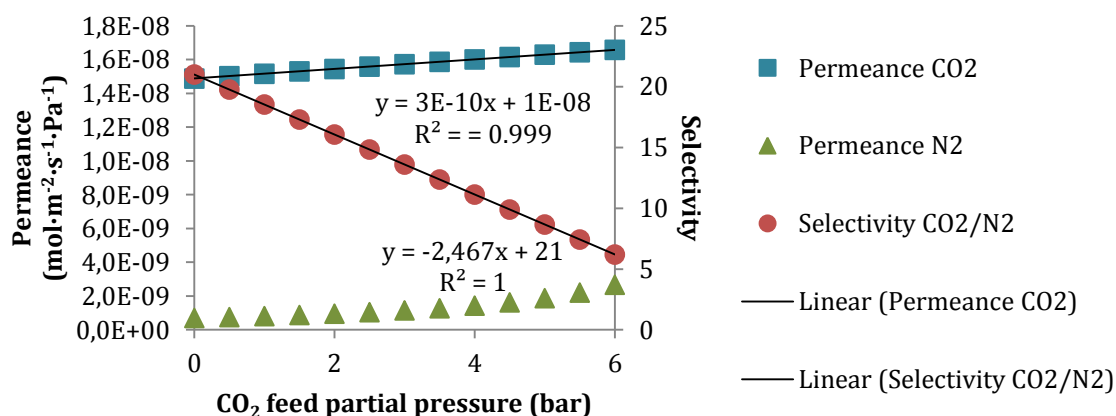


Fig. A3-1 - Linear fits to data reported by Choi et al (2013) [46] for permeance and selectivity

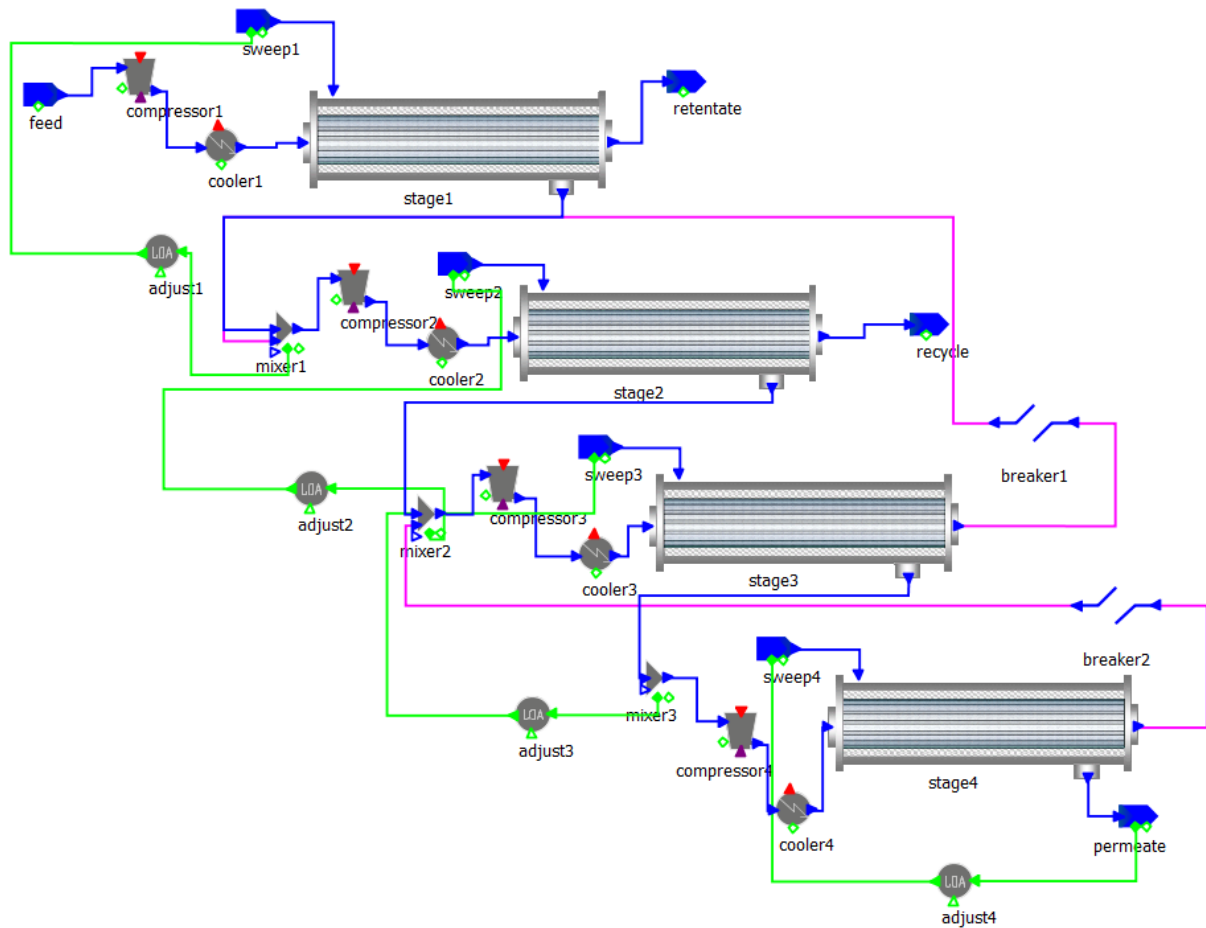


Fig. A3-2 - gPROMS® topology relative to the flowsheet reported by Choi et al (2013) [46]

Table A3-4 - Membrane module size specifications used for case 1

Stage	1	2	3	4
L (m)	1	1.5	0.5	0.5
n	160,000	16,000	16,000	16,000
A_p (m ²)	150.8	22.6	7.6	7.6
D_{shell} (m)	0.239	0.076	0.076	0.076

Table A3-5 - Membrane module size specifications used for cases 2, 3A, 3B and 3C

Stage	1	2	3	4
L (m)	1	1.5	0.5	0.5
n	72,000	16,000	16,000	16,000
A_p (m ²)	75.4	22.6	7.6	7.6
D_{shell} (m)	0.169	0.076	0.076	0.076

Fig. A3-3 and Fig. A3-4 depict the recovery improvement due to gradual decrease in feed flow rate and Fig. A3-5 through Fig. A3-7 depict the reverse-calculation of permeance and selectivity for each stage, calculated using the experimental results listed in Table A3-6. Table A3-7 contains flue gas composition reported by Choi et al (2013).

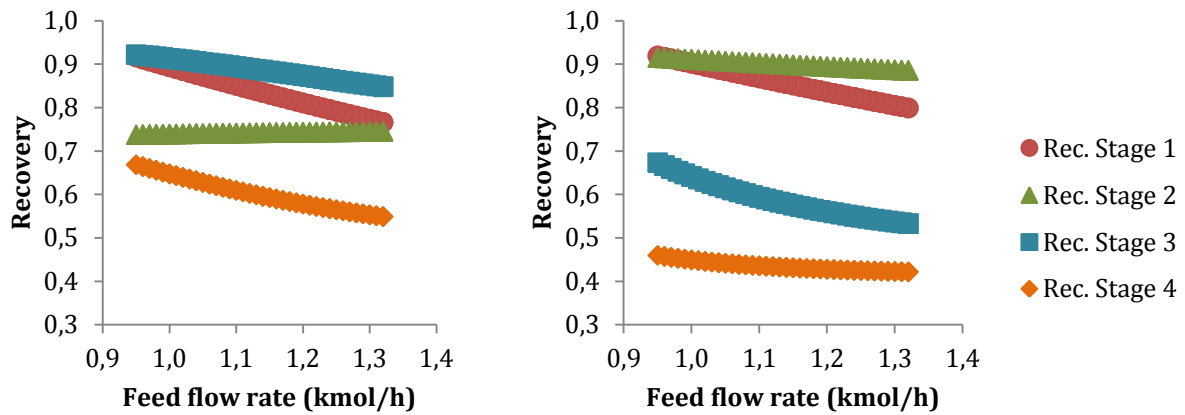


Fig. A3-3 - Stage recovery over feed flow rate for cases 1 and 2

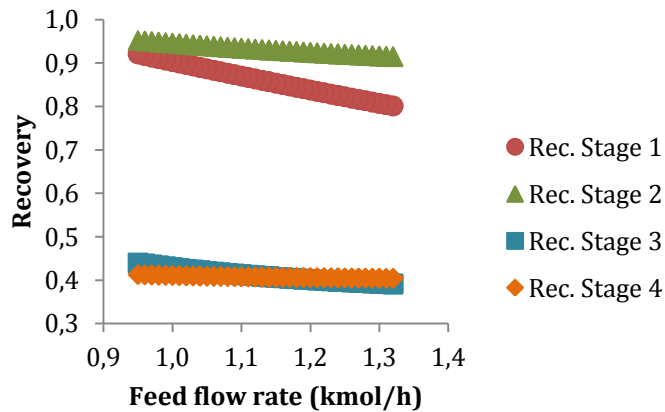


Fig. A3-4 - Stage recovery over feed flow rate for case 3A

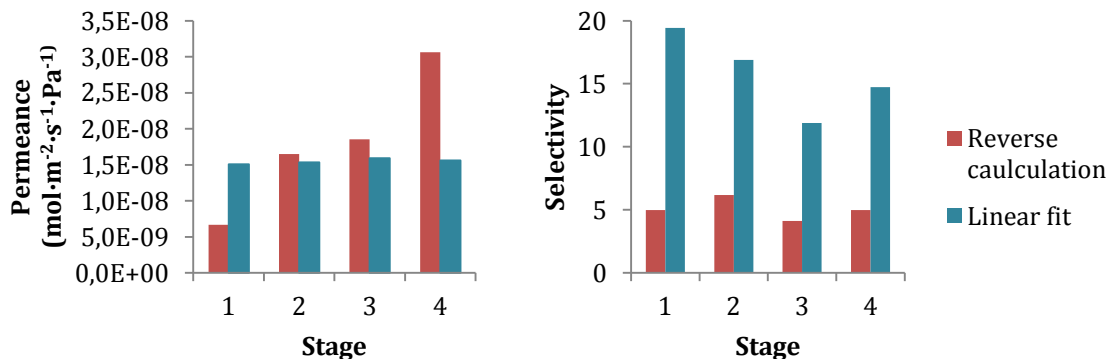


Fig. A3-5 - Reverse-calculated and linearly fitted permeance and selectivity for each stage on case 1

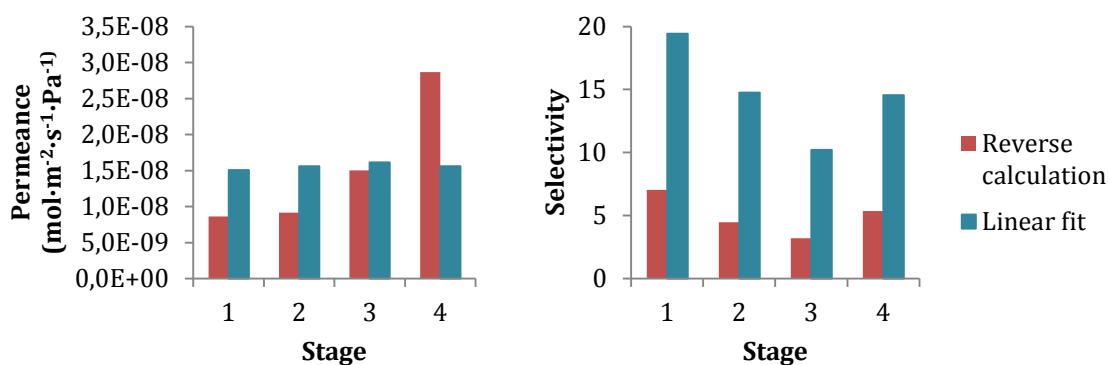


Fig. A3-6 - Reverse-calculated and linearly fitted permeance and selectivity for each stage on case 2

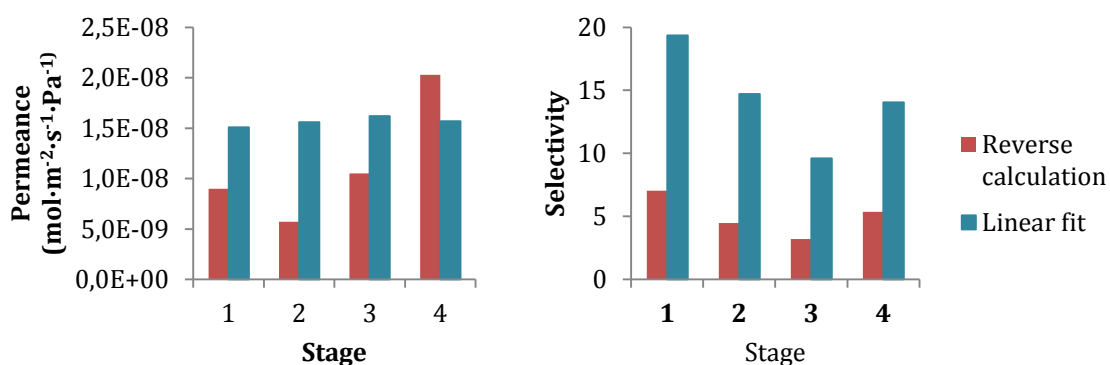


Fig. A3-7 - Reverse-calculated and linearly fitted permeance and selectivity for each stage on case 3A

Table A3-6 - Experimental data as reported by Choi et al (2013) [46] for case simulation

Case	Stage	F_f (l/min)	F_p (l/min)	F_r (l/min)	x_{f,CO_2} (vol.%)	x_{p,CO_2} (vol.%)	x_{r,CO_2} (vol.%)
1	1	500.0	172.0	328.0	10.8	28.2	1.7
	2	204.0	84.0	120.0	28.3	62.7	11.9
	3	95.0	63.0	32.0	62.8	85.2	28.4
	4	63.0	52.0	11.0	86.4	95.7	63.1
2	1	500.0	112.0	388.0	10.8	43.0	1.3
	2	132.0	70.0	62.0	43.3	72.5	12.4
	3	80.0	60.0	20.0	74.3	88.9	41.2
	4	60.0	50.0	10.0	88.9	96.8	74.2
3A	1	500.0	122.0	378.0	11.3	43.3	1.3
	2	137.0	53.0	84.0	43.3	78.5	11.8
	3	56.0	41.0	15.0	78.5	95.7	45.7
	4	41.0	38.0	3.0	95.9	99.7	81.0

Table A3-6 (cont.) - Experimental data as reported by Choi et al (2013) [46] for case simulation

Case	Stage	F_f (l/min)	F_p (l/min)	F_r (l/min)	x_{f,CO_2} (vol.%)	x_{p,CO_2} (vol.%)	x_{r,CO_2} (vol.%)
3B	1	500	137	--/--	10.8	43.0	--/--
	2	128	74	--/--	43.0	77.3	--/--
	3	61	52	--/--	77.3	95.2	--/--
	4	46	49	--/--	95.2	98.2	--/--
3C	1	500	137	--/--	10.8	37.2	--/--
	2	162	74	--/--	37.2	75.6	--/--
	3	77	52	--/--	75.6	94.3	--/--
	4	52	49	--/--	94.3	97.0	--/--

Table A3-7 - Flue gas composition reported by Choi et al (2013) [46]

Component	Volume fraction (vol.%)
N ₂	87.2
O ₂	2.0
CO ₂	10.8

A3.2 Optimisation and sensitivity analysis

Fig. A3-8 depicts the linear fits to the performance data reported by Liu et al (2014) [47] for HF Matrimid®/PDMS composite module, from which the free volume parameters in table

Table A3-8 were determined.

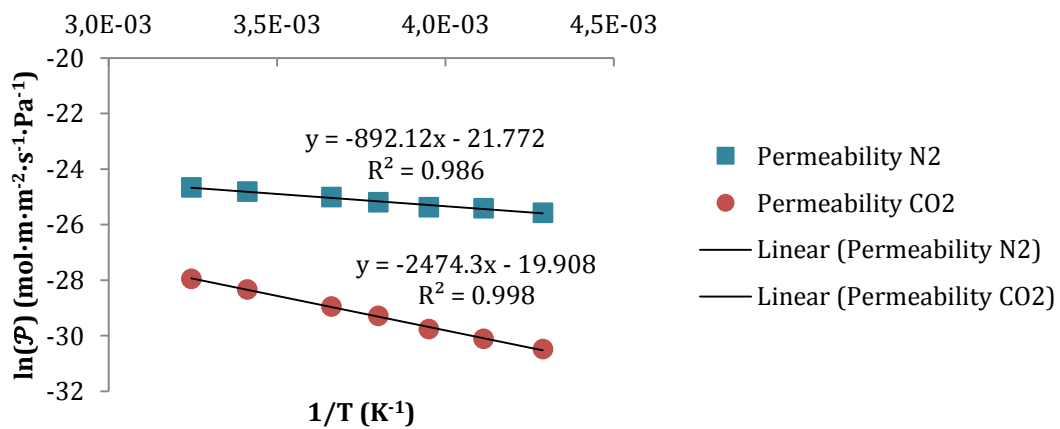


Fig. A3-8 - Linear fit to permeability data reported by Liu et al (2014) [47]

Table A3-8 - Molecular model parameters obtained from the linear regression fit in Fig. A3-8

Species, i	$\mathcal{P}_{0,i}$ (mol·m·m ⁻² ·s ⁻¹ ·Pa ⁻¹)	$E_{p,i}$ (J/mol)
CO ₂	3.504E-10	7,417
N ₂	2.260E-09	20,571

Table A3-9 contains data for simulation of differently sized modules with the same permeation area at lab-scale based on the module reported by Feng and Ivory (2000) [49] and Fig. A3-9 illustrates the respective results for $D_{\text{shell}} = 0.002$ m, $ID = OD = 1.65\text{E-}04$ m and $F_f = 0.005$ kmol/h with the conditions listed in Table A2-2, whereas Table A3-10 comprises similar data for industrial-scaled based on the module reported by Ivory et al (2002) [67] and Fig. A3-10 depicts the performance results for $D_{\text{shell}} = 0.8$ m, $ID = OD = 1.65\text{E-}04$ m, $F_f = 3$ kmol/h, $P_f = 21.7$ bar and $P_p = 20.6$ bar.

Table A3-9 - Data for simulation of differently sized modules with the same permeation area at lab scale

A_{perm} (m ²)	1.17E-02	2.33E-02	3.50E-02	4.67E-02	5.83E-02
L (m) for $n = 45$	0.5	1.0	1.5	2.0	2.5
n for L (m) = 1.05	21	43	64	86	107

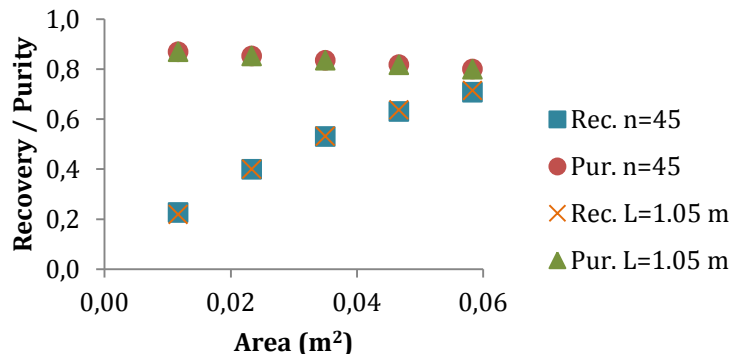


Fig. A3-9 - Performance of differently sized modules with the same permeation area at lab scale

Table A3-10 - Data for simulation of differently sized modules with the same permeation area at industrial scale

A_{perm} (m ²)	2.07E+03	4.15E+03	6.22E+03	8.29E+03	1.04E+04
L (m) for $n = 4.\text{E}+06$	2.07E+03	4.15E+03	6.22E+03	8.29E+03	1.04E+04
n for L (m) = 1.03	3.88E+06	7.77E+06	1.17E+07	1.55E+07	1.94E+07

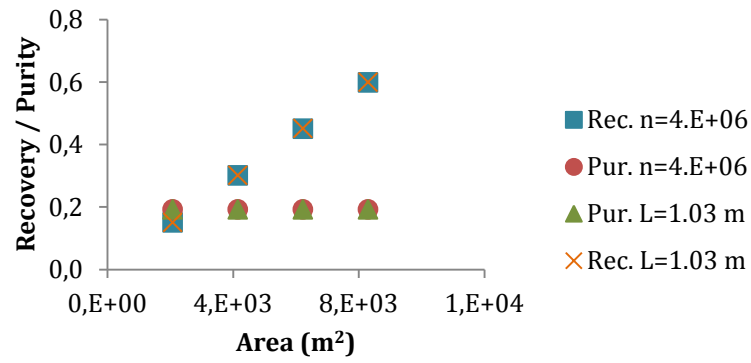


Fig. A3-10 - Performance of differently sized modules with the same permeation area at industrial scale

Table A3-11 lists the operating conditions of cases A1 through D4, whereas Table A3-12 comprises the simulated results after manual membrane area optimisation.

Table A3-11 - Operating conditions of the cases considered in the optimisation process

Stage		1		2		3	
Case		T (°C)	P_f (bar)	T (°C)	P_f (bar)	T (°C)	P_f (bar)
A	1	50	5.884	50	5.884	50	5.884
	2					25	
	3					0	
	4					-25	
B	1	50	5.884	25	5.884	25	5.884
	2					0	
	3					-25	
	4					-40	
C	1	50	5.884	50	5.884	50	2.942
	2					25	
	3					0	
	4					-25	
	5					-40	
D	1	50	5.884	25	5.884	25	2.942
	2					0	
	3					-25	
	4					-40	

Table A3-12 - Simulation results for the cases considered in the optimisation process

Case	Overall recovery	Product purity	Total area ² (m ²)
A1	0.824	0.934	37.7
A2	0.824	0.950	38.2
A3	0.827	0.963	39.2
A4	0.823	0.979	40.3
B1	0.823	0.964	40.9
B2	0.822	0.976	41.5
B3	0.820	0.986	42.4
B4	0.821	0.990	43.4
C1	0.828	0.903	41.2
C2	0.827	0.923	43.2
C3	0.826	0.942	46.7
C4	0.828	0.958	53.9
C5	0.826	0.969	60.3
D1	0.824	0.946	44.6
D2	0.824	0.960	47.1
D3	0.823	0.974	51.3
D4	0.823	0.981	55.8

Table A3-13 through Table A3-15 contain the equipment specifications used for simulation.

Table A3-13 - Specifications of the compression equipment

Compressor type	Centrifugal
Compressor configuration	Single stage
Mechanical efficiency (%)	80
Adiabatic efficiency (%)	85

² Membrane area was optimized by varying the module length while keeping the rest of the size specifications as described in Table A3-4

Table A3-14 - Specifications of the vacuum equipment

Pump type	Vacuum
Mechanical efficiency (%)	80
Ideal efficiency (%)	75

Table A3-15 - Specifications of the cooling equipment

Type of heat exchanger	Shell-and-tube
Pressure drop (bar)	0
Heat exchanger area (m ²)	1

A3.2 Industrial scale-up

Table A3-16 lists the membrane module size specifications used for the simulation of case D2 at industrial scale.

Table A3-16 - Membrane module size specifications used for the simulation of scaled-up case D2

Stage	1	2	3
L (m)	1.03	1.40	0.50
n	3.079E+09	8.097E+08	1.679E+09
A_p (m ²)	2.989E+06	1.068E+06	7.912E+05
D_{shell} (m)	33.2	19.7	24.5

Appendix 4 Carbon capture cost estimation

The cost of capture equipment, C_E , was estimated via the following correlation:

$$C_E = C_B \left(\frac{Q_E}{Q_B} \right)^M f_M f_P f_T \quad (\text{A4.1})$$

In which C_B is the base cost for equipment with capacity Q_B , M is a cost exponent specific to equipment type, and f_M , f_P and f_T are the correction factors for material of construction, design pressure and temperature, respectively. The values assigned to the aforesaid cost variables are presented in Table A4-1 and all correction factors were assumed equal to unity, corresponding to carbon steel (CS) equipment designed for a pressure range of 0.5 to 7 bar and a temperature of 0 to 100 °C [68].

Table A4-1 - Equipment cost variables for equipment cost index 435.8, adapted from Smith, 2005 [68]

Equipment	Material	Unit	Base size, Q_B	Base cost, C_B	Cost exponent, M
Compressor	CS	kW	250	9.84×10^4	0.46
Heat exchanger	CS	m ²	80	3.28×10^3	0.68
Vacuum pump	CS	kW	10	1.10×10^4	0.44

The cost of equipment was actualised to the present year using the current equipment cost index, CE_1 , assumed to be 590 according to pessimistic predictions by Mignard (2014) for the chemical engineering plant cost index [69], and that of a reference year, CE_2 , like so:

$$\frac{C_{E,1}}{C_{E,2}} = \frac{CE_1}{CE_2} \quad (\text{A4.2})$$

In the context of this work, the cost of carbon capture per ton of CO₂, C_{CO_2} , was estimated according to the following expression:

$$C_{CO_2} = \frac{\dot{W} \cdot t_{op} \cdot E + 0.2C_{cap}}{Q_{CO_2} \cdot t_{op}} \quad (\text{A4.3})$$

Where \dot{W} stands for the power required for driving the capture equipment, t_{op} for the annual operating time, E for the cost of power, C_{cap} for the capital cost of the capture plant and Q_{CO_2} for the mass flow rate of captured CO₂. The first term in the numerator represents the annual cost of electricity, whose cost is taken as \$0.04/kWh, whereas the second corresponds to the annual capital charge, estimated as 20% of the capital cost of the capture plant to cover depreciation, interest, labour and maintenance. Membrane capital cost was calculated taking membrane skid cost as 50\$/m² and assuming a lifetime of 5 years, whereas the capital cost of the rest of the equipment was determined assuming a lifetime of 25 years. In both cases, an installation factor of 1.6 was also used [42].